The Department of State, Bureau of Political-Military Affairs, Directorate of Defense Trade Controls (DDTC) is responsible for the export and temporary import of defense articles and services governed by 22 U.S.C. 2778 of the Arms Export Control Act. The International Traffic in Arms Regulations implements the AECA.

<https://www.pmddtc.state.gov/regulations_laws/aeca.html>

<https://www.pmddtc.state.gov/regulations_laws/itar.html>

**The following are the potential PGA records associated with submitting DDTC data regulated by the Department of State.** The PGA Message Set does not stand-alone and must be submitted with an entry filing such as an ACE Entry Summary certified for cargo release

|  |
| --- |
| **Description** |
| Commercial Description of the shipment |
| Government Agency Code (DTC) |
| License Number & Exemptions |
| Anticipated Arrival Date |

**Commercial Description:** The commercial description of the product: Example –Aircraft

**Government Agency Code**: DTC

**Government Agency Program Code:** DTC

**Product/ Component Level Identifier**: This is a mandatory PGA input record. The Product Identifier is used to indicate whether or not the information being provided relates to a product (P) or a component (C) of a product.

**Licenses & Exemptions:** This provides DDTC with data pertaining to Licenses, Permits, Certificates or other (LPCO). The license type/number and registration number will be validated against license and registration data provided by DDTC.

|  |  |
| --- | --- |
| Data Element | Description |
| LPCO Transaction Type | License Type  S61 - Temporary Import License  S62 – Amended Temporary Import License  S73 – Temporary Export License  S74 – Amended Temporary Export License  S85 – Permanent/Temporary Import of Classified defense Articles and Classified Technical Data |
| LPCO Number ( or Name) | Number of license |
| Exemption Code | Appendix A |

*See Appendix A for exemption codes*

|  |  |
| --- | --- |
| Data Element | Description |
| LPCO Transaction Type | DD1 |
| LPCO Number | DDTC issued registration number\* |

# \*For Temporary imports and Temporary exports that require a license, the registration number supplied by DDTC must be entered for the LPCO number, for temporary import and temporary export exemptions, the LPCO is conditional, depending on the exemption.

|  |  |
| --- | --- |
| Data Element | Description |
| Inspection / Laboratory Testing Status | A = Prior Notice (PN) anticipated arrival information |
| Requested or Scheduled Date of Inspection; Date of Previous Inspection/Laboratory Testing; Arrival Date | A numeric date in MMDDCCYY (month, day, century, year) format. This date will be validated against the license and registration date. |

DIS Forms required: **The PGA information for DDTC can only be accepted by message set. No Document Imaging System required.**

**HTS Codes**

Contacts for various United States Munitions List (USML) commodities can be found at: <https://www.pmddtc.state.gov/about/key_personnel.html>

**DDTC Implementation Guide:**

The PGA message set chapter/implementation guide related to DDTC can be found at:

<http://www.cbp.gov/document/guidance/ddtc-implementation-guide-ig>

The CATAIR chapters and appendices can be found on CBP.gov:

<http://www.cbp.gov/trade/ace/catair>

*This material is provided for general informational purposes only.  It is not offered as and does not constitute legal advice. NCBFAA makes no representation regarding the completeness or accuracy of this information.  Readers of this material should not act or refrain from acting on the basis of this information without seeking appropriate legal or professional advice on the particular facts and circumstances at issue.*

**Appendix A:**

Exemption codes applicable for DDTC regulated temporary import exemptions

| ***Data Element*** | ***Code*** | ***Description*** |
| --- | --- | --- |
| Exemption Code | 123.4a1 | Temporary import of U.S. – origin defense items for servicing, inspection, testing, calibration, repair, overhaul, reconditioning, or one-to-one replacement of defective items. |
| 123.4a2 | Temporary import of U.S. – origin defense item to be enhanced, upgraded, or incorporated into another item for which the permanent export has been authorized by Directorate of Defense Controls. |
| 123.4a3 | Temporary import of U.S. – origin defense items for exhibition, demonstration or marketing. |
| 123.4a4 | Temporary import of U.S. – origin defense items which have been rejected for permanent import by Department of Treasury. |
| 123.4a5 | Temporary import of U.S. – origin defense items approved for import under Foreign Military Sales Program. |
| 123.4b | Temporary import but not subsequent export of item incorporated into another article or modified and enhanced. |
| 123.6 | From the United States to foreign trade zones in the United States or Customs bonded warehouse. |
| 123.12 | Shipments between U.S. possessions. |
| 123.13 | Defense article on domestic air shipment via foreign country. |
| 123.19 | Canadian and Mexican border shipments. |
| 123.23 | Shipments when the total value does not exceed the value on the license by more than ten percent. |
| 126.2 | The DAS for DTC may order the temporary suspension or modification of any or all of the regulations in the interest of the security and foreign policy of the United States. |
| 126.3 | Exceptional or undue hardship, or otherwise in the interest of the U.S. Government. |
| 126.4a | Temporary import or temporary export of defense article, technical data, or defense service by or for agency of U.S. Government. |
| 126.4c | Temporary import, temporary export, or permanent export of defense article, technical data, or defense service for end-use by U.S. Government agency in foreign country. |
| 126.5a | Temporary import and return to Canada of unclassified defense articles originating from Canada. |
| 126.6b | Temporary imports of foreign military aircraft or foreign naval vessels if no overhaul, repair, or modification of the aircraft or naval vessel is to be performed. |
| 126.6c | Defense article, technical data, or defense service sold, leased, or loaned by Department of Defense under Foreign Military Sales Program. |
| 126.16e1 | United States and Australian combined military or counter-terrorism operations. |
| 126.16e2 | United States and Australian cooperative security and defense research, development, production, and support programs. |
| 126.16e3 | Mutually determined specific security and defense projects where the Government of Australia is the end-user. |
| 126.16e4 | Defense Trade Cooperation Treaty between United States and Australia for U.S. Government end-use. |
| 126.17e1 | United States and United Kingdom combined military or counter-terrorism operations. |
| 126.17e2 | United States and United Kingdom cooperative security and defense research, development, production, and support programs. |
| 126.17e3 | Mutually determined specific security and defense projects where the Government of the United Kingdom is the end-user. |
| 126.17e4 | Defense Trade Cooperation Treaty between United States and United Kingdom for U.S. Government end-use. |

Exemption codes applicable for DDTC regulated temporary export exemptions:

| ***Data Element*** | ***Code*** | ***Description*** |
| --- | --- | --- |
| Exemption Code | 123.6 | From the United States to foreign trade zones in the United States or Customs bonded warehouse. |
| 123.11b | Vessel or aircraft does not enter territorial waters or airspace of a foreign country, and no defense articles are carried as cargo. |
| 123.12 | Shipments between U.S. possessions. |
| 123.13 | Defense article on domestic air shipment via foreign country. |
| 123.16b1 | Unclassified defense articles in support of agreements. |
| 123.16b2 | Components or spare parts less than $500. |
| 123.16b3 | Packing cases for defense articles. |
| 123.16b4 | Unclassified models and mock-ups. |
| 123.16b5 | Temporary export for public exhibition, trade show, air show or related event if that article was previously licensed for public exhibition. |
| 123.16b9 | Temporary export of any unclassified component, part, tool or test equipment to a subsidiary, affiliate or facility owned or controlled by the U.S. person if the component, part, tool or test equipment is used for manufacture, assembly, testing, production, or modification. |
| 123.17a1 | Components and parts for Category I (a) firearms not exceeding $100 wholesale. |
| 123.17a2 | Components and parts for Category I firearms not exceeding $500 wholesale to Canada. |
| 123.17b | Non-automatic Category I (a) firearms manufactured in or before 1898 or replica. |
| 123.17c | Temporary export of no more than three non-automatic Category I(a) firearms and no more than 1,000 cartridges for personal use. |
| 123.17d | Firearms in Category I(a) and related ammunition for foreign persons brought in under 27 CFR 478.115 (d). |
| 123.17e | Not more than 1,000 cartridges of ammunition for non-automatic firearms for personal use. |
| 123.17f | Temporary export of one set of Body Armor covered by USML Category X(a)(1) which may include one helmet covered by USML Category X(a)(6), or one set of chemical agent protective gear covered by USML Category XIV(f)(4) which may include one additional filter canister for personal use. |
| 123.17g | Temporary export of one set of body armor, which may include a helmet, or chemical agent protective gear, for personal use to countries listed in Section 126.1 of the ITAR by U.S. persons travelling in support of a U.S. Government contract or traveling on official U.S. Government business. |
| 123.17h | Temporary exports of body armor, which may include a helmet, or chemical agent protective gear, which may include one additional filter canister, for personal use to Iraq. |
| 123.17i | Temporary exports of body armor, which may include a helmet, or chemical agent protective gear, which may include one additional filter canister, for personal use to Afghanistan. |
| 123.18a1 | Non-automatic firearms in Category I(a) for servicemen’s clubs for members of U.S. Armed Forces. |
| 123.18a2 | Non-automatic firearms in Category I(a) for personal use by member of U.S. Armed Forces or civilian employee of Department of Defense. |
| 123.18a3 | Non-automatic firearms for personal use by U.S. Government employees with written authorization from Chief of the U.S. Diplomatic Mission. |
| 123.18b | Not more than 1,000 cartridges of ammunition for firearms in Category I(a) for personal use by U.S. Government employees. |
| 123.23 | Shipments when the total value does not exceed the value on the license by more than ten percent. |
| 126.2 | The DAS for DTC may order the temporary suspension or modification of any or all of the regulations in the interest of the security and foreign policy of the United States. |
| 126.3 | Exceptional or undue hardship, or otherwise in the interest of the U.S. Government. |
| 126.4a | Temporary import or temporary export of defense article, technical data, or defense service by or for agency of U.S. Government. |
| 126.4c | Temporary import, temporary export, or permanent export of defense article, technical data, or defense service for end-use by U.S. Government agency in foreign country. |
| 126.5b | Permanent or temporary export of certain defense articles, related technical data, and defense services for end-use in Canada. |
| 126.6a | Defense article or technical data sold, leased, or loaned by Department of Defense to a foreign country or international organization. |
| 126.6c | Defense article, technical data, or defense service sold, leased, or loaned by Department of Defense under Foreign Military Sales Program. |
| 126.16e1 | United States and Australian combined military or counter-terrorism operations. |
| 126.16e2 | United States and Australian cooperative security and defense research, development, production, and support programs. |
| 126.16e3 | Mutually determined specific security and defense projects where the Government of Australia is the end-user. |
| 126.16e4 | Defense Trade Cooperation Treaty between United States and Australia for U.S. Government end-use. |
| 126.17e1 | United States and United Kingdom combined military or counter-terrorism operations. |
| 126.17e2 | United States and United Kingdom cooperative security and defense research, development, production, and support programs. |
| 126.17e3 | Mutually determined specific security and defense projects where the Government of the United Kingdom is the end-user. |
| 126.17e4 | Defense Trade Cooperation Treaty between United States and United Kingdom for U.S. Government end-use. |