

# ACE

# Automated Broker Interface Automated Interface Requirements

Implementation Guide for Seafood Import Monitoring Program  
National Marine Fisheries Service

September 20, 2017



U.S. Customs and  
Border Protection

September 20, 2107

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9/15/2017 Summary of Changes made in this version (The previous version was dated July 11, 2017.)

- The Summary of Required PGA Records was updated to reflect the list of PG Records that are “minimally required” for all HTS filings that are “flagged” for the SIM Program.
- Change in the PG14 Record requirement descriptions to show that it will be Mandatory for only those species (3 Alpha codes) that are on the NMFS list requiring the “full SIM Program Message Set”.
- **The PG14 Record with the valid IFTP permit and the full/complete SIM message set is mandatory only for species that ARE on the posted NMFS 3 Alpha code list.** The PG14 record providing the IFTP (permit) will NOT be required for species identified by any 3 Alpha code under the PG05 Record if the 3 Alpha code is NOT on the list provided by NMFS. The 3 Alpha list can be found at: <http://www.iuufishing.noaa.gov/Portals/33/SIMP.MandatoryFullMessageSetSpecies.3Alpha%20Codes.pdf>
- The Summary also clarifies the record requirement “scenarios” for the harvested product in the filing for aquaculture or wild caught product that is “not aggregated” and for product “aggregated” and harvested by small vessels.
- Includes a new provision that **there can only be one PG06 record per any given PGA line** when the NMFS program code = SIM. This is to assure that the PG06 Harvest Information and PG31 harvest vessel and PG32 offload information can be associated based upon the data provided in the filing.
- Change in the PG06 Record to provide for a new harvest code. A Small Vessel Harvest will be designated by use of the code “SVH” to designate that the harvest was conducted under the small vessel, aggregated harvest provision of the Seafood Import Monitoring Program rule. This effectively clarifies that, where the small vessels landed their product on shore or at the dock, the harvest will be coded as “SVH” and there will be no PG31 Harvest Vessel Record. If small vessels offloaded their harvest to a single larger vessel, the harvest will be coded as “HCF” and the PG31 Record and associate information will still will be required to capture the information for the larger vessel that collected the fish as part of the message set. The larger vessel that collected the fish from the smaller vessels will be the vessel identified under the PG31 Record.
- Description for information required in the PG31 Record changed to include the above SVH if offloaded from the small harvest vessels to a single larger vessel or to “exclude” the requirement for a PG31 record if the small vessels off loaded their catch at shore or dockside.
- Added a PG24 Record to use as a “free text” field to provide the number of small vessels used in an aggregate harvest involving vessels that are less than 12 meters in length or less than 20 gross tons in weight.
- In the PG32 Record, the Commodity Routing Code type of “198” as the Country of First Landing is replaced a Commodity Routing Code of “11” Country of First Landing (place of first discharge.)

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## Implementation Guidelines for the **Seafood Import Monitoring Program (SIM) of the** National Marine Fisheries Service (NMFS) Participating Government Agency (PGA) International Trade Data System (ITDS)

The following implementation guidelines have been established for data collection, via the Message Set, for the **Seafood Import Monitoring Program (SIM)** pursuant to the rule published by NMFS on December 9, 2016. This document is the guideline for only the Seafood Import Monitoring Program. Some of the products included in this program are covered by the NMFS 370 and/or NMFS HMS programs as well. You must provide the appropriate data for each of the programs, including SIM. Please note that separate Implementation Guidelines for the three current NMFS programs can be found at: <http://www.cbp.gov/document/guidance/nmfs-pga-message-set-guidelines>

Eleven species are included in the SIM program;

- Atlantic Cod
- Blue Crab (Atlantic)
- Dolphinfish (Mahi Mahi)
- Grouper
- King Crab (red)
- Pacific Cod
- Red Snapper
- Sea Cucumber
- Sharks
- Swordfish (*Also included in the NMFS HMS program*)
- Tunas: Albacore, Bigeye, Skipjack, Yellowfin, and Bluefin (*Under certain conditions; may also be included in the NMFS HMS and 370 programs*)

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These requirements are established to accommodate the electronic filing of the information required by the National Marine Fisheries Service (NMFS) via the US Customs and Border Protection's (CBP) Automated Commercial Environment (ACE) system using the Partner Government Agency (PGA) Message Set (i.e. data set) in support of the initiative known as the International Trade Data System (ITDS). ITDS is an initiative of the US Government to create a "single window" system that will support electronically based import and export filings in a manner that will efficiently and effectively facilitate trade.

NMFS is responsible for monitoring the import and export of various marine species and products, commonly referred to as "fisheries" or "seafood" products for over 700 Harmonized Tariff Schedule (HTS) codes. Through and until January 1, 2018, the agency only requires the submission of information supplemental to the CBP entry filing requirements for approximately 64 of these products under three programs: the Highly Migratory Species (HMS) Program which covers the import and export of certain species of tuna, swordfish and shark fins, the Antarctic Marine Living Resources (AMLR) Program which includes import and exports of toothfish and Antarctic krill, and the NOAA Form 370 Tuna Tracking and Verification Program (TTVP/370 Program) which monitors the importation of all frozen tuna and/or processed tuna products and authenticates any associated dolphin-safe claim. **The rule published on December 9, 2016 for the Seafood Import Monitoring Program (SIM) creates a fourth NMFS Monitoring Program. SIM is established to address concerns pertaining to Illegal, Unreported and Unregulated Fishing and Seafood Fraud.** Filing pursuant to **the requirements of the program will be mandatory on January 1, 2018.** The import filing requirements and guidelines for the SIM program are addressed in the following pages of this document.

Questions or comments pertaining to any of these requirements can be addressed to Dale Jones of the NMFS Office of Science and Technology by calling 301-427-8143 or by email to [dale.jones@noaa.gov](mailto:dale.jones@noaa.gov).

Additional resource materials pertaining to the SIM Program, including the list of associated HTS codes, can be accessed at:  
<http://www.iuufishing.noaa.gov/RecommendationsandActions/RECOMMENDATION1415/FinalRuleTraceability.aspx>

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**NMFS PG Message Set – Seafood Import Monitoring Program (SIM)**  
**NMFS Message Set and DIS Collection Requirements**  
(Imports Only)

*This **guide** is to be used in consideration of the Partner Government Agencies ACE ABI CATAIR and associated/referenced appendices. See <http://www.cbp.gov/trade/ace/catair>*

*The NMFS Seafood Import Monitoring Program (SIM) includes a list of species determined to be “priority” for monitoring with regard to concerns involving Illegal, Unreported and Unregulated (IUU) fishing and potential Seafood Fraud. HTS product codes included in this program will be flagged by CBP for NMFS/SIM PGA Required information. The current list of HTS Codes required for the Seafood Import Monitoring Program can be found on line at; <http://www.nmfs.noaa.gov/ia/iuu/taskforce.html>*

*See Magnuson-Stevens Act 307(1)(Q)for legal reference*

*Note: Some of the species/products (HTS) listed in this Program are also included in/covered by either or both the HMS and NOAA 370 programs. (To preclude the need to enter the same data repeatedly for two or three different NMFS program requirements; if the product is covered by more than one NMFS program and the same information is required for more than one NMFS program, the filer’s software developer may wish to structure the population of PG Message Set to assure that the data need only to be entered one time by the filer. This will be determined by the HTS code and the specific NMFS program requirements for each product. NMFS and CBP have established requirements in a manner that precludes redundant entry of the same data to the extent possible however, some actions may still be necessary on the part of the filer’s software provider to further minimize repeated entry of some data points.)*

**An International Fisheries Trade Permit (IFTP) issued by NMFS is required of the importer of record for all four NMFS Monitoring Programs including the SIM Program. The same/single NMFS permit is required for importers of the fish and fish products covered under any of the trade monitoring programs. Permits may be obtained on line at: [https://fisheriespermits.noaa.gov/npspub/pub\\_cm\\_n\\_login/index\\_live.jsp](https://fisheriespermits.noaa.gov/npspub/pub_cm_n_login/index_live.jsp)**

Document Images

**No DIS documents or images are required for the SIM Program.**

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### Summary of Required PGA Records

The following records are always required:

OI  
PG01  
PG02  
PG05

If trade provides a 3 Alpha code that is on the NMFS 3 Alpha code list which requires the submission of the complete set of records, then depending on the scenario, the following additional records are required:

If an aquaculture scenario:

PG06 – HBA  
PG14  
PG19 - AQF  
PG20  
PG21  
PG29 - quantity

If wild caught harvest (including small vessel harvests transferred to a larger vessel at sea) scenario:

PG06 – HCF  
PG14  
PG19  
PG20  
PG21  
PG31, VCR, ISO, UOM, weight  
PG31 VNM  
PG32 11 or 13 (routing code), ISO

*(In the scenario directly above, there could be multiple PG31 and 32 records. In those instances, trade should use the PG50/51 to group the PG31 and 32s together, accordingly)*

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If wild caught – aggregated harvest, offloaded from multiple small vessels

to shore

PG06 – SVH

PG14

PG19

PG20

PG21

PG 24 - Required only for aggregated harvests involving multiple small-scale fishing vessels (20 measured gross tons or less or 12 meters length overall or less)

PG29 – quantity

PG32 11 (routing code), ISO – where fish offloaded to shore

To ensure proper association between the species information and the vessel information and locations, there can only be one PG06 record per PGA line and all associated records must be provided with that PG06 record.

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Record Identifier PG01 (Input)

The following are the data elements required by NMFS for SIM in the PG01:

<b>Record Identifier PG01 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 01.	
PGA Line Number	3N	5-7	M	Number required by PGAs beginning with 001 within a CBP line and sequentially incremented on subsequent PG01 records, if applicable.	
Government Agency Code	3AN	8-10	M	Enter "NMF" for National Marine Fisheries Service	
Government Agency Program Code	3X	11-13	M	Enter "SIM" for the Seafood Import Monitoring Program	
Confidential Information Indicator	1A	18	M	A code of Y (Yes) indicating information should be treated as confidential, per the agency's regulations. No other code is accepted.	



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**Record Identifier PG02 (Input)**

For NMFS SIM program, data is expected to be reported at the Product level. No PG02's with a 'C' are expected.

**Record Identifier PG05 (Input)**

The PG05 record is mandatory for SIM.

All species covered by this program; Atlantic Cod; Pacific Cod; Blue Crab; Red King Crab; Dolphinfin (Mahi Mahi); Grouper; Red Snapper; Sea Cucumber; Sharks; Swordfish; Tunas (Albacore, Bigeye, Skipjack, Yellowfin, and Bluefin) require the submission of a PG-05 record with the 3 Alpha code that specifically identifies the species being imported in this shipment.

Some of these species, as identified by certain 3 Alpha codes, will not require the submission of additional SIM Program message set data. The list of the 3 Alpha codes that **do require** the submission of the full/entire message set of all PG records included for SIM in this Implementation guide (PG-01 through PG-32 Records) are provided online at the NMFS Office of International Affairs and Seafood Inspection website:

<http://www.iuufishing.noaa.gov/Portals/33/SIMP.MandatoryFullMessageSetSpecies.3Alpha%20Codes.pdf>

The United Nations Food and Agricultural Organization's Aquatic Sciences and Fisheries Information System (ASFIS) provides a comprehensive reference list of species names, scientific names and the 3 Alpha codes for them. Filers can locate the comprehensive species-specific list with the three-letter code for the genus and species and download it at:

<http://www.fao.org/fishery/collection/asfis/en>.

The following are the data elements required by NMFS for SIM in the PG05

<b>Record Identifier PG05 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 05.	

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<b>Record Identifier PG05 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Scientific Species Code	7AN	67-73	M	Enter the 3 Alpha code per guidance above	

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**Record Identifier PG06 (Input)**

At least one PG06 record must be provided with the source type code of HCF, HBA or SVH. There can only be one PG06 record per any given PGA line, when the NMFS program code = SIM.

The following are the data elements required by NMFS for SIM in the PG06.

<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 06.	

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<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Source Type Code	3AN	5-7	M	<p><b>Harvest Description Code;</b> Enter the description of the harvest source of this product as one of the three following sources:</p> <p><b>HCF</b> = Harvest Capture Fisheries / “Wild Caught” - Animals harvested (exploited) by the public as a common property resource, with or without appropriate licenses. Also characterized as “wild caught” animals. <i>(This includes pen matured (PMH) animals, those animals captured alive before they are “penned” for feeding and growth until actual/final harvest. Provide the information on the initial capture.)</i></p> <p><b>HBA</b> = Hatchery based aquaculture - Harvested animals that have been owned by individuals or corporate bodies throughout their entire life cycle from spawning to harvest.</p> <p><b>SVH</b> = Small Vessel Harvest – Use this code for “Wild Capture” aggregated harvests involving multiple small-scale fishing vessels (20 measured gross tons or less or 12 meters length overall or less) landed at shore. (<u>Not</u> used for situations where the fish were transferred to a larger collecting vessel)</p>	
Country Code	2X	8-9	M	A two-letter code from Appendix B that shows from what Country or what Country’s territorial waters the harvest took place or, if it was harvested on the high seas in international waters, use code “ZZ”.	

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<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Geographic Location	20X	10-29	M	<p>Code describing the ocean area of the catch (requires multiple entries for multiple vessels or multiple aquaculture facilities if the product in the shipment was harvested from multiple facilities).</p> <p>Enter the geographical location (for example: North Atlantic Sea) that is the source where the harvest, growth, production, sale, or catch took place. For ocean geographic area locations, see the CBP Appendix PGA for valid codes.</p> <p>For State or Province location, enter the appropriate code from Appendix B in the ACS ABI CATAIR. Otherwise, enter a description of the harvest location as is used for, or that is relevant to, the local fishery involved.</p>	

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<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Processing Start Date	8N	30-37	M	<p>A numeric date in MMDDCCYY (month, day, century, year) format.</p> <p><u>For HCF - wild capture harvested product, use this date field to show the date that the fish was first off loaded from the single large harvest vessel (over 20 meters or over 20 gross tons) as either a landing or a transshipment; or the date when an authorized aggregated harvest from qualified small vessels (less than 20 meters and less than 20 gross tons) was transferred from the harvest vessel to a collection vessel for transshipment.</u></p> <p><u>For HBA – hatchery based aquaculture harvested product, use this date field to show the date of the first transfer of the fish from the aquaculture facility or if part of an authorized aggregated harvest from small aquaculture facilities, the date that the product was originally transferred from the entity initially collecting the fish to the next entity.</u></p> <p><u>For SVH – Use this date for the date that the product was harvested and aggregated.</u></p>	
Processing Type Code	5AN	46-50	C	<p>Code indicating the fishing vessel’s harvesting gear type for wild capture harvest.</p> <p>See Note 1 for the list of allowed processing type codes for NMFS</p>	1

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<b>Record Identifier PG06 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Processing Description	30X	51-80	M	Provide the 3-letter code indicating the product form at the time of transshipment or initial landing. Valid codes are: NDR- Dressed; NFL- Fillet; NGG- Gilled and gutted; NOT- Other; NRD-Round (whole); NST- Steak; NRS – Radiation sterilized.	

**NOTE 1:**

Here is the list of allowed NMFS Processing Type Codes:

<b>Code</b>	<b>Name</b>
BB	Baitboat
BBF	Baitboat: Freezer
BBI	Baitboat: Ice-well
BLL	Longline: Bottom or Deep longliners
DN	Large Scale Driftnet (High Seas)
GIL	Gillnet
GN	Gillnet less than 1.5 miles (2.4 km) in total length
HAN	Handline
HAR	Harpoon
HS	Haul Seine
LL	Longline
LLA	Longline: Targeting ALB
LLF	Longline: Foreign-Based
LLH	Longline: Home-Based

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<b>Code</b>	<b>Name</b>
LLJ	Longline: Japanese Type
LLM	Longline: with mother boat
MWT	Mid-water Trawl
PTM	Trawl: Mid-water paired trawl
OTH	Other Type
PL	Pole and Line, Hook and Line
PS	Purse Seine Net
PSD	Purse Seine: Double-boats
PSL	Purse Seine: Catching large fish
PSF	Purse seine: Catching small fish
PSG	Purse Seine: Large Scale (over 200 MT capacity)
PSB	Purse Seine: Using live bait
PSM	Purse Seine: Medium scale (between 50 and 200 MT capacity)
PSS	Purse Seine: Small Scale (less than 50 MT capacity)
RR	Rod and Reel
RFB	SPORT: Rod & Reel (catching large fish)
RFS	SPORT: Rod & Reel (catching small fish)
RSD	SPORT: Rod & Reel DISCARDS (small vessels)
SHL	Sport Handline
SPO	Sport Fisheries Unclassified
SUR	Surface Fisheries Unclassified
TL	Tended Line
TN	Trammel Net
TRA	Trap
TRW	Trawl
TRO	Troll
UNC	Unclassified: Gears not reported or Unspecified method



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### Record Identifier PG14 (Input)

A PG14 Record is mandatory for the NMFS SIM program for all species named and included in the NMFS posted 3 alpha code list. Trade must always submit at least one LPCO in the form of the NMFS International Fisheries Trade Permit (NM4), along with the permit number if the 3 Alpha code identifying the product is on the NMFS list. For all species not included in the NMFS list, no NMFS IFTP permit number is required.

Trade may submit additional PG14 records if reporting “Other Authorization to Fish” (NM6). This does not preclude the need for the IFTP Permit. This is a second, or additional, license, permit, certificate or other (LPCO) under which the product in this shipment was legally harvested seafood/product. Such authorizations may include, but are not limited to, Regional Fisheries Management Organization (RFMO) membership number, flag/country license or similar documentation.

The following are the data elements required by NMFS for SIM in the PG14.

<b>Record Identifier PG14 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 14.	
LPCO Transaction Type	1N	5	M	Enter “2” = continuous, for SIM If additional LPCO codes are provided as an “NM6” type of “other authorization to fish”, enter a “1” if it is a “one time only” use permit or a “2” if the authorization is an “ongoing/multiple use” type of authorization.	

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<b>Record Identifier PG14 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
LPCO Type	3AN	6-8	M	Enter the license type as “NM4” the code for the NMFS International Fisheries Trade Permit (IFTP). Only one Importer of Record is acceptable per filing.  NM6 is additionally allowed for “Other Authorization to Fish”	
LPCO Number (or Name)	33X	9-41	M	Enter the number assigned to the NMFS IFTP Permit number (or “Other Authorization to Fish”) for the importer of record. The IFTP can be obtained at: <a href="https://fisheriespermits.noaa.gov/npspub/pub_cmn_login/index_live">https://fisheriespermits.noaa.gov/npspub/pub_cmn_login/index_live</a> .	

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### Record Identifier PG19 (Input) and PG20 (Input)

The PG19 and 20 records are mandatory:

- For products designated as Harvest Capture Fisheries (HCF) in the PG06, wild capture fish/product, or those captured and thereafter pen raised until harvest; provide the name and location (city or region & country) of the entity to which the product was provided at the time of the initial/first landing or delivery.
- For products designated as Hatchery Based Aquaculture (HBA) products in the PG06; provide the name and location (city or region & country) of the aquaculture facility where the fish was harvested. Or, if the fish/product was collected from multiple sites and documented as an “aggregated harvest” through an “aggregated harvest report”, provide the name of the person or entity responsible for the collection.
- For product designated as Small Vessel Harvest (SVH) in the PG06, provide the name and location (city or region & country) where the fish was landed at shore and the name of the person or entity responsible for the collection of the fish.
- The Entity Name and Role Code are required.
- The use or submission of an Entity Number is optional. If an Entity Number is provided, the Entity Identification Code must also be provided.

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Record Identifier PG19 (Input)

The following are the data elements required by NMFS for SIM in the PG19

<b>Record Identifier PG19 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 19.	
Entity Role Code	3AN	5-7	M	<p>Identifies the role of the entity.</p> <p>See Note 1 for the list of acceptable Entity Role Codes.</p> <p>Provide code that most accurately identifies the role of the entity. Due to the nature of the requirements of this program, the party may represent one of a number of acceptable entity roles.</p> <p><i>Each Aquaculture facility that contributed to the content of the shipment must be submitted in a separate PG19 Record unless or except if the facilities were “small scale” and an “Aggregated Harvest Record” was established documenting the sites that meet the designation as such pursuant the NMFS Seafood Import Monitoring rule.</i></p> <p>See the federal register notice on December 9, 2016;</p> <p><a href="https://www.federalregister.gov/documents/2016/12/09/2016-29324/magnuson-stevens-fishery-conservation-and-management-act-seafood-import-monitoring-program">https://www.federalregister.gov/documents/2016/12/09/2016-29324/magnuson-stevens-fishery-conservation-and-management-act-seafood-import-monitoring-program</a></p>	1

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<b>Record Identifier PG19 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Entity Identification Code	3AN	8-10	C	If an Entity Number is given, provide the code that Identifies or describes the entity referenced. Valid codes are 16 (DUNS number), FIR (FIRMS code), MID (Manufacturer/supplier Code), or 351 (NMFS-assigned).	
Entity Number	15X	11-25	C	Identifier number for the Entity if assigned as one of the recognized entities as listed above.	
Entity Name	32X	26-57	M	Name of the Entity.	
Entity Address 1	23X	58-80	C	Address Line 1 for the Entity.	

**NOTE 1:**

The list of valid **NMFS Entity Role Codes** are:

<b>Code</b>	<b>Name</b>	<b>Definition</b>
AQF	Aquaculture Facility	Name of the aquaculture facility at which the seafood is raised and harvested.
BY	Buyer	Party to which merchandise or services are sold.
CE	Certifying Entity	Importer or Private Labeler who is certifying the shipment.
CI	Certifying Individual	Individual who is certifying the shipment.
CO	Certifying Official	Official who is certifying the shipment.
CN	Consignee	Party on whose account the merchandise is shipped.
CZ	Consignor	Party, which, by contract with a carrier, consigns or sends goods with the carrier, or has them conveyed.

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<b>Code</b>	<b>Name</b>	<b>Definition</b>
CR	Consolidator	Entity that combines less-than-carload shipments into full carloads.
EX	Exporter	Party who makes, or on whose behalf the export declaration is made, and who is the owner of the goods.
EXE	Exporting Establishment	The establishment where the export originated.
PRE	Preparer	Person who prepared the form.
GD	Producer	Party or person who has produced the product.

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**Record Identifier PG20 (Input)**

This record is mandatory for NMFS SIM.

The following are the data elements required by NMFS for SIM in the PG20.

<b>Record Identifier PG20 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 20.	
Entity Address 2	32X	5-36	C	Address Line 2 for the Entity.	
Entity Apartment Number/Suite Number	5X	37-41	C	Apartment/Suite number of the entity.	
Entity City	21X	42-62	M	City of the entity.	
Entity State/Province	3AN	63-65	C	State/Province of the entity. This field is Mandatory for addresses in the United States, Mexico and Canada. For locations where a state or province exists, it must be included.	
Entity Country	2A	66-67	M	ISO Country Code. See Appendix B in the ACE ABI CATAIR for valid codes.	
Entity Zip/Postal Code	9X	68-76	C	Zip/Postal Code of the entity.	
Filler	4X	77-80	M	Space fill.	

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**Record Identifier PG21 (Input)**

This record is mandatory for NMFS SIM.

Trade must provide either the telephone number or the email address of the individual.

The following are the data elements required by NMFS for SIM in the PG21.

<b>Record Identifier PG21 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 21.	
Individual Qualifier	3AN	5-7	M	Identify the party or facility the Individual represents. See Note 1 in the PG19 record for the valid acceptable codes.	
Individual Name	23X	8-30	M	Name of the Individual.	
Telephone Number of the Individual	15X	31-45	C	Telephone number of the Individual.	
Email Address or Fax Number for the Individual	35X	46-80	C	Email Address of the individual.	



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**Record Identifier PG24 (Input)**

This record is mandatory for NMFS SIM if the PG06 Source Type Code is SVH designating the product as harvested under the aggregated harvest by small vessels.

The following are the data elements required by NMFS for SIM in the PG24

<b>Record Identifier PG24 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 24.	
Remarks Type Code	3AN	5-7	n/a		
Remarks Code	5AN	8-12	n/a		
Remarks Text	68X	13-80	C	Free form text listing the number of small vessels used to conduct the harvest if the aggregated harvest by small vessels exception was used.	

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**Record Identifier PG29 (Input)**

This record is mandatory for NMFS SIM if the identification of the product was an aquaculture product (source type code HBA) or small vessel harvest (source type code SVH), in the PG06 Record. Provide data pertaining to the net unit of measure of the commodity weight at the time of harvest.

The following are the data elements required by NMFS for SIM in the PG29.

<b>Record Identifier PG29 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 29.	
Unit of Measure (PGA line - net)	3AN	5-7	M	Pertaining to the overall PGA Line Number, the indication of the unit of measurement in which weight, capacity, length, area, volume or other quantity is expressed. "Unit of Measure (PGA line - net)" in this position is associated with "Commodity Net Quantity (PGA line - net)" and is required when "Commodity Net Quantity (PGA line - net)" is reported. Valid Units of Measure can be found in ACE CATAIR Appendix B.	
Commodity Net Quantity (PGA line - net)	12N	8-19	M	Pertaining to the overall PGA Line Number, excluding all packing and packaging. Two decimals are implied. "Commodity Net Quantity (PGA line - net)" is required when "Unit of Measure (PGA line - net)" is reported in positions 5-7 of this record.	

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**Record Identifier PG31 (Input)**

The PG31 record is mandatory if the product was identified as anything other than aquaculture product or a small vessel aggregated harvest that was landed at the shore and not transferred to another vessel in the PG06. It provides data pertaining to the vessel used to harvest the commodity or the larger vessel used to aggregate and transport the harvest of multiple smaller vessels under 12 meters or under 20 gross tons. It must be repeated to provide both the Vessel Country of Registry (VCR), and Vessel Name (VNM), along with required associated data. It may also be repeated to provide other vessel identifiers that trade may wish to provide, such as the International Maritime Organization Number (IMO), Radio Call sign (RCS), etc., if applicable.

The following are the data elements required by NMFS for SIM in the PG31.

For the Vessel Country of Registry (VCR):

<b>Record Identifier PG31 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 31.	
Commodity Harvesting Vessel Characteristic Type Code	3AN	5-7	M	Enter the three letter code "VCR" = code for the Vessel Country of Registry (Flag Country) or Registry of the Harvest Vessel or transshipment vessel used to collect the aggregated harvest of vessels under 12 meters or under 20 gross tons.	
Commodity Harvesting Vessel Characteristic	35X	8-42	M	Flag Country of Registry of the Harvest Vessel or transshipment vessel used to collect the aggregated harvest of vessels under 12 meters or under 20 gross tons.  In positions 8 and 9, enter the two-digit country code from Appendix B for the flag country of the harvesting vessel.	

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<b>Record Identifier PG31 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Unit of Measure (conveyance)	3AN	43-45	M	Indication of the unit of measure in which the weight of the product harvest is expressed. See Units of Measure in Appendix B.	
Harvested Commodity Net Weight	10N	46-55	M	Enter the weight of the product at the time of harvest.	
Filler	25X	56-80	M	Space fill	

For the Vessel Name (VNM):

<b>Record Identifier PG31 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 31.	
Commodity Harvesting Vessel Characteristic Type Code	3AN	5-7	M	Enter the three letter code "VNM" = Vessel Name.	

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<b>Record Identifier PG31 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Commodity Harvesting Vessel Characteristic	35X	8-42	M	<p>Provide the Vessel Name, or other documentation of authorization to fish.</p> <p><b>VESSEL NAME and/or other documentation of authorization to fish</b></p> <p>The term or numeral commonly used when referring to the distinguishing feature or property of the vessel used for harvesting the commodity. This includes the harvesting vessel name. Authorization to fish may include the flag state authorization numbers and/or various Regional Fisheries Management Organization (RFMO) registry numbers such as for ICCAT etc. This documentation should be that which is applicable to the harvest of product in this shipment, e.g. ICCAT registration if product is Atlantic Bluefin tuna.</p>	
Unit of Measure (conveyance)	3AN	43-45	n/a	Not needed for VNM	
Harvested Commodity Net Weight	10N	46-55	n/a	Not needed for VNM	
Filler	25X	56-80	M	Space fill	

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For other vessel identifiers, if provided:

<b>Record Identifier PG31 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 31.	
Commodity Harvesting Vessel Characteristic Type Code	3AN	5-7	M	The following additional codes are allowed: IMO - International Maritime Organization RCS = Radio Call Sign PMT = flag state permit number RFO = Regional Fishery Organization authorized vessel number OTH = Other	
Commodity Harvesting Vessel Characteristic	35X	8-42	M	Provide the International Maritime Organization number for the harvest vessel if one exists.	
Unit of Measure (conveyance)	3AN	43-45	n/a	Not needed for other vessel identifiers	
Harvested Commodity Net Weight	10N	46-55	n/a	Not needed for other vessel identifiers	
Filler	25X	56-80	M	Space fill	

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**Record Identifier PG32 (Input)**

At least one PG32 record is mandatory if the product was identified as anything other than aquaculture product to provide data pertaining to the commodity routing information. For each vessel provided, there should be a corresponding PG32 to indicate the offload location.

The following are the data elements required by NMFS for SIM in the PG32.

<b>Record Identifier PG32 (Input)</b>					
<b>Data Element</b>	<b>Length/ Class</b>	<b>Position</b>	<b>Status</b>	<b>Description</b>	<b>Note</b>
Control Identifier	2A	1-2	M	Must always equal PG.	
Record Type	2N	3-4	M	Must always be 32.	
Commodity Routing Type Code	3AN	5-7	M	Provide the code indicating the <b>Place of Transshipment</b> from original harvest vessel to transshipment vessel using the Place of Transshipment Code - Code = 13  <b>or the Country of First Landing</b> from original harvest vessel to shore using the Place of Discharge Code – Code = 11	
Commodity Routing Country Code	2A	8-9	C	A two letter country code from Appendix B that shows the country where the fish was initially landed or, if transshipped, what Country’s territorial waters the transshipment took place in. If it was transshipped on the high seas in international waters, use code “ZZ”.	

*(There could be multiple PG31 and 32 records. In those instances, trade should use the PG50/51 to group the PG31 and 32s together, accordingly)*