

CBP and Trade Automated Interface Requirements

Drawback/TFTEA Drawback Entry Summary
Create/Resubmission

September 2017



U.S. Customs and
Border Protection





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4	September 29, 2017	<p>1.0 Input Record Structure Maps</p> <p>2.0 Drawback Entry Summary (Input 10-Record)</p> <p>3.0 Imports Entry Summary Details (Input 40-Record)</p> <p>4.0 Import Quantity & UOM (Input 42-Record)</p> <p>5.0 Import Revenue Claimed (Input 43-Record)</p> <p>6.0 Manufactured/Produced Article Description (Input 51-Record)</p> <p>7.0 Linking Import to Manufactured/Produced Article (Input 52-Record)</p> <p>8.0 Linking</p>	<p>1.0 Updated Record Structure Maps</p> <p>2.0 Added new data element, Substituted Unused Wine Certification</p> <p>2.1 Added new data element, Bill of Materials/Formula Certification</p> <p>2.2 Added new data element, Certification for Valuation of Destroyed Merchandise</p> <p>3.0 For CBP ES Line #, updated description to include "Required for Drawback provision 51-56, 58-75"</p> <p>3.1 Added new data element, Import Tracking Identification Number (ITIN)</p> <p>4.0 For Entered (Goods) Value Per Unit, updated description to be "The entered goods value ... places are implied."</p> <p>4.1 Added new data element, Substituted Value Per Unit</p> <p>5.0 For Accounting Class Code, updated description to include new language, "The following Acct Class Code ... please see Appendix F."</p> <p>5.1 For Claim Amount, updated description to include "For Substituted lesser of Claim ... Summary Line, 1%".</p> <p>5.2 For Calculated Amount, updated description to include "For Substituted lesser of Claim ... Summary Line, 1%"</p> <p>6.0 Added new data element, Manufactured Tracking Identification Number (MTIN)</p> <p>7.0 Added new record, Linking Import to Manufactured/Produced Article (Input 52-Record)</p> <p>8.0 Added new record, Linking Manufactured/Produced Articles to</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		<p>Manufactured/Produced Articles to Source Manufactured Articles (Input 53-Record)</p> <p>9.0 Notice of Intent (Input 62-Record)</p> <p>10.0 TFTEA Export/Destroy Articles (Input 70-Record)</p> <p>11.0 TFTEA Export/Destroy Articles Description (Input 71-Record)</p> <p>12.0 Linking Export to Import Article (Input 72-Record)</p> <p>13.0 Linking Export to Manufactured/Produced Article (Input 73-Record)</p> <p>14.0 Revenue Totals (Input 90-Record)</p> <p>15.0 Appendix A Drawback Provisions</p> <p>16.0 Appendix F User Fee Accounting Class Codes</p>	<p>Source Manufactured Articles (Input 53-Record)</p> <p>9.0 Added new data element, Results of Examination or Witness of Destruction</p> <p>10.0 Added new record, TFTEA Export/Destroy Articles (Input 70-Record)</p> <p>11.0 Added new record, TFTEA Export/Destroy Articles Description (Input 71-Record)</p> <p>12.0 Added new record, Linking Export to Import Article (Input 72-Record)</p> <p>13.0 Added new record, Linking Export to Manufactured/Produced Article (Input 73-Record)</p> <p>14.0 Added new note, Note 1, "Grand Total Duty ... 365, 674, 675."</p> <p>15.0 Added new Drawback Provisions, 51 – 75</p> <p>16.0 Added new appendix, Appendix F User Fee Accounting Class Codes</p>
3	January 31, 2017	<p>1.0 Imports Entry Summary Details (Input 40-Record)</p> <p>2.0 Manufacture/Produced Article Grouping (Input 50-Record)</p> <p>3.0 Revenue Totals by Accounting Class Code (Input 89-Record)</p>	<p>1.0 Updated language CD to Drawback Eligibility/CD and removed reference to CM&D. Removed Note 4 and updated Note 3. Added Explanation for X and T</p> <p>2.0 Manufacturing Ruling Number was changed from Conditional to Mandatory. Added Explanation for X and T</p> <p>3.0 Removed additional space between Note 1 and Note 2 so, that Note bullets and heading are on same page</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		4.0 Drawback Entry Summary Condition Reference (Output E0)	4.0 NOIHDR – Removed reference to Line number.
2	December 20, 2016	<p>1.0 Bond Information (Input 31-Record)</p> <p>2.0 Imports Entry Summary Details (Input 40-Record)</p> <p>3.0 Import Quantity & UOM (42-Record)</p> <p>4.0 Manufacture/Produced Article Grouping</p> <p>5.0 Export/Destroy</p>	<p>1.0 For Bond Description Type Code, updated description by removing “A = An additional bond; the bond... by the basic bond requirement”</p> <p>1.1 For Single Transaction Bond Amount, updated description from “STB coverage... Two decimal placed assumed” to “STB coverage... and no hyphens allowed”</p> <p>1.2 Updated ‘Single Transaction Bond Producer Account Number’ is conditionally required when reporting STB</p> <p>2.0 For Certificate of Delivery Indicator, updated description by adding, ‘Refer to current policy... CBP Form 7552”</p> <p>2.1 For Basis of Claim, updated description by adding, “Refer to current Policy for guidance”</p> <p>2.2 Updated Note 2 to remove Chronological Order reference</p> <p>2.3 Updated current policy guidance and clarified specific range of date language</p> <p>3.0 Updated Note 1 from, “UOM Code (X) ... Summary Line HTS.” to “UOM Code (X) ... or be listed in Appendix D”</p> <p>3.1 Removed Note 2 “Quantity (X) ... corresponding Import Entry Summary Line HTS”</p> <p>3.2 Updated Typos which referenced Appendix E instead of D</p> <p>4.0 In opening paragraph, updated description to include, “If Action indicator... 50 record is mandatory”</p> <p>4.1 Updated Reference to UOM</p> <p>5.0 For Notice of Intent, updated description by adding “If Y, Notice of</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		<p>Articles (Input 60-Record)</p> <p>6.0 Notice of Intent Grouping</p> <p>7.0 NAFTA Coding Group (Input 64-Record)</p> <p>8.0 Drawback Entry Summary Condition Reference (Output E0)</p> <p>9.0 Drawback Entry Summary Condition Reference (Output E1)</p>	<p>Intent... as instructed if necessary"</p> <p>5.1 Updated Reference to UOM</p> <p>5.2 Updated current policy guidance</p> <p>6.0 In opening paragraph, updated description to include, "If Notice of Intent Grouping provided... and E must be provided"</p> <p>7.0 For Duty Paid to Foreign Govt in Local Currency, updated description to include, "Two decimal places are implied"</p> <p>8.0 Note 1 SUMMRY, updated positions and updated description by removing CBP Team Number and Space</p> <p>8.1 Note 1 IMPORT, updated positions</p> <p>8.2 Note 1 CLASSI, updated positions</p> <p>8.3 Note 1 QTYUOM, updated positions</p> <p>8.4 Note 1 MANUFD, updated positions</p> <p>8.5 Note 1 EXPDES, updated positions and updated record number of Unique Identifier # from, "60-record" to "61-record"</p> <p>8.6 Note 1 NAFTAAD, updated positions</p> <p>8.7 Note 1 NAFTAAD, added record suffix to Returned 'Reference Data Text' Content Description / Source</p> <p>8.8 Added NOIHDR section to Note 1</p> <p>8.9 Added NOIEWR section to Note 1</p> <p>9.0 Removed Note 2 and updated Note 3-6. Please note new version only has 5 notes now.</p> <p>9.1 Updated Usage Notes B to remove reference to AD/CVD.</p> <p>9.2 Updated NAFTAAD record with typos on position for Entry Number, Entry Date and HTS</p> <p>9.3 E0 position 11-16 Removed</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		<p>10.0 Appendix B Accounting Class Code for Drawback Revenue Reporting</p> <p>11.0 Appendix C Check Digit Computation Formula</p> <p>12.0 Appendix D Bond Waiver Reason Codes</p> <p>13.0 Appendix E Unit of Measure</p> <p>14.0 Appendix F Condition Codes and Text</p>	<p>reference to Note 1</p> <p>9.4 Updated EXPDES, NOIHDR, IMPORT to update the positions on line number characters to 5.</p> <p>10.0 Removed from CATAIR</p> <p>11.0 Updated title from “Appendix C Check Digit Computation Formula” to “Appendix B Check Digit Computation Formula”</p> <p>12.0 Updated title from “Appendix D Bond Waiver Reason Codes” to “Appendix C Bond Waiver Reason Codes”</p> <p>13.0 Updated title from “Appendix E Unit of Measure” to “Appendix D Unit of Measure”</p> <p>14.0 Updated title from “Appendix F Condition Codes and Text” to “Appendix E Condition Codes and Text” and added all known Condition Codes and text.</p>
1	May 20, 2016	<p>1.0 Input Record Structure Map</p> <p>2.0 Drawback Entry Summary (Input 10-Record)</p>	<p>1.0 Updated description from “The following table... where Batch Control Header (A-Record) Application Identifier Code = AE” to “The following table... where Batch Control Header (A-Record) Application Identifier Code = DE”</p> <p>2.0 For Entry Number or Drawback Claim Number, updated description from “See AE Table 1 – Check Digit Computation Formula” to “See Appendix C – Check Digit Computation Formula”</p> <p>2.1 For Bond Waiver Reason Code, updated description from “See AE Table 4 – Bond Waiver Reason Codes” to “See Appendix D – Bond Waiver Reason Codes”</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		<p>3.0 Import Entry Summary Details (Input 40-Record)</p> <p>4.0 Import Quantity & UOM (Input 42-Record)</p> <p>5.0 Import Revenue Claimed (Input 43-Record)</p> <p>6.0 Manufactured/Product</p>	<p>3.0 For CBP ES Line #, updated design from "M" to "O"</p> <p>3.1 For Manuf Date Received, updated description from "If using 1313(b), record the numeric date, or midpoint for range of dates ... Action Indicator = X/T" to "If using 1313(b), record the numeric date, or earliest date Received if specifying a range of dates ... Action Indicator = X/T"</p> <p>3.2 For Manuf Date Used, updated description from "If using 1313(b), record the numeric date, or midpoint for range of dates ... Action Indicator = X/T" to "If using 1313(b), record the numeric date, or earliest date Used if specifying a range of dates ... Action Indicator = X/T"</p> <p>4.0 For Unit of Measure Code, updated description from "Appendix () to be decided" to "Appendix E Unit of Measure"</p> <p>5.0 For Accounting Class Code, updated description to include Acct Class Codes 674 and 675</p> <p>5.1 Renamed Data Element "Revenue Amount" to "Claimed Amount"</p> <p>5.2 For Claimed Amount, updated description</p> <p>5.3 Added new Data Element "Calculated Amount"</p> <p>5.4 For Calculated Amount, updated description</p> <p>5.5 Removed old Qualifier Indicator data element</p> <p>5.6 Updated positions</p> <p>5.7 For Basis of Claim, updated description to include 4 additional options</p> <p>6.0 Swapped position of data elements;</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		<p>ed Article Grouping (Input 50-Record)</p>	<p>Import Manufacture Ruling number, Filler, and Action Indicator</p> <p>6.1 For Production Date, updated description from “Record the numeric date or midpoint for range of dates on ... (month, day, year) format.” to “Record the numeric date or earliest date Produced, if specifying a range of dates on ... (month, day, year) format.”</p> <p>6.2 For Factory Location, updated description from “Indicate the city ... producing the exported article.” to “Indicate the city ... the exported article. Follow current guidance from the CBP if multiple plant locations are utilized.”</p>
		<p>7.0 Revenue Totals by Accounting Class Code (Input 89-Record)</p>	<p>7.0 Removed old data elements; Qualifier Indicator (1), Qualifier Indicator (2), Qualifier Indicator (3), and Qualifier Indicator (4)</p>
		<p>7.0 Revenue Totals by Accounting Class Code (Input 89-Record)</p>	<p>7.1 For Note 1, updated description from “See AE Table 6 – User Fee Accounting Class Codes” to “See Appendix B– Drawback Accounting Class Codes”</p>
		<p>8.0 Output Record Structure Map</p>	<p>8.0 Updated description from “The following table illustrates... in a proprietary format Drawback Entry Summary filing response by ACE” to “The following table illustrates... in a proprietary format Drawback Entry Summary filing response by ACE with Application Identification Code DX.”</p>
		<p>9.0 Appendix B Accounting Class Code for Drawback Revenue Reporting</p>	<p>9.0 Added new Acct Codes 674 Oil Spill Tax and 675 Domestic Paid Tax</p>
		<p>10.0 Appendix D Bond Waiver Reason Codes</p>	<p>10.0 Added table detailing different Bond Waiver codes</p>
		<p>11.0 Appendix E Unit of Measure</p>	<p>11.0 Added table detailing different Unit of Measures</p>



Revision Number	Date of Change	Section(s) Affected	Brief Description of Change
		12.0 Appendix F Condition Codes and Text	12.0 Added table to be decided
		13.0 Notice of Intent (Input 62-Record)	13.0 The 62-Record has been updated to be 'Optional'
		14.0 Examination & Witness Record (Input 63-Record)	14.0 The 63-Record has been updated to be 'Optional'
		15.0 ACE ABI CATAIR	15.0 The CATAIR has been updated to include page numbers

DRAFT



Introduction

This *chapter* is intended to provide the conventional trade interface information for the ACE-version of a Drawback Entry Summary filing and TFTEA Drawback Entry Summary filing. Therefore, this document should be used as the only implementation guide when programming the data elements required for reporting an ACE entry summary.

Presented in this document are the Drawback Entry Summary input transaction *proprietary* records used by the importing trade to establish and maintain an electronic Drawback Entry Summary and the output transaction *proprietary* records returned in response.

Input records are those that are used to report specific business data. The input record layouts describe the mandatory, conditional, and optional data elements required by the automated EDI interface. While data element specific directions for input filing are noted throughout, following the input format definitions is a sub-section that provides various clarifications regarding an Entry Summary filing.

The output record layouts describe a response to a filing as generated and returned by the automated EDI interface. Following the output format definitions is a sub-section that provides various clarifications regarding an Entry Summary response.

To facilitate preparations for programming in advance of the forthcoming rulemaking pursuant to Section 906 of the Trade Facilitation and Trade Enforcement Act of 2015, CBP is providing this DRAFT guidance. The reader should be advised that this technical document is considered a DRAFT and is subject to revision before a final version is provided. Any decisions a reader makes based on this draft document are taken voluntarily and with the understanding that the draft may be revised.



Record Layout Key

The following key describes the columns of the record layout definitions that follow.

Data Element:

Contains the name of the reported data field.

Length:

Indicates the maximum, allowed length of the reported data element.

Class:

Defines the domain of values accepted for the data element. The class definition represents the accepted domain to be used in **all** filing scenarios. Class codes are as follows:

S = Space ONLY.

A = Alphabetic Data. Consists of characters A through Z (uppercase ONLY).

N = Numeric Data Only. Consists of numerals 0 through 9.

(S)N = Numeric Data Variation. May contain '**Numeric Data Only**' **or** may consist of one or more spaces followed by numerals 0 through 9. Must consist of at least one right justified numeral. This variation of numeric class may be used for amounts, rates, and counts (where specified).

AN = Alphanumeric Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, and space.

D = Known Date. Consists of numerals 0 through 9 (format MMDDYY).

X = Special Data. Consists of characters A through Z (uppercase ONLY), numerals 0 through 9, space, and any other character found on a standard keyboard. The following characters are accepted:

! @ # \$ % ^ & * () - _ = + [{] \ | ; : ' " , < . > / ? ` ~

Generally, the numeric data class is reserved for a data element used as an integer. It may also include a data element used as a sequencer (e.g., a line number).

Generally, any identifier or code is classed as alphanumeric. Note that this would include an identifier or code that may be required to be reported as all numerals.

Position:

Indicates the beginning and ending position, respectively, of the reported data element within the 80-character record.

Designation:

Indicates the reporting requirement as follows:

M = Mandatory. A value conforming to the listed class is required in all filing scenarios.

C = Conditional. A value conforming to the listed class may or may not be required for a particular filing scenario. The usage notes will describe the specific cases in which the data element is required or not allowed to be reported.

O = Optional. A value conforming to the listed class will be conditionally accepted if provided. An optional data element may be subject to validation, however.



Description:

Defines the data element and provides a further description of how it is to be reported. May include a list of acceptable values, an exception or further specification of the data class, and/or various rules regarding format, justification, and truncation.

Note:

Points to a further discussion regarding the reporting of the particular data element.

Input Record Structure Maps

Input Structure Map Submission Notes

- Spaces must be transmitted in a data element marked 'filler'.
- Transmit ONLY uppercase alphabetic characters A through Z. CBP will routinely convert all Transaction Grouping lowercase alphabetic characters to uppercase alphabetic characters during its processing.
- Transmit ONLY displayable characters found on a standard keyboard. Do not transmit low-values, carriage return characters, or other non-standard character.
- CBP will routinely discard leading spaces in a Transaction Grouping data element when the class is A=Alphabetic, AN=Alphanumeric, or X=Special Data

The following table illustrates how the automated interface expects repeating groups to be structured in a proprietary format Block Control Grouping where Batch Control Header (A-Record) Application Identifier Code = DE.

Block Control Input Structure Map

Control ID	Name	Designation	Loop Repeat
	Block Control Grouping	M	1
B	Block Control Header	M	
	Entry Summary TRANSACTION Grouping (...documented below...)	M	> 1

Note: See the *ABI Batch & Block Control* chapter of the ACE CATAIR for a complete description of the Block Control envelope (B-, Y-Records and Batch Control envelope A-, Z-Records).



Drawback Claim (Entry summary) Transaction Input Structure Map

The following tables illustrate how the automated interface expects repeating groups to be structured in a proprietary format Drawback Entry Summary Transaction Grouping.

Control ID	Name	Designation	Loop Repeat	
Drawback Entry Summary TRANSACTION Grouping				
Drawback Entry Summary Header Grouping				
		M	1	1
10	Drawback Entry Summary	M	1	1
Bond Details Grouping				
31	Bond Information	M	1	1
Imports Grouping				
		M	1	5000
40	Imports Entry Summary Details	M	1	1
Imports Classification Grouping				
		M	1	8
41	Imports Classification	M	1	1
Import Quantity & UOM Grouping				
		M	1	3
42	Import Quantity & UOM	M	1	1
43	Import Revenue Claimed	M	1	20
Manufactured/Produced Articles Grouping				
		C	0	5000
50	Manufactured/Produced Articles	M	1	1
51	Manufactured/Produced Descriptions	M	1	1
Linking Import to Manufactured/Produced Article Grouping				
		C	0	999
52	Linking Import to Manufactured/Produced article	C	0	1
53	Linking Manufactured/Procedure Articles to Manufactured/Produced article	C	0	1
Export/Destroy Articles Grouping				
		C	1	5000
60	Export/Destroy Articles	M	1	1
61	Export/Destroy Descriptions	M	1	1



	Notice of Intent Grouping	O	0 -	1
62	Notice of Intent	M	1	1
63	Examination & Witness Record	M	1	2
	NAFTA Details Grouping	C	0 -	5000
64	NAFTA Coding Group	M	1	1
	TFTEA Export/Destroy Articles Grouping	C	0 -	5000
70	Export/Destroy Articles	M	1	1
71	Export/Destroy Descriptions	M	1	1
	Linking Import/Manufactured/Produced to Export/Destroy Article Grouping	C	0 -	999
72	Linking Export to Import/ article	C	0	1
73	Linking Export to Manufactured/Produced article	C	0	1
	Total Grouping	M	1 -	1
89	Revenue Total by Accounting Class Code	M	1	99
90	Revenue Totals	M	1	1

The total number of items in the grouping cannot exceed the maximum specified. Until such time that the automated environment supports the larger groupings, the first number (if more than one specified) is the limitation (i.e., the limitation compatible with ACS).

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional



Drawback Entry Summary Filing INPUT Record Layouts

Drawback Entry Summary TRANSACTION Grouping

The Drawback Entry Summary TRANSACTION Grouping specifies the unique identity of an Entry Summary, control data such as a requested filing action, and conditionally, specific information regarding Imports, parties to the transaction, estimations of duties, taxes & fees, etc claimed. Each Drawback Entry Summary transaction begins with a Drawback Entry Summary Header Control (10-Record) record. All records that follow a 10-Record and precede a subsequent 10-Record (or Y-Record Block Control Trailer) record are considered as belonging to that reported Drawback Entry Summary. The Drawback Entry Summary TRANSACTION Grouping can be reported multiple times within a single block control grouping.

Drawback Entry Summary HEADER Grouping

The Drawback Entry Summary HEADER Grouping consists of information that applies to all articles reported for the shipment. The Drawback Entry Summary HEADER Grouping can be reported one time only within a single Drawback Entry Summary TRANSACTION Grouping.

Drawback Entry Summary (Input 10-Record)

The 10-Record identifies the Entry Summary, specifies an action request, and contains 'control' codes to determine conditional record and data element reporting. The 10-Record also contains 'payment intent' information. It is MANDATORY for each transaction.

10-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 10	
Summary Filing Action Request Code	1A	3-3	M	The action requested for this Entry Summary transaction: A = Add a Drawback Entry Summary. R = Full Replacement of Drawback Entry Summary Claim.	2
Entry Filer Code	3AN	4-6	M	Entry Filer's identification code (as assigned by CBP).	
Filler	2S	7-8	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number Or Drawback Claim Number	8AN	9-16	M	Unique identifying number assigned to the Entry by the Filer. Right justified with leading spaces and no hyphens allowed. The check digit must be computed using the formula found in ' <i>Appendix C – Check Digit Computation Formula</i> '.	
Filler	1S	17-17	M	Space fill.	
Drawback Filing Port	4AN	18-21	M	The code for the U.S. port that the Drawback Claim is being filed. 1001 - New York 3901 - Chicago 5301 - Houston	



10-Record Data Element	Length/Class	Position	Design	Description	Note
				2809 - San Francisco	
Broker Reference Number	9X	22-30	O	Filer/Preparer's internal Entry Summary identifier. Right justified with leading spaces and no hyphens allowed. Space fill if not used.	
Filler	3S	31-33	M	Space fill until expanded length fully supported. Reserved filler for future expansion of the Broker Reference Number when fully ACE compatible.	
Drawback Provision	2X	34-35	M	Please see attached Appendix A for valid values	
Bond Waiver Indicator	1AN	36-36	C	An indication that the bond has been waived in accordance with C.R. 142.4 (c) or other regulation. 0 = Bond waived/no bond required. Additionally, a 'waiver' reason may be required to be stated in 10-Record Bond Waiver Reason Code. Space fill if a bond is required. See Usage Note '(i) Bond & Surety Reporting' for more information.	1
Bond Waiver Reason Code	3AN	37-39	C	When required, the specific reason that the bond has been waived per the 10-Record Bond Waiver Indicator claim. See Usage Note '(i) Bond & Surety Reporting' for more information. See 'Appendix C – Bond Waiver Reason Codes'. Space fill if not required/not reported. For manufacturing drawback, acceleration is only granted if an approved drawback ruling is on file.	1
Accelerated Payment Request Indicator	1AN	40-40	C	Space Filled if not requesting Accelerated Payment Y = requesting Accelerated Payment and Bond is required See Usage Note '(i) Bond & Surety Reporting' for more information.	
One Time Waiver Indicator (OTW)	1AN	41-41	C	Y or space	
Wavier Prior Notice (WPN)	1AN	42-42	C	Y or space	



10-Record Data Element	Length/Class	Position	Design	Description	Note
Commercial Interchangeability (CID) or Commercial Ruling	1AN	43-43	C	Space if not used 0 = Claim-by-Claim 1 = Non-Binding Ruling 2 = Binding Ruling approved by CBP HQ 3 = CID Pending NOT ALLOWED for Provision 51-75	
Electronic Petroleum Certification	1AN	44-44	C	1313P and 1313P/1309 certification: (i) The exported merchandise was exported within 180 days of entry of the designated, imported merchandise; (ii) The qualified article and the exported article are commercially interchangeable or both articles are subject to the same 8-digit HTSUS tariff classification; (iii) To the best of the claimant's knowledge, the designated imported merchandise, the qualified article and the exported article have not and will not serve as the basis of any other drawback claim. (iv) Evidence in support of the certification will be retained by the person providing the certification for 3 years after payment of the claim; and (v) Such evidence will be available for verification by Customs. X = Filer's Electronic Signature. Space filled if not used	
Electronic Manufacturing Petroleum Certification	1AN	45-45	C	1313BP and 1313BP/1309 certification: (i) The exported merchandise was exported during the manufacturing period for the qualified article or within 180 days after the close of that period; (ii) The qualified article and the exported article are commercially interchangeable or both articles are subject to the same 8-digit HTSUS tariff classification; (iii) To the best of the claimant's knowledge, the designated imported merchandise, the qualified article and the exported article have not and will not serve as the basis of any other drawback claim; (iv) Evidence in support of the certification will be retained by the person providing the certification	



10-Record Data Element	Length/Class	Position	Design	Description	Note
				for 3 years after payment of the claim; and (v) Such evidence will be available for verification by Customs. X = Filer's Electronic Signature. Space filled if not used	
Oil Spill Tax Certification	1AN	46-46	C	Oil Spill Tax certification: 1. The claim meets all other statutory and regulatory requirements, including timeliness of the claim under 19 USC 1313(r). 2. The claimant certifies, that it has not and will not claim a refund, credit, or adjustment and will not enable any other person to claim any refund, credit, or adjustment of the tax. X = Filer's Electronic Signature. Space filled if not used	
NAFTA Drawback Claim Indicator	1AN	47-47	C	A claimed for exports under 1313(a), (b) or (j)(1) to Canada after 1/1/96, or to Mexico after 1/1/2002, must be a separate claim and filed based on the lesser of the two rule contained in the NAFTA regulations, Part 181. (NAFTA instructions can be obtained from any Drawback Center.)(Do not check if claim is for same condition merchandise under CFR 181.45(b).) NAFTA Certification: X = NAFTA Drawback Claim Space if not a NAFTA Claim	
Electronic Signature	1AN	48-48	M	A filer who transmits data or information through any electronic means to CBP certifies, the data or information transmitted is true and correct to the best of the filer's knowledge and belief. The filer also declares that all statements in an electronically transmitted entry, entry summary, invoice, claim, or other filing, are true and correct to the best of the filer's knowledge and belief. The filer will produce at once any data or information showing that the statements are not true and correct. X = Filer's Electronic Signature.	
Claimant ID or Importer Record Number of the Drawback Claimant.	12X	49-60	M	Identification of the U.S. party (IR Number) or other entity (individual or firm) filing the Drawback meeting all statutory and regulatory requirements incurred as a result of importation and/or exportation.	



10-Record Data Element	Length/Class	Position	Design	Description	Note
				Left justified with trailing spaces.	
Designated Notify Party (4811) Number	12X	61-72	C	Identification of the U.S. party or other entity (individual or firm) to whom refunds, bills, or notices of extension of suspension of liquidation are to be sent (if other than the Importer of Record). Space fill if not used.	
Substituted Unused Wine Certification	1AN	73-73	C	Substituted Unused Wine Certification (i) The imported wine and the exported wine are of the same color (i.e., red, white, or rosé). (ii) The alcoholic content of the imported wine and the exported wine does not exceed 14 percent by volume. (ii) The price variation between the imported wine and the exported wine does not exceed 50 percent. X = Filer's Electronic Signature. Space filled if not used Required when Drawback Provision is 74 TFTEA 1313(j)(2) WINE on position 34-35 Record 10.	
Bill of Materials/Formula Certification	1 AN	74-74	C	Bill of Materials/Formula Certification (i) The claimant is in possession of the applicable bill of materials or formula for the exported or destroyed article(s), which will be promptly provided upon request. (ii) The bill of materials or formula identifies the imported and/or substituted merchandise and the exported or destroyed article(s) by their 8-digit HTS subheading numbers. (iii) The bill of materials or formula identifies the manufactured quantities of the imported and/or substituted merchandise and the exported or destroyed article(s). X = Filer's Electronic Signature. Space filled if not used Required when Drawback Provision is 51, 52, 57, 61, 62, 71, 72, 75 on position 34-35 Record 10.	
Certification for Valuation of Destroyed Merchandise	1AN	75-75	C	Certification for Valuation of Destroyed Merchandise The undersigned hereby certifies that, for	



10-Record Data Element	Length/Class	Position	Design	Description	Note
				the destroyed merchandise herein described, the value of recovered materials (including the value of any tax benefit or royalty payment) that accrues to the drawback claimant has been deducted from the value of the imported (or substituted) merchandise designated by the claimant, X = Filer's Electronic Signature. Space filled if not used Conditional & only allowed when Drawback Provision is 53-56,58-59,73-74 on position 34-35 Record 10.	
Filler	5S	76-80	M	Space fill.	

Note

1. To conform to the existing standards, a value of '0' is to be used (rather than the conventional 'Y'.)
2. Summary Filing Action Request Code = R is only allowed when following conditions are true:
 - a. Control Status on Drawback Entry Summary Claim is Trade
 - b. All Export/Destroy record on resubmission Drawback Entry Summary Claim are within 3 years from Date of Export/Destroy to date of EDI transmission of the resubmission.
 - c. The following datasets on record 10 must match to the current version of Drawback Entry Summary Claim in ACE: Claimant ID, Filer, Entry Number, Drawback Filing Port, Bond Waiver Indicator, Bond Waiver Reason Code, and Accelerated Payment Request Indicator. By Extension of this rule, all Bond information must also match to the current version of Drawback Entry Summary Claim in ACE.

When Summary Filing Action Request Code = R, full Drawback Entry Summary Claim must be transmitted and will supersedes any previous submission.



Bond Details Grouping

The Bond Grouping contains reference to **BOTH** continuous and single transaction bond (STB) information and is **CONDITIONAL** for a Drawback Entry Summary TRANSACTION Grouping. The Bond Detail (31-Record) may be reported up to two times per summary. Do NOT transmit if NOT required. The grouping is **CONDITIONAL** if the 10-Record Summary Filing Action Request Code is an **A** (Add). See Usage Note '(i) Bond & Surety Reporting' for reporting/referencing of bond and Surety information covering conventional duty, taxes, and fees as well as any other coverage. A further discussion of what bond information is required for AD/CVD can be found in Usage Note '(ff) Articles Subject to Anti-Dumping / Countervailing Duty'.

Bond Information (Input 31-Record)

The 31-Record contains reference to a *single* Bond. The 31-Record is **MANDATORY** for a Bond Grouping.

31-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 31	
Bond Type Code	1AN	3-3	M	An indication of the type of bond coverage required for the payment of duties, fees, and taxes, when required. 8 = A continuous (multiple transaction) bond. 9 = A single transaction bond (STB).	1
Bond Designation Type Code	1AN	4-4	M	An indication as to the general purpose of the bond. B = The basic bond; the bond that secures the Entry and Entry Summary requirements.	



31-Record Data Element	Length/Class	Position	Design	Description	Note
Continuous Bond Indicator	1AN	5-5	C	An indication that the continuous bond cited here supersedes or is a substitute for the bond presented at time of entry. Y = Continuous bond supersedes the bond presented at time of entry. S = Substitution continuous bond replaces the bond presented at the time of entry. Space fill if continuous yet not superseding, substitution, or if STB.	
Surety Company Code	3AN	6-8	M	Identification of the Surety company that has underwritten the bond.	
Single Transaction Bond Amount	10(S)N or 10S	9-18	C	STB coverage amount in whole U.S. dollars. Right justified with leading spaces and no hyphens allowed. Space fill if continuous bond.	
Single Transaction Bond Producer Account Number	10AN	19-28	C	The Surety Reference Number from CBP form 301 as assigned by the Surety company of the STB. Left justified; trailing spaces. Space fill if continuous bond.	
Filler	52S	29-80	M	Space Fill.	

Note 1

The continuous bonds must be submitted first and single entry bond must follow continuous.



Imports Grouping

The Imports Grouping contains Import Entry Summary Details, Classification and Revenue Claimed and is MANDATORY for a Drawback Entry Summary TRANSACTION Grouping. The grouping is MANDATORY if the 10-Record Summary Filing Action Request Code is an A (Add).

Imports Entry Summary Details (Input 40-Record)

The 40-Record contains information regarding an Import and is MANDATORY for an IMPORT ENTRY Grouping.

40-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 40	
Action Indicator	1 AN	3-3	M	Indicate the code that applies to the actions covered by this drawback claim using the following codes: D = Destroyed L = Laden as Supplies M = Mail Shipment G = Government Mail V = Vessels or Aircrafts E = Exported X = Manufactured T = Manu. & Trans. or Trans Only F = Exported to FTZ	4
Filler	2S	4-5	M	Space fill until expanded length fully supported. Reserved filler for future expansion of the Line Item Identifier when fully ACE compatible.	
Entry Filer Code	3AN	6-8	C	Entry Filer's identification code (as assigned by CBP) on Import Entry Summary you are designating.	1,2
Filler	2S	9-10	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number.	
Entry Number	8AN	11-18	C	Unique Number portion of Import Entry Summary you are designating. Right justified with leading spaces and no hyphens allowed.	1,2
CBP ES Line#	5N	19-23	C	Current CBP ES Line Number Right justified with leading spaces and no hyphens allowed. Required for Drawback Provision 51-56, 58-75.	1
Drawback Eligible/Certificate of Delivery (CD)	1AN or 1S	24-24	C	Space X = Drawback Eligible or Certificate of Delivery (CD) on file Refer to current policy for guidance relates to CBP Form 7552.	3
Manufacture Ruling number	10AN or 10S	25-34	C	Indicate the general or specific drawback ruling number assigned to your company. Use Numeric portion of the Manufacturing	



40-Record Data Element	Length/Class	Position	Design	Description	Note
				Ruling Number without the hyphens. If a ruling is pending CBP's approval, indicate '9999999999' when submitting via ABI. Must provide if Action Indicator = X/T. Right justified with leading spaces and no hyphens allowed.	
Filler	2AN	35-36	M		
Basis of Claim	2AN	37-38	C	Space if not used 01 Used in 02 Used in less Valuable Waste 03 Relative Value 04 Appearing in 05 Used in / used in less valuable waste 06 Used in / relative value 07 Used in less valuable waste / relative value 08 Used in / used in less valuable waste / relative value Refer to current policy for guidance	
Manuf Date Received	6D or 6S	39-44	C	If using 1313(b), record the numeric actual date, or earliest date Received if specifying a range (30 days) of dates, when the imported merchandise was received at the factory for processing as authorized by regulation. A date in MMDDYY (month, day, year) format. Must provide if the Action Indicator = X/T. Refer to current policy for additional guidance.	
Manuf Date Used	6D or 6S	45-50	C	If using 1313(b), record the numeric actual date, or earliest date used if specifying a range (30 days) of dates, when the designated (imported) merchandise was used to manufacture a new and different article as authorized by regulation. The manufacturing process must be completed within three years after receipt of the designated merchandise at the factory. A date in MMDDYY (month, day, year) format. Must provide if the Action Indicator = X/T. Refer to current policy for additional guidance.	
Import Tracking Identification Number (ITIN)	5N	51-55	C	Required for Drawback Provision 51-56, 58-75. ITIN is unique identifier within Drawback for each 40 record and be sequential number. Cannot be repeated within the drawback and cannot overlap with Manufactured Tracking Identification Number (MTIN). Please note this numeric value and leave room to grow in the future up to 99,999.	



40-Record Data Element	Length/Class	Position	Design	Description	Note
Filler	25S	56-80	M	Space fill.	

Notes:

1. Not allowed when Provision is 1313D, else it's optional.
2. Record import entry number(s) you are designating as per current policy. . These numbers are used to identify the entry for retrieval in order to verify actual duties paid and merchandise imported. Entry numbers consist of XXX-NNNNNNN-C, and appear on the CBP-Form 7501 or the delivery certificate (CBP Form 7552) prepared by the importer and issued to your company. XXX portion is as Entry Filer Code and NNNNNNN-C is reported as Entry Number.
3. If the product received was manufactured under drawback regulations by another company using imported merchandise, or the Importer and claimant have different suffixes, but the EINs are the same. Designate appropriate import Entry Summary and use Drawback Eligibility/ Certificate of Delivery Indicator as indicated below.
4. The following definition for X and T must be followed:

X = Designated merchandise has been used in a manufacturing process and will not be transferred to a separate legal entity prior to exportation or destruction (No ACS CD or CMD).

T = Merchandise and Drawback rights have been transferred to a separate legal entity (ACS CD); or, Merchandise and Drawback Rights have been transferred to a separate legal entity for the purpose of manufacturing (ACS CMD).

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Imports Classification Grouping

The Imports Classification Grouping contains Import Classification and Quantities claimed and is **MANDATORY** for the Imports Grouping. The grouping is **MANDATORY** if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace).

Import Classification (Input 41-Record)

The 41-Record contains articles classification, description and duty rate of the article and is **MANDATORY** in the IMPORT ENTRY Grouping.

41-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 41	
HTS Number	10AN	3-12	M	A numeric code from the Harmonized Tariff Schedule that fully or partially describes/classifies the article. Report the full 10-digit or 8-digit classification number applies when possible. Minimum of first 6 digits required. For petroleum claims, provide the first eight digit number. HTS Number must match on the Import Entry Summary and Import ES Line reported on Record 40. Right justified with leading spaces and no hyphens allowed.	
Article Description Text	50X	13-62	M	Provide a description of the imported merchandise (as shown on the import invoice) that covers this drawback transaction. Include in the description any model, style or part numbers and/or grades, colors, and sizes. Packaging material, used with articles being exported/destroyed under subsection 1313(a), (b), (c), (j), or (p) should be included in this section. List all items or part numbers that are selected for designation on this claim that are on an individual import entry number before proceeding to the next import entry number and its information. Refer to current policy for additional guidance. Left justify with trailing spaces.	
Filler	18S	63-80	M	Space fill.	



Imports Quantity & UOM Grouping

The Imports Quantity & UOM Grouping contains details on Quantities claimed and UOM and is **MANDATORY** for the Imports Classification Grouping. The grouping is **MANDATORY** if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace).

Import Quantity & UOM (Input 42-Record)

The 42-Record contains qty used, UOM of the Import articles used and is **MANDATORY** in the Imports Grouping. The 42-Record loops to 41 record so that each 41 record must be accomplished by at least 42 record.

42-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 42	
Quantity	16(S)N or 16S	3-18	M	Record the quantity (as shown on the import voice) of the designated merchandise or drawback product used for the exported or destroyed article. Total number of primary units, associated with the HTS Number reported in 41 Record and corresponds to UOM Code report in position 19-21 of this record. Four decimal places are implied. Space fill if quantity not required/does not apply.	
Unit of Measure Code	3AN	19-21	M	A unit of measure code that corresponds to the Quantity. UOM must be valid unit of measure as describe in Appendix D Unit of Measure	1
Allowable QTY	16(S)N or 16S	22-37	C	Total number of primary units, associated with the HTS Number reported in 41 Record and corresponds to UOM Code report in position 19-21 of this record. Four decimal places are implied. Space fill if quantity not required/does not apply.	2
Entered (Goods) Value per Unit	16(S)N or 16S	38-53	M	The entered goods value per unit is determined based on how the merchandise is identified. Four decimal places are implied.	
Substituted Value per unit	16(S)N or 16S	54-69	C	The substituted value per unit is determined based on the provision under which drawback is claimed and is only reported when the "lesser of rule" is applicable to the claim. Four decimal places are implied.	



42-Record Data Element	Length/Class	Position	Design	Description	Note
Filler	11S	54-80	M		

Notes:

1. UOM Code (X) must match with UOM Code (X) on the corresponding Import Entry Summary Line HTS, or be listed in Appendix D.
2. Allowable QTY (X) is equal to Quantity in record 42 position (3-18) minus used in valuable waste OR relative value of articles.

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Import Revenue Claimed (Input 43-Record)

The 43-Record contains revenue amounts of the imports claimed on Drawback and is MANDATORY for a Imports Grouping.

43-Record Data Element	Length/Class	Position	Design	Description	Note																
Control Identifier	2AN	1-2	M	Always 43																	
Accounting Class Code	3AN	3-5	M	<p>CBP accounting classification code representing a specific fee type.</p> <p>The following Acct Class Code are allowed for Drawback and eligible for Accelerated payment (AP) with proper privileges and Bond requirement on file. AP request will be removed from Claims filed with Accounting Class Code not listed here.</p> <table border="1"> <thead> <tr> <th>Acct Class Code</th> <th>Description</th> </tr> </thead> <tbody> <tr> <td>364</td> <td>Drawback Duty</td> </tr> <tr> <td>365</td> <td>Drawback Tax(es)</td> </tr> <tr> <td>369</td> <td>PR Drawback Duty</td> </tr> <tr> <td>398</td> <td>Drawback HMF</td> </tr> <tr> <td>399</td> <td>Drawback MPF</td> </tr> <tr> <td>674</td> <td>Oil Spill Tax</td> </tr> <tr> <td>675</td> <td>Domestic Tax</td> </tr> </tbody> </table> <p>For Drawback Provision 51-75. CBP will accept digital Drawback claim with additional accounting class codes beyond Drawback Accounting Class Code listed above. Drawback Claim beyond Drawback Accounting Class Code listed above is subject to additional reviews and Claim is not eligible for Accelerated Payment.</p> <p>For additional list of all allowable Accounting Class code, please see Appendix F</p>	Acct Class Code	Description	364	Drawback Duty	365	Drawback Tax(es)	369	PR Drawback Duty	398	Drawback HMF	399	Drawback MPF	674	Oil Spill Tax	675	Domestic Tax	2, 3
Acct Class Code	Description																				
364	Drawback Duty																				
365	Drawback Tax(es)																				
369	PR Drawback Duty																				
398	Drawback HMF																				
399	Drawback MPF																				
674	Oil Spill Tax																				
675	Domestic Tax																				
Claim Amount	8(S)N	6-13	M	<p>Record the figure calculated by multiplying the designated quantity by the Entered Value per unit by the Duty Rate from the corresponding underlying Entry Summary Line, less 1%. The 99% amount is expected refund. For claims prepared under drawback provisions with no 1% deduction, show the 100% figure.</p> <p>Show tax amounts, MPF amounts, and HMF amounts as a separate line item.</p>	1																



43-Record Data Element	Length/Class	Position	Design	Description	Note
				<p>For claims with valuable waste, use the total amount used minus valuable waste.</p> <p>For NAFTA Claim, use the lesser of two duties paid (US duty paid vs CA/MX duty paid)</p> <p>For Substituted lessor of Claim under TFTEA, Record the lessor of figure calculated by multiplying the designated quantity by the Entered Value per unit or Substituted Value per unit by the Duty Rate from the corresponding underlying Entry Summary Line, less 1%.</p> <p>The applicable fee amount in U.S. dollars and cents.</p> <p>Two decimal places are implied.</p>	
Calculated Amount	8(S)N	14-21	M	<p>Record the figure calculated by Two decimal places are implied.</p> <p>Record the figure calculated by multiplying the designated quantity by the Entered Value per unit by the Duty Rate from the corresponding underlying Entry Summary Line, less 1%.</p> <p>For claims with valuable waste, use the total amount used without subtracting valuable waste.</p> <p>For NAFTA Claim, use the total amount of US duties paid on the corresponding underlying Entry Summary line used for the NAFTA Export minus 1%.</p> <p>For Substituted lessor of Claim under TFTEA, Record the figure calculated by multiplying the designated quantity by the Entered Value per unit by the Duty Rate from the corresponding underlying Entry Summary Line, less 1%.</p> <p>The applicable fee amount in U.S. dollars and cents.</p> <p>Two decimal places are implied</p>	
Filler	67S	22-80	M	Space fill.	



Note 1

Report only if an applicable duties, taxes, or fees is being claimed.

Note 2

Duties, Taxes, or Fees may be reported in any Accounting Class order, if more than one revenue line applies.

Note 3

When reported, must also be reflected in the 89-Record Revenue Total Detail.

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Manufactured/Produced Article Grouping

The Manufactured/Produced Article Grouping contains details on Manufactured and produced articles and is **CONDITIONAL** for the Drawback Entry Summary Transaction Grouping. If the Action Indicator on Record 40 or Record 50 is X or T then at least 1 corresponding Record 50 is mandatory.

Manufactured/Produced Article Grouping (Input 50- Record)

The 50-Record contains articles classification, quantity, UOM of the manufactured article and is **MANDATORY** in the Manufactured/Produced Articles Grouping.

50-Record Data Element	Length/ Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 50	
Action Indicator	1 AN	3-3	M	Indicate the code that applies to the actions covered by this drawback claim using the following codes: D = Destroyed L = Laden as Supplies M = Mail Shipment G = Government Mail V = Vessels or Aircrafts E = Exported X = Manufactured T = Manu. & Trans. or Trans Only F = Exported to FTZ	1
Import Manufacture Ruling number	10AN or 10S	4-13	M	Must exist in one of the 40 record Use Numeric portion of the Manufacturing Ruling Number without the hyphens. Use 999999999 if your ruling application is pending.	
Filler	2 S	14-15	M		
HTS Number	10AN	16-25	M	A numeric code from the Harmonized Tariff Schedule or Schedule B that fully or partially describes/classifies the article Manufactured or produced. Report the full 10-digit or 8-digit classification number applies. Minimum of first 6 digits are required. Right justify with leading spaces when reporting less than 10 digits. .	
Quantity	16(S)N or 16S	26-41	M	Indicate the quantity for each article manufactured or produced. Total number of primary units, associated with the HTS Number that corresponds to UOM Code. Four decimal places are implied.	



50-Record Data Element	Length/Class	Position	Design	Description	Note
Unit of Measure Code	3AN	42-44	M	Indicate the unit of measure for each article manufactured or produced. A unit of measure code that corresponds to the Quantity. UOM must be valid unit of measure as describe in Appendix D Unit of measure.	
Production Date	6D or 6S	45-50	M	Record the numeric actual date or earliest date Produced, if specifying a range (30 days) of dates on which the manufacture or production of the exported article was completed as authorized by regulation. This date must be within 5 years of the date of importation. A date in MMDDYY (month, day, year) format. Refer to current policy for additional guidance.	
Factory Location	30AN	51-80	M	Indicate the city and state of the factory producing the exported article. Follow current guidance from CBP if multiple plant locations are utilized.	

Note 1: The following definition for X and T must be followed:

X = Designated merchandise has been used in a manufacturing process and will not be transferred to a separate legal entity prior to exportation or destruction (No ACS CD or CMD).

T = Merchandise and Drawback rights have been transferred to a separate legal entity (ACS CD); or, Merchandise and Drawback Rights have been transferred to a separate legal entity for the purpose of manufacturing (ACS CMD).



Manufactured/Produced article Description (Input 51-Record)

The 51-Record contains articles description of the manufactured article and is MANDATORY in the Manufactured/Produced Articles Grouping.

51-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 51	
Article Description Text	50X	3-52	M	Record the description of merchandise used in the manufacturing process. If substituted merchandise is used, it must meet same kind and quantity requirements as stated in the drawback ruling. The substituted merchandise must be used to manufacture the exported articles within 3 years after the imported merchandise was received at the factory. Left justify.	
Manufacture Ruling number	10AN or 10S	53-62	C	Required if Action Indicator in corresponding record 50 is X or T Use Numeric portion of the Manufacturing Ruling Number without the hyphens. Use 9999999999 if your ruling application is pending.	
Manufactured Tracking Identification Number (MTIN).	5N	63-67	M	Required for Drawback Provision 51-75. MTIN is unique identifier within Drawback for each 40 record and be sequential number. Cannot be repeated within the drawback and cannot overlap with Import Tracking Identification Number (ITIN). Please note this numeric value and leave room to grow in the future up to 99,999.	
Filler	13S	68-80	M		



Linking Import to Manufactured/Produced Article Grouping

The **Linking Import to Manufactured/Produced Article Grouping** links Entry Summary Import to Manufactured/Produced Records. This is **CONDITIONAL** for the **Manufactured/Produced Article Grouping**. If the Action Indicator on Record 40 or Record 50 is X or T then at least 1 corresponding Record 52 or Record 53 is mandatory for Drawback Provision 51-75.

Linking Import to Manufactured/Produced article (Input 52-Record)

The 52-Record contains ITINs to link the articles used to manufactured/produced article and is conditional in the **Linking Import to Manufactured/Produced Article Grouping**

52-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 52	
Import Tracking Identification Number (ITIN)	5N	3-7	C	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	8-12	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	13-17	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	18-22	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	23-27	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	28-32	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	33-37	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	38-42	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking	5N	43-47	O	ITIN is reference or link to related 40	



52-Record Data Element	Length/Class	Position	Design	Description	Note
Identification Number (ITIN)				Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	48-52	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	53-57	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	58-62	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	63-67	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	68-72	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Import Tracking Identification Number (ITIN)	5N	73-77	O	ITIN is reference or link to related 40 Record for merchandise used for this manufacturing process.	
Filler	3S	78-80	M	Space filled	



Linking Manufactured/Produced articles to Source Manufactured articles (Input 53-Record)

The 53-Record contains MTINs to link the articles used to manufactured/produced and is conditional in the **Linking Import to Manufactured/Produced Article Grouping**

53-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 53	
Manufactured Tracking Identification Number (MTIN).	5N	3-7	C	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	8-12	C	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	13-17	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	18-22	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	23-27	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	28-32	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	33-37	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	38-42	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	43-47	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	48-52	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	53-57	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	



53-Record Data Element	Length/Class	Position	Design	Description	Note
Manufactured Tracking Identification Number (MTIN).	5N	58-62	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	63-67	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	68-72	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Manufactured Tracking Identification Number (MTIN).	5N	73-77	O	MTIN is reference or link to related 50 Record for merchandise used for this manufacturing process.	
Filler	3S	78-80	M	Space filled	

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**Export/Destroy Articles Grouping**

Export/Destroy Articles Grouping is MANDATORY for NON-TFTEA CLAIM – Drawback Provision 01-23.
Not allowed for Drawback Provision 51-75.

Export/Destroy Articles (Input 60-Record)

The 60-Record contains details of exported or destroy articles and is MANDATORY in the Export/Destroy Articles Grouping.

60-Record Data Element	Length /Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 60	
Export/Destroy Indicator	1 AN	3-3	M	E= Export D = Destroy	
HTS Number	10AN	4-13	M	A numeric code from the Harmonized Tariff Schedule or Schedule B that fully or partially describes/classifies the article. Report the full 10-digit or 8-digit classification number applies when possible. Minimum of first 6 digits are required. All petroleum claims, 8 digits are required. Right justify with leading spaces when reporting less than 10 digits. .	
Quantity	16(S)N or 16S	14-29	M	Provide the quantity for each individual item. Total number of primary units, associated with the HTS Number that corresponds to UOM Code. Four decimal places are implied.	
Unit of Measure Code	3AN	30-32	M	A unit of measure code that corresponds to the Quantity as prescribed by the HTS Number reported.	
Export/Destroy Date	6D or 6S	33-38	M	Record the six-digit date, in chronological order, of the exported or destroyed articles. This date must be the date the articles actually left the territory of the United States or the date of destruction, transfer, etc. A date in MMDDYY (month, day, year) format.	
Notice of Intent Indicator	1AN	39-39	C	Y or Space If Y, Notice of Intent Grouping is optional. Refer to current policy for guidance as it's relates to CBP Form 7553. Use DIS as instructed if necessary.	
Waiver to Drawback Claim Rights	1 AN	40-40	C	Y = Waiver on File Or Space	



60-Record Data Element	Length /Class	Position	Design	Description	Note
Name of Exporter/Destroyer	30AN	41-70	M	Record the name of the firm or individual who exported/destroyed/transferred the article. The exporter/destroyer is the party entitled to drawback. If the name of the exporter/destroyer is other than the claimant, a waiver letter, issued by the exporter/destroyer giving the export rights to the claimant must be filed with CBP.	
Country of Ultimate Destination	2 AN	71-72	C	Record the 2 digit International Organization for Standardization(ISO) code for the foreign country destination Must be Space if Export/Destroy Indicator = D	
BOL Indicator	1 AN	73-73	O	Y or Space if Unique Identifier number is a valid outbound Bill of Lading filed with AES System or Space Must be Space if Export/Destroy Indicator = D	
BOL Carrier Code	4AN	74-77	O	Required if BOL Indicator = Y Must be Space if Export/Destroy Indicator = D	
Filler	3S	78-80	M	Space filled	



Export/Destroy Articles Descriptions (Input 61-Record)

The 61-Record contains description of exported or destroy articles and is MANDATORY in the Export/Destroy Articles Grouping.

61-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 61	
Article Description Text	50X	3-52	M	Provide a description of each article exported/destroyed,etc. Include in this description any model, style, or part numbers and/or grades, colors, and sizes. Each item must be listed individually. Left justify with trailing spaces	
Unique Identifier Number	28 AN	53-80	M	List the export bill of lading or invoice number of the shipping document to evidence exportation. An export invoice number may be used to trace back to the evidence of exportation. For actions other than exportations, list the appropriate document.	1

Note 1

An export summary must be provided for all exportations. A CBP Form 7553, Notice of Intent (NOI) must be submitted, if you are claiming 1313 (c) or 1313(j), unless you are approved for Waiver of Prior Notice. A CBP Form 7553 is also required for NAFTA Same Condition or a claim under section 5062(c) IRC or when a manufactured article is destroyed. Refer to current policy for additional guidance and exceptions. A CBP Form 214, Application for Foreign Trade Zone Admission and/or Status Designation must be attached for a FTZ transfer. A CBP Form 7514, Drawback Notice (Lading/Foreign Trade Zone Transfer) must be attached for vessel supplies.



Notice of Intent Grouping

Notice of Intent Grouping is optional grouping. If Notice of Intent Grouping provided, Record 62 must be followed by at least 1 63 Record with Record Indicator = P. If Examination/Witness indicator =X on Record 62, two 63 record with Record Indicator = P and E must be provided.

Notice of Intent (Input 62-Record)

The 62-Record contains details Notice of Intent to Export or Destroy merchandise and is Optional in the Notice of Intent Grouping.

62-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 62	
Intended Port of Export	4AN	3-6	C	The code for the U.S. port that the merchandise is intended to Export	
Examination/Witness indicator	1AN	7-7	M	Space = Waived X = Exam Required & Witnessed	
Location of Destruction	30AN	8-37	C	City and State	
Results of Examination or Witness of Destruction	1AN	38-38	C	Required if Examination/Witness indicator = X D=discrepant N=Non-discrepant Space = If Examination/Witness Waived	
Filler	42S	39-80	M		



Examination & Witness Record (Input 63-Record)

The 63-Record contains details Notice of Intent to Export or Destroy merchandise and is Optional in the Notice of Intent Grouping.

63-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 63	
Record Indicator	1AN	3-3	M	P = Processor E = Examiner	
Name of CBP Personnel	40AN	4-43	M		
CBP Personnel Badge #	12AN	44-55	M		
CBP Personnel Phone #	10 AN	56-65	M		
Processing/Examination Date	6D or 6S	66-71	M		
Filler	9S	72-80	M		

Note 1

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NAFTA Detail Grouping

NAFTA detail grouping is CONDITIONAL grouping. If Record 10 position 47-47 is marked 'Y' then NAFTA Detail Grouping is required.

NAFTA Coding Group (Input 64-Record)

The 64-Record contains details of NAFTA Coding Sheet to report Duty paid to Foreign Government and is MANDATORY in the NAFTA Details Grouping.

64-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 64	
Entry Number	20AN	3-22	M		
Entry Date	6D or 6S	23-28	M	Date of Entry into the Foreign Country	
Duty Paid to Foreign Govt in Local Currency	10(S)N	29-38	M	Duty Paid to Foreign Govt in Local Currency Two decimal places are implied	
Exchange Rate	10(S)N	39-48	M	Exchange Rate to ONE US DOLLAR Six decimal places are implied.	
Tariff Number 1	10AN	49-58	M	HTS Number as filed with foreign Govt	
Tariff Number 2	10AN	59-68	C	HTS Number as filed with foreign Govt	
Tariff Number 3	10AN	69-78	C	HTS Number as filed with foreign Govt	
Country of Export	2S	79-80	M	Must be CA or MX	

**TFTEA Export/Destroy Articles Grouping**

Export/Destroy Articles Grouping is MANDATORY for TFTEA CLAIM – Drawback Provision 51-75. Not allowed for Drawback Provision 01-23.

TFTEA Export/Destroy Articles (Input 70-Record)

The 70-Record contains details of exported or destroy articles and is MANDATORY in the TFTEA Export/Destroy Articles Grouping.

70-Record Data Element	Length /Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 70	
Export/Destroy Indicator	1 AN	3-3	M	E= Export D = Destroy	
HTS Number	10AN	4-13	M	A numeric code from the Harmonized Tariff Schedule or Schedule B that fully or partially describes/classifies the article. Report the full 10-digit. If only 8-digit classification number without statistical last two digits then add two zeros to make it 10 digits. All TFTEA claims must be filed with 10 digits HTS numbers. When using Schedule B Tariff, please mark Schedule B Tariff as X	
Quantity	16(S)N or 16S	14-29	M	Provide the quantity for each individual item. Total number of primary units, associated with the HTS Number that corresponds to UOM Code. Four decimal places are implied.	
Unit of Measure Code	3AN	30-32	M	A unit of measure code that corresponds to the Quantity as prescribed by the HTS Number reported.	
Export/Destroy Date	6D or 6S	33-38	M	Record the six-digit date, in chronological order, of the exported or destroyed articles. This date must be the date the articles actually left the territory of the United States or the date of destruction, transfer, etc. A date in MMDDYY (month, day, year) format.	
Notice of Intent Indicator	1AN	39-39	C	Y or Space If Y, Notice of Intent Grouping is optional. Refer to current policy for guidance as it's relates to CBP Form 7553. Use DIS as instructed if necessary.	
Waiver to Drawback Claim	1 AN	40-40	C	Y = Waiver on File Or Space	



70-Record Data Element	Length /Class	Position	Design	Description	Note
Rights					
Name of Exporter/Destroyer	30AN	41-70	M	Record the name of the firm or individual who exported/destroyed/transferred the article. The exporter/destroyer is the party entitled to drawback. If the name of the exporter/destroyer is other than the claimant, a waiver letter, issued by the exporter/destroyer giving the export rights to the claimant must be filed with CBP.	
Country of Ultimate Destination	2 AN	71-72	C	Record the 2 digit International Organization for Standardization(ISO) code for the foreign country destination Must be Space if Export/Destroy Indicator = D	
BOL Indicator	1 AN	73-73	O	Y or Space if Unique Identifier number is a valid outbound Bill of Lading filed with AES System or Space Must be Space if Export/Destroy Indicator = D	
BOL Carrier Code	4AN	74-77	O	Required if BOL Indicator = Y Must be Space if Export/Destroy Indicator = D	
Schedule B Tariff	1 AN	78-78	C	Required when Tariff is a Schedule B Tariff	
Filler	2S	79-80	M	Space filled	



TFTEA Export/Destroy Articles Descriptions (Input 71-Record)

The 71-Record contains description of exported or destroy articles and is MANDATORY in the Export/Destroy Articles Grouping.

71-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 71	
Article Description Text	50X	3-52	M	Provide a description of each article exported/destroyed, etc. Include in this description any model, style, or part numbers and/or grades, colors, and sizes. Each item must be listed individually. Left justify with trailing spaces	
Unique Identifier Number	28 AN	53-80	M	List the export bill of lading or invoice number of the shipping document to evidence exportation. An export invoice number may be used to trace back to the evidence of exportation. For actions other than exportations, list the appropriate document.	1

Note 1

An export summary must be provided for all exportations. A CBP Form 7553, Notice of Intent (NOI) must be submitted, if you are claiming 1313 (c) or 1313(j), unless you are approved for Waiver of Prior Notice. A CBP Form 7553 is also required for NAFTA Same Condition or a claim under section 5062(c) IRC or when a manufactured article is destroyed. Refer to current policy for additional guidance and exceptions. A CBP Form 214, Application for Foreign Trade Zone Admission and/or Status Designation must be attached for a FTZ transfer. A CBP Form 7514, Drawback Notice (Lading/Foreign Trade Zone Transfer) must be attached for vessel supplies.



Linking Import/Manufactured/Produced to Export/Destroy Article Grouping

The **Linking Import/Manufactured/Produced to Export/Destroy Article Grouping** links Entry Summary Import/Manufactured/Produced to Export/Destroy Records. This is **CONDITIONAL** for the **TFTEA Export/Destroy Articles Grouping**. If the Action Indicator on Record 40 or Record 50 is E or D then at least 1 corresponding Record 72 or Record 73 is mandatory for Drawback Provision 51-75.

Linking Export to Import article (Input 72-Record)

The 72-Record contains ITIN to link the articles Import article to Export/Destroy articles and is Conditional in the **Linking Import/Manufactured/Produced to Export/Destroy Article Grouping**

72-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 72	
Import Tracking Identification Number (ITIN)	5N	3-7	C	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	8-12	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	13-17	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	18-22	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	23-27	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	28-32	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	33-37	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification	5N	38-42	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a	



72-Record Data Element	Length/Class	Position	Design	Description	Note
Number (ITIN)				one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	43-47	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	48-52	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	53-57	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	58-62	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	63-67	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	68-72	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	73-77	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Filler	3S	78-80	M	Space filled	

**Linking Export to Manufactured/Produced article (Input 73-Record)**

The 73-Record contains MTIN to link the articles manufactured/produced article to Export/Destroy articles and is Conditional in the **Linking Import/Manufactured/Produced to Export/Destroy Article Grouping**

73-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 73	
Import Tracking Identification Number (ITIN)	5N	3-7	C	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	8-12	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	13-17	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	18-22	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	23-27	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	28-32	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	33-37	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	38-42	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	43-47	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	48-52	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	



73-Record Data Element	Length/Class	Position	Design	Description	Note
Import Tracking Identification Number (ITIN)	5N	53-57	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	58-62	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	63-67	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Manufactured Tracking Identification Number (MTIN).	5N	68-72	O	MTIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Import Tracking Identification Number (ITIN)	5N	73-77	O	ITIN is reference or link to related 40 Record/50 Record to this export. This is a one to many relationship.	
Filler	3S	78-80	M	Space filled	



TOTALS Grouping

The Entry Summary TOTALS Grouping contains information regarding revenue subtotals and grand totals and is MANDATORY for an DRAWBACK Entry Summary TRANSACTION Grouping. The grouping is MANDATORY if the 10-Record Summary Filing Action Request Code is an **A** (Add) or **R** (Replace). See Usage Note '(c) Header/Totals Data Elements Required to Establish or Correct an Entry Summary' for more information.

Revenue Totals by Accounting Class Code (Input 89-Record)

The 89-Record contains Class Code and revenue subtotals for Duty, taxes & fees and is MANDATORY for a Total Grouping. This record can be loop up to 9 times to accommodate all class codes amount claimed.

89-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 89	
Accounting Class Code (1)	3N	3-5	M	CBP accounting classification code representing a specific revenue type previously reported.	1, 2
Total Amount (1)	11(S)N	6-16	M	Total estimated fee amount that corresponds to Accounting Class Code (1) in U.S. dollars and cents. Two decimal places are implied.	
Filler	2s	17-18	C	Space fill.	
Accounting Class Code (2)	3AN	19-21	C	CBP accounting classification code representing a specific revenue type previously reported.	1, 2
Total Amount (2)	11(S)N or 11S	22-32		Space fill if not required/not reported.	
Filler	2s	33-34	C	Space fill.	
Accounting Class Code (3)	3AN	35-37	C	CBP accounting classification code representing a specific revenue type previously reported.	1, 2
Total Amount (3)	11(S)N or 11S	38-48		Space fill if not required/not reported.	
Filler	2s	49-50	C	Space fill.	
Accounting Class Code (4)	3AN	51-53	C	CBP accounting classification code representing a specific revenue type previously reported.	1, 2
Total Amount (4)	11(S)N or 11S	54-64		Space fill if not required/not reported.	
Filler	16S	65-80	M	Space fill.	

Note 1

See Record 43 Position 3-5 for *Drawback Accounting Class Codes* for a list those codes supported in this transaction.

Note 2

The fee totals may be reported in any Accounting Class order. Revenue Totals (Input 90-Record)



The 90-Record contains revenue grand totals. The 90-Record is MANDATORY for an Entry Summary HEADER Grouping. The 90-Record must be reported one time per summary.

90-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always 90	
Grand Total Duty Amount	11(S)N	3-13	C	Grand total estimated duty amount. Two decimal places are implied. Report zeroes if no duty applies.	
Filler	1S	14-14	M	Space fill.	
Grand Total User Fee Amount	11(S)N	15-25	C	Grand total estimated user fee amount. Two decimal places are implied. Report zeroes if no user fee applies.	
Filler	1S	26-26	M	Space fill.	
Grand Total IR Tax Amount	11(S)N	27-37	C	Grand total estimated IR tax amount. Two decimal places are implied. Report zeroes if no IR tax applies.	
Filler	43S	38-80	M	Space fill.	



Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Drawback Entry Summary filing response by ACE with Application Identification Code DX.

Control ID	Name	Designation	Loop Repeat
	Drawback Entry Summary Response	TRANSACTION	
E0	Drawback Entry Summary Condition Reference	M	
	Condition Reference Grouping	C	> 1
E0	Drawback Entry Summary Condition Reference	M	
	Condition Grouping	C	> 1
E1	Entry Summary Condition /Disposition Response	M	
E1	Entry Summary Condition/ Disposition Response	M	

Note: Alphabetic characters in any Transaction Grouping data element received from CBP in the response will be uppercase.

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Entry Summary Response OUTPUT Record Layout

Drawback Entry Summary Response TRANSACTION Grouping

An Entry Summary Condition Reference (type: **SUMMARY**) will unconditionally be returned to the Filer/Transmitter in an output response.

Other Entry Summary Condition Reference types may also conditionally be returned to the Filer/Transmitter in an output response, as well, as an aid to identify which data element in the submission has caused or contributed to a fatal, warning, or informational condition.

Drawback Entry Summary Condition Reference (Output E0)

The Entry Summary Condition Reference may be returned in the output multiple times within a single response Entry Summary Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter which data grouping component in the submission has caused or contributed to a fatal, warning, or informational condition.

E0-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always E0	
Filler	1S	3-3	M	Always space.	
Reference Data Type Code	6AN	4-9		An indication as to the type of reference information returned. See Table 1 ' <i>Returned Drawback Entry Summary Reference Data</i> ' for a list of codes.	
Filler	1S	10-10	M	Always space fill.	
Occurrence Position	6N	11-16	M	If a repeating group, the relative position of the submitted input detail within the grouping, otherwise zero.	
Filler	1S	17-17	M	Always space fill.	
Reference ID Constant	7X	18-24	M	Always ' REF ID: '.	
Filler	1S	25-25	M	Always space fill.	
Reference Data Text	55X	26-80	M	Identifying data extracted from the submitted input that corresponds to the Reference Data Type Code. See Table 1 ' <i>Returned Entry Summary Reference Data</i> ' for details of the returned data.	

Drawback Returned Entry Summary Reference Data

Table 1: Returned Drawback Entry Summary Reference Data

Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content														
SUMMRY	<p>Description: Entry Summary Identifier.</p> <p>Usage: Occurrence Position = the relative sequence of the Entry Summary Transaction within the Block Control Grouping. Type will unconditionally be returned.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-28</td> <td>Entry Filer Code (10-Record)</td> </tr> <tr> <td>29-29</td> <td>Space</td> </tr> <tr> <td>30-37</td> <td>Entry Number (10-Record)</td> </tr> <tr> <td>38-38</td> <td>Space</td> </tr> <tr> <td>39-50</td> <td>Broker Reference Number (10-Record)</td> </tr> <tr> <td>51-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-28	Entry Filer Code (10-Record)	29-29	Space	30-37	Entry Number (10-Record)	38-38	Space	39-50	Broker Reference Number (10-Record)	51-80	Space
Position	Description / Source															
26-28	Entry Filer Code (10-Record)															
29-29	Space															
30-37	Entry Number (10-Record)															
38-38	Space															
39-50	Broker Reference Number (10-Record)															
51-80	Space															
BNDCTL	<p>Description: Bond grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Bond Detail (31-Record) within the Entry Summary HEADER Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-26</td> <td>Bond Type Code (31-Record)</td> </tr> <tr> <td>27-27</td> <td>Space</td> </tr> <tr> <td>28-28</td> <td>Bond Designation Type Code (31-Record)</td> </tr> <tr> <td>29-29</td> <td>Space</td> </tr> <tr> <td>30-32</td> <td>Surety Company Code (31-Record)</td> </tr> <tr> <td>33-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-26	Bond Type Code (31-Record)	27-27	Space	28-28	Bond Designation Type Code (31-Record)	29-29	Space	30-32	Surety Company Code (31-Record)	33-80	Space
Position	Description / Source															
26-26	Bond Type Code (31-Record)															
27-27	Space															
28-28	Bond Designation Type Code (31-Record)															
29-29	Space															
30-32	Surety Company Code (31-Record)															
33-80	Space															
IMPORT	<p>Description: Imports Grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Release Entry Filer Code/Number within <u>ALL</u> Release Details (32-Record) within the Entry Summary HEADER Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-28</td> <td>Release Entry Filer Code (40-Record)</td> </tr> <tr> <td>29-30</td> <td>Space</td> </tr> <tr> <td>31-38</td> <td>Import Entry Summary Number (40-Record)</td> </tr> <tr> <td>39-39</td> <td>Space</td> </tr> <tr> <td>40-44</td> <td>Import Entry Summary Line Number (40-Record)</td> </tr> <tr> <td>45-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-28	Release Entry Filer Code (40-Record)	29-30	Space	31-38	Import Entry Summary Number (40-Record)	39-39	Space	40-44	Import Entry Summary Line Number (40-Record)	45-80	Space
Position	Description / Source															
26-28	Release Entry Filer Code (40-Record)															
29-30	Space															
31-38	Import Entry Summary Number (40-Record)															
39-39	Space															
40-44	Import Entry Summary Line Number (40-Record)															
45-80	Space															
CLASSI	<p>Description: Import Entry Summary Classification Grouping</p> <p>Usage: Occurrence Position = the relative sequence of the Missing Document Code (1 or 2) within the Missing Document Information (33-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-35</td> <td>HTS Number (41-Record)</td> </tr> <tr> <td>36-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-35	HTS Number (41-Record)	36-80	Space								
Position	Description / Source															
26-35	HTS Number (41-Record)															
36-80	Space															



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content														
QTYUOM	<p>Description: Import Entry Summary Quantity & UOM Grouping</p> <p>Usage: Occurrence Position = Unit of Measure Code (42-Record).</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>19-21</td> <td>Unit of Measure Code (42-Record)</td> </tr> <tr> <td>22-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	19-21	Unit of Measure Code (42-Record)	22-80	Space								
Position	Description / Source															
19-21	Unit of Measure Code (42-Record)															
22-80	Space															
HDRREV	<p>Description: Import Entry Summary Revenue Claimed grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Accounting Class Code</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-28</td> <td>Accounting Class Code (41-Record)</td> </tr> <tr> <td>29-29</td> <td>Space</td> </tr> <tr> <td>30-37</td> <td>Revenue Amount (43-Record)</td> </tr> <tr> <td>38-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-28	Accounting Class Code (41-Record)	29-29	Space	30-37	Revenue Amount (43-Record)	38-80	Space				
Position	Description / Source															
26-28	Accounting Class Code (41-Record)															
29-29	Space															
30-37	Revenue Amount (43-Record)															
38-80	Space															
MANUFD	<p>Description: Manufactured/Produced Articles Grouping</p> <p>Usage: Occurrence Position = HTS Number (50-Record), Manufacturing Ruling Number, Production Date, sequence.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-35</td> <td>HTS Number (50-Record)</td> </tr> <tr> <td>36-36</td> <td>Space</td> </tr> <tr> <td>37-48</td> <td>Manufacturing Ruling Number (50-Record)</td> </tr> <tr> <td>49-54</td> <td>Production Date (50-Record)</td> </tr> <tr> <td>55-59</td> <td>MTIN</td> </tr> <tr> <td>60-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-35	HTS Number (50-Record)	36-36	Space	37-48	Manufacturing Ruling Number (50-Record)	49-54	Production Date (50-Record)	55-59	MTIN	60-80	Space
Position	Description / Source															
26-35	HTS Number (50-Record)															
36-36	Space															
37-48	Manufacturing Ruling Number (50-Record)															
49-54	Production Date (50-Record)															
55-59	MTIN															
60-80	Space															
EXPDES	<p>Description: Export/Destroy Articles Grouping</p> <p>Usage: Occurrence Position = the relative sequence of the Export/Destroy Articles (60-Record) within the Export/Destroy Articles Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-26</td> <td>Export/Destroy Indicator (60-Record)</td> </tr> <tr> <td>27-36</td> <td>HTS Number (60-Record)</td> </tr> <tr> <td>37-37</td> <td>Space</td> </tr> <tr> <td>38-43</td> <td>Export/Destroy Date (60-Record)</td> </tr> <tr> <td>44-71</td> <td>Unique Identifier # (61-Record)</td> </tr> <tr> <td>72-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-26	Export/Destroy Indicator (60-Record)	27-36	HTS Number (60-Record)	37-37	Space	38-43	Export/Destroy Date (60-Record)	44-71	Unique Identifier # (61-Record)	72-80	Space
Position	Description / Source															
26-26	Export/Destroy Indicator (60-Record)															
27-36	HTS Number (60-Record)															
37-37	Space															
38-43	Export/Destroy Date (60-Record)															
44-71	Unique Identifier # (61-Record)															
72-80	Space															
NOIHDR	<p>Description: Notice of Intent grouping.</p> <p>Usage: Occurrence Position = (62-Record) within the Notice of Intent grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-80	Space										
Position	Description / Source															
26-80	Space															
NOIEWR	<p>Description: Notice of Intent grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Examination and Witness Record (63-Record) within the Notice of Intent grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-26</td> <td>Record Indicator (63-Record)</td> </tr> <tr> <td>27-49</td> <td>CBP Personnel Badge # (63-Record)</td> </tr> <tr> <td>50-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-26	Record Indicator (63-Record)	27-49	CBP Personnel Badge # (63-Record)	50-80	Space						
Position	Description / Source															
26-26	Record Indicator (63-Record)															
27-49	CBP Personnel Badge # (63-Record)															
50-80	Space															



Reference Data Type Code	Description / Usage	Returned 'Reference Data Text' Content														
NAFTAD	<p>Description: NAFTA Details grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the NAFTA Coding Sheet (Record 64) within the NAFTA Details Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-45</td> <td>Entry Number (64-Record)</td> </tr> <tr> <td>46-51</td> <td>Entry Date (64-Record)</td> </tr> <tr> <td>52-61</td> <td>HTS 1 (64-Record)</td> </tr> <tr> <td>62-62</td> <td>Space</td> </tr> <tr> <td>63-64</td> <td>Country of Export (64-Record)</td> </tr> <tr> <td>65-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-45	Entry Number (64-Record)	46-51	Entry Date (64-Record)	52-61	HTS 1 (64-Record)	62-62	Space	63-64	Country of Export (64-Record)	65-80	Space
Position	Description / Source															
26-45	Entry Number (64-Record)															
46-51	Entry Date (64-Record)															
52-61	HTS 1 (64-Record)															
62-62	Space															
63-64	Country of Export (64-Record)															
65-80	Space															
TFTEAE	<p>Description: Export/Destroy Articles Grouping</p> <p>Usage: Occurrence Position = the relative sequence of the Export/Destroy Articles (70-Record) within the Export/Destroy Articles Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-26</td> <td>Export/Destroy Indicator (70-Record)</td> </tr> <tr> <td>27-36</td> <td>HTS Number (70-Record)</td> </tr> <tr> <td>37-37</td> <td>Space</td> </tr> <tr> <td>38-43</td> <td>Export/Destroy Date (70-Record)</td> </tr> <tr> <td>44-71</td> <td>Unique Identifier # (71-Record)</td> </tr> <tr> <td>72-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-26	Export/Destroy Indicator (70-Record)	27-36	HTS Number (70-Record)	37-37	Space	38-43	Export/Destroy Date (70-Record)	44-71	Unique Identifier # (71-Record)	72-80	Space
Position	Description / Source															
26-26	Export/Destroy Indicator (70-Record)															
27-36	HTS Number (70-Record)															
37-37	Space															
38-43	Export/Destroy Date (70-Record)															
44-71	Unique Identifier # (71-Record)															
72-80	Space															
TOTALS	<p>Description: Totals/trailer identifier.</p> <p>Usage: Occurrence Position = the relative sequence of the Entry Summary Transaction within the Block Control Grouping. Type will unconditionally be returned when ANY condition found in the Entry Summary TOTALS Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-28</td> <td>Entry Filer Code (10-Record)</td> </tr> <tr> <td>29-29</td> <td>Space</td> </tr> <tr> <td>30-37</td> <td>Entry Number (10-Record)</td> </tr> <tr> <td>38-38</td> <td>Space</td> </tr> <tr> <td>39-50</td> <td>Broker Reference Number (10-Record)</td> </tr> <tr> <td>51-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-28	Entry Filer Code (10-Record)	29-29	Space	30-37	Entry Number (10-Record)	38-38	Space	39-50	Broker Reference Number (10-Record)	51-80	Space
Position	Description / Source															
26-28	Entry Filer Code (10-Record)															
29-29	Space															
30-37	Entry Number (10-Record)															
38-38	Space															
39-50	Broker Reference Number (10-Record)															
51-80	Space															
REVTOT	<p>Description: total grouping.</p> <p>Usage: Occurrence Position = the relative sequence of the Accounting Class Code within ALL Fee Total Details (89-Record) within the Entry Summary TOTALS Grouping.</p>	<table border="1"> <thead> <tr> <th>Position</th> <th>Description / Source</th> </tr> </thead> <tbody> <tr> <td>26-28</td> <td>Accounting Class Code (89-Record)</td> </tr> <tr> <td>29-29</td> <td>Space</td> </tr> <tr> <td>30-40</td> <td>Total Fee Amount (89-Record)</td> </tr> <tr> <td>41-80</td> <td>Space</td> </tr> </tbody> </table>	Position	Description / Source	26-28	Accounting Class Code (89-Record)	29-29	Space	30-40	Total Fee Amount (89-Record)	41-80	Space				
Position	Description / Source															
26-28	Accounting Class Code (89-Record)															
29-29	Space															
30-40	Total Fee Amount (89-Record)															
41-80	Space															

**Entry Summary Condition/Disposition Response (Output E1)**

The Entry Summary Condition/Disposition Response may be returned in the output multiple times within a single response Entry Summary Response TRANSACTION Grouping.

The output record conveys to the Filer/Transmitter a single, discreet *condition* regarding an input validation or the *final disposition* of the requested action.

E1-Record Data Element	Length/Class	Position	Design	Description	Note
Control Identifier	2AN	1-2	M	Always E1	
Disposition Type Code	1AN	3-3	M	An indication as to CBP's final disposition of action requested. Space = Not a final disposition record. A = Final disposition; the transaction has been ACCEPTED by CBP; the action requested was successfully performed. R = Final disposition; the transaction has been REJECTED by CBP; the action requested was NOT performed.	1
Severity Code	1AN	4-4	M	An indication as to the severity of the condition (if any): <ul style="list-style-type: none"> F = 'Fatally' invalid data or critical error. W = Potential warning. I = Informational notice ONLY. Space = No condition(s) encountered. 	2, 5
Condition Code	3AN	5-7	M	Code that identifies the condition or final disposition regarding an Entry Summary filing.	
Reason Code	3AN	8-10	C	A further identification of the condition for CBP internal use ONLY.	
Narrative Text	40AN	11-50	M	Text description that corresponds to the Condition Code.	
Entry Filer Code	3AN	51-53	C	Filer's identification code as reported on the input 10-Record.	
Filler	2S	54-55	M	Space fill. Reserved filler for possible future expansion of Entry Filer Code and/or Entry Number	



E1-Record Data Element	Length/Class	Position	Design	Description	Note
Entry Number	8AN	56-63	C	Unique identifying number assigned to the Entry by the Filer as reported on the input 10-Record.	
Version Number	5N or 5S	64-68	C	The <i>latest</i> version number of the entry summary (when the transaction is accepted). The first 3 positions indicate the “major” revision number; the last 2 positions indicate the “minor” revision number.	4
Broker Reference Number	9X	69-77	C	Conditionally, the Filer/Preparer’s internal Entry Summary identifier as reported on the input, if any.	3
Filler	3S	78-80	M	Space fill until expanded length fully supported. Reserved filler for future expansion of the Broker Reference Number when fully ACE compatible.	

Note 1

The data element will be space if the record is NOT a final disposition.

Note 2

An *informational* condition results in a non-critical notification to the filer. If a *final disposition* Entry Summary response, if neither fatal conditions are found, yet one or more informational notations are found, the Severity Indicator will be ‘I’.

Note 3

In the event that the Broker Reference Number is required to match, yet does not match the value on file, this data element shall be the Filer/Preparer’s internal Entry Summary identifier previously established.

Note 4

The *latest* version number of the entry summary will be returned on the ‘final disposition’ E1-Record when the input 10-Record Summary Filing Action Request Code = A (Add) or R (Replace) and the final disposition E1-Record Disposition Type Code = A (Accepted).

The 5 position entry summary version number is comprised of two parts; the major revision number (positions 1-3) and the minor revision number (positions 4-5).

The entry summary version number will be 00100 when the entry summary is initially filed and accepted in ACE.

The major revision portion of the version number will only change when the entry summary has been put back into trade control by CBP and subsequently replaced by the filer. For example, when the entry



summary is replaced after one of these actions, the entry summary version number of 00200 indicates such a situation.

The minor revision portion of the version number will only change when the entry summary has been modified by an authorized CBP user. For example, an entry summary revision number of 00201 indicates that the entry summary was replaced by the filer after CBP changes the control status to Trade and subsequently modified once by an authorized CBP user.

Note 5

Refer to ACE ABI CATAIR “*Appendix E – Condition Codes and Text*” for all conditions returned

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Entry Summary Response - Usage Notes

The following sub-sections contain information regarding a returned filing response.

a) Entry Summary Batch Syntax Evaluation

Prior to the evaluation of the Entry Summary transaction content, an assessment will be made to determine if the ENTIRE BATCH (and all enclosed blocks and transactions) is suitable for Entry Summary transaction business data validations.

Response to an 'Unrecognizable' Entry Summary Transaction

If the record that...

- Immediately follows a B-Record **or**
- Immediately follows a Grand Totals – 90-Record (other than a Y-Record)

..is NOT an Drawback Entry Summary Header Control – 10-Record, then that 'Entry Summary transaction' and the ENTIRE BATCH will be rejected:

When **REJECTED**, a fatal condition E1-Record (narrative text ENTRY SUMMARY HEADER MISSING) will be returned followed immediately by the final disposition E1-Record (narrative text BATCH REJECTED).

Response to a Syntactically Incorrect Batch, Block, or Entry Summary Transaction

If the input does not conform to the structure, record sequence, item repeat, and grouping rules described in this document then the ENTIRE BATCH will be rejected. Validation of the BATCH shall immediately cease upon the discovery of a syntax problem.

When **REJECTED**, a fatal condition E1-Record that describes the syntax problem will be returned followed immediately by the final disposition E1-Record (narrative text BATCH REJECTED).

b) Entry Summary Final Dispositions

A disposition response will be returned with each Entry Summary transaction. An Entry Summary disposition response is an E1-Record in which the Disposition Type Code is set to **A** (Accepted) or **R** (Rejected). The disposition response also includes the *severity* of the most severe condition found. The table below can be used to determine the filing action required.

Disposition Type Code	Severity Code	Description	Entry Summary Filing Action Required	Filer Action Required
R	F	Rejected. An indication that one or more Entry Summary data elements reported in the transaction contain invalid or conflicting data or the Entry Summary already exists and cannot and is not eligible to be updated.	If the Entry Summary already exists and is not eligible to be updated, no further action required. Otherwise correct immediately and retransmit to CBP.	Review filing practices and software to ensure conformance with the interface.
A	W	Accepted with Warning. An indication that one or more data values reported for the Summary conflicts with a pre-established parameter.	If the data is in error, change the information and submit an Entry Summary 'correction' via contacting filing port	Verify the information reported. Review filing practices and software to ensure conformance with the interface.



Disposition Type Code	Severity Code	Description	Entry Summary Filing Action Required	Filer Action Required
A	I	Accepted with an Informational Notice. An indication that a non-critical condition has been encountered.	Please refer Condition Text refer to current policy for appropriate action if any.	Verify the information reported. Review filing practices to ensure conformance with regulation and CBP policy.
A		Unconditionally Accepted. An indication that no condition has been encountered.	None required.	None required.

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c) Response to a 'Recognizable' Drawback Entry Summary Transaction Component

The data returned for an Drawback Entry Summary transaction will reflect the 'Summary Filing Action Request Code' specified in the Drawback Entry Summary Header Control – 10-Record.

When **ADD** or **REPLACE** (Summary Filing Action Request Code = **A** or **R**):

When **REJECTED**: fatal condition E1-Records will be returned. Informational notice or Warnings Message E1-Records may also be returned, if found. All condition E1-Records will correspond to and follow one or more E0-Records that shall convey to the Filer/Transmitter which data grouping component in the submission has caused, or contributed to, that condition. The final disposition E1-Record narrative text is TRANSACTION DATA REJECTED. If not previously filed, the Drawback Entry Summary is NOT established in CBP's automated system. Generally, if the Drawback Entry Summary has already been established, yet has not undergone any CBP acceptance process, the *previous* accepted Entry Summary is deleted. If, however, the Entry Summary has already been established, and has undergone CBP processing, the *previously* accepted Entry Summary is left intact.

When **ACCEPTED**: Warning or informational notice E1-Records may be returned, if found. All condition E1-Records will correspond to and follow one or more E0-Records that shall convey to the Filer/Transmitter which data grouping component in the submission has caused, or contributed to, that condition. The final disposition E1-Record record narrative text will reflect the condition under which CBP has accepted the data:

- If the Drawback Entry Summary information is being established in the automated system for the first time, the returned E1-Record narrative text shall be: DRAWBACK HAS BEEN ADDED. The Entry Summary is initially established in CBP's automated system.
- If the Entry Summary information is being replaced in the automated system (regardless of the Summary Filing Action Request Code value), the returned E1-Record narrative text shall be: DRAWBACK HAS BEEN REPLACED. The previously accepted Entry Summary is totally replaced with the Filer's latest submission in CBP's automated system.

Note: The final disposition text will not reflect a 'paperless' or 'paper required' assessment of the Entry Summary. A subsequent electronic message from CBP, however, shall inform the Filer as to how to proceed.

d) How to Respond to an ACE System Failure

In the unlikely event that an DX response returns an indication of ACE system failure (E1-Record Condition Code = **ZZZ**; Narrative Text = **** ACE SYSTEM FAILURE ****) the transmitter/receiver of the message should take the following actions:

1. Wait a few minutes and retry/retransmit the transaction. It is possible that a system resource was temporarily unavailable. If the retry/retransmit does not reproduce the system failure then no further action is needed.
2. If a retry/retransmit continues to result in an ACE system failure, scan/search the Cargo Systems Messaging Service (CSMS) for a recent trade notification that outlines the reason and resolution for the problem encountered.



3. If no CSMS notification has been published, within one hour, call your CBP Client Representative to report the problem and/or receive further instructions.

Note: When an ACE system failure has occurred, the transaction has NOT successfully been processed. Once the system failure reason has been resolved, the transaction must be retransmitted and successfully accepted.

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Appendix

Appendix A Drawback Provisions

Code	Drawback Provisions	Description
01	1313(A)	Direct Identification Manufacturing Drawback (Articles made from imported merchandise)
02	1313(B)	Substitution Manufacturing Drawback (Substitution for drawback purposes)
03	1313(C)1	Rejected merchandise that does not conform to sample or specifications
04	1313(C)2	Rejected merchandise that has been shipped without the consent of the consignee
05	1313(C)3	Rejected merchandise that has been determined to be defective at the time of importation
06	1313(C)4	Rejected merchandise that was ultimately sold at retail
07	1313(D)	Flavoring extracts; medicinal or toilet preparations; bottled distilled spirits and wines (Internal revenue tax on flavoring extracts and medicinal or toilet preparations (including perfumery manufactured from domestic tax paid alcohol))
08	1313(J)(1)	Direct identification unused merchandise drawback
09	1313(J)(2)	Substitution unused merchandise drawback
10	1313(P)	Substitution of finished petroleum derivatives
11	1313(B)(P)	Manufactured petroleum derivatives
12	1313(B)((P)/1309	Manufactured petroleum derivatives/Supplies for certain vessels and aircraft
13	1313(P)/1309	Substitution of finished petroleum derivatives/Supplies for certain vessels and aircraft
14	5062(C)	Distilled spirits, wines, or beer which are unmerchantable or do not conform to sample or specifications
15	1313(J)(1)/1313Q	Direct identification unused merchandise drawback/Packaging material
16	1313(J)(2)/1313Q	Substitution unused merchandise drawback/Packaging material
17	1313(C)(1)/1313Q	Rejected Merchandise that does not conform to sample or specifications/Packaging material



Code	Drawback Provisions	Description
18	1313(C)(2)/1313Q	Rejected merchandise that has been shipped without the consent of the consignee/Packaging material
19	1313(C)(3)/1313Q	Rejected merchandise that has been determined to be defective at the time of importation/Packing material
20	1313(C)(4)/1313Q	Rejected merchandise that was ultimately sold at retail/Packaging
21	1313(A)/1313Q	Direct Identification Manufacturing Drawback (Articles made from imported merchandise)/Packaging material
22	1313(B)/1313Q	Substitution Manufacturing Drawback (Substitution for drawback purposes)/Packaging material
23	1313(j)(2) CITRUS	Substitution unused merchandise drawback CITRUS Products to CA
51	TFTEA 1313(a)	TFTEA Direct Identification Manufacturing Drawback (Articles made from imported merchandise)
52	TFTEA 1313(b)	TFTEA Substitution Manufacturing Drawback (Substitution for drawback purposes)
53	TFTEA 1313(c)(1)	TFTEA Rejected merchandise that does not conform to sample or specifications
54	TFTEA 1313(c)(2)	TFTEA Rejected merchandise that has been shipped without the consent of the consignee
55	TFTEA 1313(c)(3)	TFTEA Rejected merchandise that has been determined to be defective at the time of importation
56	TFTEA 1313(c)(4)	TFTEA Rejected merchandise that was ultimately sold at retail
57	TFTEA 1313(d)	TFTEA Flavoring extracts; medicinal or toilet preparations; bottled distilled spirits and wines (Internal revenue tax on flavoring extracts and medicinal or toilet preparations (including perfumery manufactured from domestic tax paid alcohol))
58	TFTEA 1313(j)(1)	TFTEA Direct identification unused merchandise drawback
59	TFTEA 1313(j)(2)	TFTEA Substitution unused merchandise drawback
60	TFTEA 1313(p)	TFTEA Substitution of finished petroleum derivatives
61	TFTEA 1313(b)(p)	TFTEA Manufactured petroleum derivatives



Code	Drawback Provisions	Description
62	TFTEA 1313(b)(p)/1309	TFTEA Manufactured petroleum derivatives/Supplies for certain vessels and aircraft
63	TFTEA 1313(p)/1309	TFTEA Substitution of finished petroleum derivatives/Supplies for certain vessels and aircraft
64	TFTEA 5062(c)	TFTEA Distilled spirits, wines, or beer which are unmerchantable or do not conform to sample or specifications
65	TFTEA 1313(j)(1)/1313(q)	TFTEA Direct identification unused merchandise drawback/Packaging material
66	TFTEA 1313(j)(2)/1313(q)	TFTEA Substitution unused merchandise drawback/Packaging material
67	TFTEA 1313(c)(1)/1313(q)	TFTEA Rejected Merchandise that does not conform to sample or specifications/Packaging material
68	TFTEA 1313(c)(2)/1313(q)	TFTEA Rejected merchandise that has been shipped without the consent of the consignee/Packaging material
69	TFTEA 1313(c)(3)/1313(q)	TFTEA Rejected merchandise that has been determined to be defective at the time of importation/Packing material
70	TFTEA 1313(c)(4)/1313(q)	TFTEA Rejected merchandise that was ultimately sold at retail/Packaging
71	TFTEA 1313(a)/1313(q)	TFTEA Direct Identification Manufacturing Drawback (Articles made from imported merchandise)/Packaging material
72	TFTEA 1313(b)/1313(q)	TFTEA Substitution Manufacturing Drawback (Substitution for drawback purposes)/Packaging material
73	TFTEA 1313(j)(2) NAFTA Exemptions	TFTEA Substitution unused merchandise drawback NAFTA Exemptions
74	TFTEA 1313(j)(2) WINE	TFTEA Substitution unused merchandise drawback WINE Products
75	TFTEA 1313(b) SOUGHT CHEMICALS	TFTEA Substitution Manufacturing Drawback SOUGHT CHEMICALS



Appendix B – Check Digit Computation Formula

The check digit formula that follows is used for:

The Entry Number (and consists of two portions):

- *Filer Identifier* portion (using the *Entry Summary Header Control* record's Entry Filer Code). This value may be both alphabetic and numeric.
- *Sequence Number* portion (using positions 1-7 of the *Entry Summary Header Control* record's Entry Number). This value is required to be ALL numeric.

A Paperless In-Bond Number (and consists of two portions):

- *Filer Identifier* portion (using position 1-3 of the *Bill of Lading/In-Bond Detail* record's Manifest Component Identifier [when type 'I']). This value may be both alphabetic and numeric.
- *Sequence Number* portion (using positions 4-10 of the *Bill of Lading/In-Bond Detail* record's Manifest Component Identifier [when type 'I']). This value may be both alphabetic and numeric.

Step ONE – Derive a 'Numeric Base Value' by converting the EACH and EVERY alphabetic value in the alphabetic portion(s) of the 'number' to a numeric value using the following table:

A = 1	J = 1	S = 2
B = 2	K = 2	T = 3
C = 3	L = 3	U = 4
D = 4	M = 4	V = 5
E = 5	N = 5	W = 6
F = 6	O = 6	X = 7
G = 7	P = 7	Y = 8
H = 8	Q = 8	Z = 9
I = 9	R = 9	

The result is a 10-position number:

```

1 2 3 4 5 6 7 8 9 10
N N N N N N N N N N

```

Step TWO – Multiply positions 2, 4, 6, 8, and 10 of the 'Numeric Base Value' each by +2. For each, if the product (P) is greater than +9, add +1 to adjust the product (AP).

```

1 2 3 4 5 6 7 8 9 10
N N N N N N N N N N
x2 x2 x2 x2 x2
---
P P P P P
(+1) (+1) (+1) (+1) (+1) (only when value +9 or greater)
---
AP AP AP AP AP

```

Step THREE - Disregarding the 'tens' positions for each product (or adjusted product), compute the 'Adjusted Even Sum Value' by adding the five products (or adjusted products) together.

```

1 2 3 4 5 6 7 8 9 10
N N N N N N N N N N

AP AP AP AP AP

```



$$\begin{array}{cccccc} \text{NN} & \text{NN} & \text{NN} & \text{NN} & \text{NN} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{N} & + & \text{N} & + & \text{N} & + & \text{N} & + & \text{N} & + & \text{N} & = & \text{Adjusted Even Sum Value (NN)} \end{array}$$

Step FOUR – Compute the ‘Odd Sum Value’ by adding positions 1, 3, 5, 7, and 9 of the ‘Numeric Base’ together.

$$\begin{array}{cccccccccc} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 & 9 & 10 \\ \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} & \text{N} \\ - & - & - & - & - & & & & & & \\ \text{N} & + & \text{N} & + & \text{N} & + & \text{N} & + & \text{N} & = & \text{Odd Sum Value (NN)} \end{array}$$

Step FIVE – Compute a ‘Check Digit Base Value’ by adding the ‘Adjusted Even Sum Value’ and the ‘Odd Sum Value’ together.

$$\begin{array}{r} \text{Adjusted Even Sum Value NN} \\ + \text{Odd Sum Value NN} \\ \text{---} \\ \text{NN} = \text{Check Digit Base Value} \end{array}$$

Step SIX – Determine the ‘Computed Check Digit’ by subtracting the ‘ones’ position of the ‘Check Digit Base Value’ from +10.

$$\begin{array}{r} +10 \\ - \text{Check Digit Base Value (ones position ONLY) NN} \\ \text{---} \\ \text{N} = \text{Computed Check Digit} \end{array}$$

The result is the check digit.

Appendix C – Bond Waiver Reason Codes

Bond Waiver Reason Code	Description
995	Supplemental Duty Bills on Vessel Repair Entries
996	Fines, Penalty, or Liquidated Damage Bills
997	All Other Contingent Bills
998	Bills Secured – Other than Surety
999	No Surety, Unsecured Bills

Appendix D Unit of Measure

UOM Code	UOM Description	UOM Code	UOM Description
AE	Aerosol	KG	Kilograms
AM	AMPOULE, NON-PROTECTED	KGM	KILOGRAMS
AMM	Ammo Pack	KIT	Kit
AP	AMPOULE, PROTECTED	KM	KILOMETERS
AT	ATOMIZER	KM2	1,000 SQUARE METERS
B	Barge	KM3	1,000 CUBIC METERS
BA	BARREL (CONTAINER)	KRK	Knockdown Rack



BAG	Bag	KSB	1,000 STANDARD BRICK
BAL	Bale	KTB	Knockdown Tote Bin
BB	BOBBIN	KVA	KILOVOLT-AMPERES
BBL	Barrel	KW	KILOWATTS
BC	BOTTLECRATE, BOTTLERACK	KWH	KILOWATT-HOURS
BD	BOARD	L	Load
BDL	Bundle	LB	Pounds
BE	BUNDLE	LBK	Liquid Bulk
BEM	Beam	LG	LOG
BF	BALLON, NON-PROTECTED	LIF	Lifts
BG	BAG	LOG	Logs
BH	BUNCH	LSE	Loose
BI	BIN	LT	Long Ton
BIC	Bing Chest	LUG	Lugs
BIN	Bin	LVN	Lift Van
BJ	BUCKET	LZ	LOGS IN BUNDLE/BUNCH/TRUSS
BK	BASKET	M	Meters
BKG	Bulk Bag	M2	SQUARE METERS
BKT	Bucket	M3	CUBIC METERS
BL	BALE, COMPRESSED	MB	BAG, MULTI-PLY
BLE	Bale	MBQ	MEGABECQUEREL
BLK	Bulk	MC	CRATE, MILK
BN	BALE, NON-COMPRESSED	MG	MILLIGRAMS
BO	BOTTLE, NON-PROTECTED	MIN	Minutes
BOB	Bobbin	ML	MILLILITERS
BOL	BOLUSES	MLV	MILVAN Military Van
BOT	Bottle	MM	MILLIMETERS
BOX	Box	MM2	SQUARE MILLIMETERS
BP	BALLOON, PROTECTED	MM3	CUBIC MILLIMETERS
BQ	BOTTLE, PROTECTED, CYLND	MRP	Multi Roll Pack
BR	BAR	MS	SACK, MULTIWALL
BRG	Barge	MSV	MSCVAN Military Sealift Command Va
BS	BOTTLE, NON-PROTECTED, BULBOUS	MT	MAT
BSK	Basket	MX	MATCHBOX
BT	BOLT	MXD	Mixed Type Pack
BTL	BOTTLE	NE	UNPACKED OR UNPACKAGED
BU	BUTT	NO.	Number
BV	BOTTLE, PROTECTED, BULBOUS	NOL	Noil
BX	Boxes	NS	NEST
BXI	Box with inner container	NT	NET



BXT	Bucket	O	Ounces
BY	BOARD IN BUNDLE/BUNCH/TRUSS	ONE	Qty Not required
BZ	BARS IN BUNDLE/BUNCH/TRUSS	OVW	Overwrap
C	Cubic Centimeter	OZ	Ounces
CA	CAN, RECTANGULAR	PA	PACKET
CAB	Cabinet	PAA	Pairs
CAG	CAGE	PAL	Pail
CAN	Can	PCK	Packed not otherwise specified
CAP	CAPSULES	PCL	Parcel
CAR	Carcass	PCS	Pieces
CAS	Case	PFL	PROOF LITER
CB	CRATE, BEER	PG	PLATE
CBC	Container Bulk Cargo	PH	PITCHER
CBY	Carboy	PI	PIPE
CC	CHURN	PIR	Pims
CCS	Can Case	PK	PACKAGE
CE	CREEL	PKG	Package
CF	COFFER	PL	PAIL
CG	CENTIGRAMS	PLF	Platform
CGM	CONTENT GRAM	PLN	Pipeline
CH	CHEST	PLT	Pallet (Not used in Sea AMS)
CHE	Cheeses	PN	PLANK
CHS	Chest	PO	POUCH
CI	CANISTER	POV	Private Vehicle
CJ	COFFIN	PRK	Pipe Rack
CK	CASK	PRS	PAIRS
CKG	CONTENT KILOGRAM	PT	POT.
CL	COIL	PTS	PINTS
CLD	Car Load, Rail	PU	TRAY OR TRAY PACK
CM	CENTIMETERS	PY	PLATES, IN BUNDLE/BUNCH/TRUSS
CM2	SQUARE CENTIMETERS	PZ	PLANKS OR PIPES, BUNDLE/BUNCH
CM3	CUBIC CENTIMETERS	QTR	Quarters of Beef
CNA	Household goods, Containers, wood	QTS	QUARTS
CNB	Container MSC ISO Military Airlift C	R	Car
CNC	Container, Navy Cargo Transporter	RAL	Rail (Semiconductor)
CND	Container, Commercial Highway lift	RCK	Rack
CNE	Engine Container	RD	ROD
CNF	Multiwall Container Secured to Wareh	REL	Reel
CNT	Container (Not used in Sea AMS)	RG	Ring
CNX	CONEX Container Express	RL	REEL



CO	CARBOY, NON-PROTECTED	RO	ROLL
COL	Coil	ROL	Roll
CON	Cones	RR	REJECTED UOM
COR	Cord	RRR	REJECTED UOM
CP	CARBOY, PROTECTED	RT	REDNET
CR	CRATE	RVR	Reverse Reel
CRD	Cradle	RZ	RODS IN BUNDLE/BUNCH/TRUSS
CRT	Crate	S	Measurement Ton
CS	CASE	SA	SACK
CSK	Cask	SAK	Sack
CT	CARTON	SBC	Liner Bag Dry
CTN	Carton	SBE	STANDARD BRICK EQUIVALENT
CU	CUP	SC	CRATE, SHALLOW
CUB	Cube	SCS	Suitcase
CV	COVER	SD	SPINDLE
CX	CAN, CYLINDRICAL		
CY	CYLINDER	SE	SEA-CHEST
CYG	CLEAN YIELD GRAM	SFT	Square Foot
CYK	CLEAN YIELD KILOGRAM	SH	SACHET
CYL	Cylinder	SHK	Shook
CZ	CANVAS	SHT	Sheet
D	Cord	SID	Sides of Beef
DBK	Dry Bulk	SK	CASE, SKELETON
DJ	DEMIJOHN, NON-PROTECTED	SKD	Skid
DOZ	DOZEN	SKE	Skid elevating or lift truck
DP	DEMIJOHN, PROTECTED	SL	SLIPSHEET
DPC	DOZEN PIECES	SLP	Slip Sheet
DPR	DOZEN PAIRS	SLV	Sleeve
DR	DRUM	SM	SHEETMETAL
DRK	Double Length Rack	SPI	Sin Cylinders
DRM	Drum	SPL	Spool
DSK	Double Length Skid	ST	SHEET
DTB	Double Length Toe Bin	STN	SHORT TON (2,000 POUNDS)
DUF	Duffel Bag	SU	SUITCASE
DZ	Dozen	SUP	SUPPOSITORIES
E	Cubic Feet	SVN	SEAVAN Sea Van
EA	Each	SW	SHRINKWRAPPED
EN	ENVELOPE	SZ	SHEETS IN BUNDLE/BUNCH/TRUSS
ENV	Envelope	T	Container
ET	Metric Ton	T/L	Truck Load



F	Gallons	TAB	TABLETS
FBM	FIBER METERS	TB	TUB
FC	CRATE, FRUIT	TBE	Tube
FD	CRATE, FRAMED	TBN	Tote Bin
FI	FIRKIN	TC	TEA-CHEST
FIB	FIBERS	TD	TUBE, COLLAPSIBLE
FIR	Firkin	TIN	Tin
FL	FLASK	TK	TANK, RECTANGULAR
FLO	Flo bin	TKR	Tank Car
FLX	Liner Bag Liquid	TKT	Tank Truck
FO	FOOTLOCKER	TLD	Intermodal Trainler/Container Load (
FOZ	OUNCES, FLUID	TN	TIN
FP	FILMPACK	TNK	Tank
FR	FRAME	TO	TON
FRM	Frame	TON	Tons
FSK	Flask	TOZ	OUNCES, TROY OR APOTH
FT	Feet	TR	TRUNK
FT2	SQUARE FEET	TRC	Tierce
FT3	CUBIC FEET	TRI	Triwall Box
FWR	Forward	TRK	Trunk or Chest
G	Grams	TRY	Tray
GAL	Gallon	TS	TRUSS
GB	BOTTLE, GAS	TSS	Trunk, Salesmen Sample
GI	GIRDERS	TTC	Tote Can
GM	Grams	TU	TUBE
GOH	Garments on Hangers	TUB	Tub
GR	GROSS	TY	TANK, CYLINDRICAL
GRL	GROSS LINES	TZ	TUBES IN BUNDLE/BUNCH/TRUSS
GVM	GROSS VEHICLE WEIGHT	U	Volumetric Unit
GZ	GIRDERS IN BUNDLE/BUNCH/TRUSS	UNP	Unpacked
H	Hundreds of Measurement Tons	UNT	Unit
HED	Heads of Beef	USD	US Dollar
HGH	Hogshead	V	Litre
HMP	Hamper	VA	VAT
HO	HOGSHEAD	VEH	Vehicle
HPT	Hopper Truck	VG	BULK GAS AT 1031 MBAR
HR	HAMPER	VI	VIAL
HRB	On Hanger or Rack in Boxes	VL	BULK LIQUID
HRK	Half Standard Rack	VO	BULK, SOLID, LG PARTICLES
HTB	Half Standard Tote Bin	VP	VACUUM-PACKAGED



IN	INGOT	VPK	Van Pack
IN2	SQUARE INCHES	VQ	BULK LIQUIFIED GAS
IN3	CUBIC INCHES	VR	BULK, SOLID, GRANULAR PRTICLES
INS	INCHES	VY	BULK, SOLID, FINE PRTICLES
IZ	INGOTS IN BUNDLE/BUNCH/TRUSS	W	WATT
JAR	Jar	WB	WICKERBOTTLE
JC	JERRICAN, RECTANGULAR	WDC	Wooden Case
JG	JUG	WHE	On Own Wheels
JR	JAR	WLC	Wheeled Carrier
JT	JUTEBAG	WRP	Wrapped
JUG	Jug	X	Cubic Meters
JWL	JEWELS	Y	Years
JY	JERRICAN, CYLINDRICAL	YD	YARDS
K	Kilograms	YD2	SQUARE YARDS
KEG	Keg	YD3	CUBIC YARDS
		ZZZ	OTHER MEASURE, NOT LISTED

Appendix E – Condition Codes and Text

Condition Codes	Condition Text	Condition Codes	Condition Text
F001	DUPLICATE DRAWBACK CLAIM NBR	F127	Country Code Unknown
F002	INVALID DRAWBACK PORT CODE	F128	MANUF MISSING FOR DESIGNATED MANUF NBR
F006	INVALID CLAIMANT ID	F129	EXPORT DESTROY DATE MUST BE LESS THAN CLAIM DATE
F007	INVALID 4811 - ID	F130	HTS NOT ALLOWED
F010	UNRECONCILED RECON ENTRIES FOUND	F131	ALLOWABLE QTY 2 MISSING
F011	MPF FEES CLMD EXCEEDS PD AMT #{entryNbr} #	F132	ALLOWABLE QTY 3 MISSING
F012	TOTAL AMTS NOT = SUM OF IMPORTS CLAIMED	F133	CLASS CODE AND QUALIFIER MISMATCH
F013	DUPLICATE CLASS CODE FOUND	F134	CLASS CODE AND QUALIFIER MISMATCH
F014	EXCESS DUTY CLAIMED #{entryNbr} #	F136	DRAWBACK ES CLAIM UNDER CBP CONTROL
F015	TAX CLAIMED EXCEEDS PD AMT #{entryNbr} #	F137	DRAWBACK ES CLAIM NOT FOUND
F016	FEES NOT ALLOWED FOR PROVISION	F138	IMPORT SUMMARY LINE NOT ALLOWED
F017	DUTY NOT ALLOWED FOR PROVISION	F139	UNABLE TO REMOVE AP - REFUND ALREADY ISSUED
F019	IMPT ES OUTSIDE OF 5 YRS TMFRM #{htsNbr}	F140	IMPORT SUMMARY NOT ALLOWED
F020	EXPORT OUTSIDE OF 3 YEARS TIMEFRAME	F175	ENTRY SUMMARY NBR MISSING



F021	PR EXPORTS NOT ALLOWED FOR PROVISION	F176	ES LINE NBR IS MISSING
F022	CL EXPORTS MISMATCH WITH OTH EXPORT	F282	6 DIGITS HTS NBR REQUIRED
F023	WAIVER OF PRIOR NT NOT ALLWD FOR PRVN	F266	BOND WAIVER REASON CODE MISSING
F024	NOT AUTHORIZED FOR WAIVER OF PRIOR NT	F266	ELECTRONIC PETROLEUM CERT MISSING
F025	EXPORT NOT AUTHORIZED UNDER APPROVED WPN	F266	ELECTRONIC MANUF PETRO CERT MISSING
F026	EXPORTS NOT AUTHORIZED	F266	NAFTA DRAWBACK CLAIM INDICATOR MISSING
F027	BASIS OF CLAIM MISMATCH	F266	CONTINUOUS BOND INDICATOR MISSING
F028	ALLOWED QTY MUST BE BLANK	F266	SINGLE TRANSACTION BOND AMT MISSING
F029	ENTRY DT OUTSIDE 180 DY TMFRM	F266	ENTRY FILER CODE MISSING
F030	PROD DT OUTSIDE 180 DY TMFRM #{htsNbr}	F266	ENTRY NUMBER MISSING
F031	COUNTRY OF EXPORT MUST BE CA OR MX	F266	CBP ES LINE NUMBER MISSING
F035	MISTMATCH MANUFACTURING RULING NBR(S)	F266	CERTIFICATE OF DELIVERY IND MISSING
F037	INVALID DRAWBACK ENTRY TYPE	F266	MANUFACTURING RULING NBR(S) MISSING
F039	MX EXPORTS NOT ALLOWED FOR PROVISION	F266	MANUF DATE RECEIVED MISSING
F040	CLASS CODE MISSING IN SUBTOTALS	F266	MANUF DATE USED MISSING
F041	CA EXPORTS NOT ALLOWED FOR PROVISION	F266	IMP MANUF RULING MISSING
F042	MANUFACTURING RULING NBR(S) REQUIRED	F266	MANUF RULING MISSING
F043	EXPRT DT OUTSIDE OF 3 YR TMFRM #{htsNbr}	F266	COUNTRY OF ULTIMATE DESTINATION MISSING
F044	EXPRT DT OUTSIDE OF 1 YR TMFRM #{htsNbr}	F267	BOND WAIVE REASON CODE NOT ALLOWED
F045	FROZEN 4811 NBR	F267	ELECTRONIC PETROLEUM CERT NOT ALLOWED
F046	ALLOWED QTY MUST BE BLANK	F267	ELECTRONIC MANUF PETROLEUM CERT NOT ALLOWED
F047	CLAIMANT<>IMPORTER; CD must be filed	F267	OIL SPILL TAX CERTIFICATION NOT ALLOWED
F048	REC'D DATE MUST BE PAST IMPORT DATE	F267	CONTINUOUS BOND INDICATOR NOT ALLOWED
F049	EXPRT DT MUST BE PAST REC'D DT #{htsNbr}	F267	SINGLE TRANSACTION BOND AMT NOT ALLOWED
F050	USED DATE MUST BE PAST REC'D DATE	F267	STB PRODUCER ACCT NBR MISSING
F051	PRD DT MUST BE PAST REC'D DT #{htsNbr}	F267	STB PRODUCT ACCT NBR NOT ALLOWED
F052	PROD DT MUST BE PAST IMPRT DT #{htsNbr}	F267	MANUFACTURING RULING NBR(S) NOT ALLOWED



F053	EXPRT DT MUST BE PAST PROD DT #{htsNbr}	F267	MANUF DATE RECEIVED NOT ALLOWED
F054	USED DATE OUTSIDE OF 3 YEAR TIMEFRAME	F267	MANUF DATE USED NOT ALLOWED
F055	PROD DT OUTSIDE 3 YR TMFRM #{htsNbr}	F267	ACCOUNTING CLASS CODE NOT ALLOWED
F056	HTS NBR NOT ALLOWED FOR PROVISION	F267	MANUFACTURE GROUP NOT ALLOWED
F057	HTS NBR NOT ALLOWED FOR PROVISION	F267	IMP MANUF RULING NOT ALLOWED
F058	MISTMATCH ON IMPORT HTS AND EXPORT HTS	F267	IMP MANUF RULING NOT ALLOWED
F061	COUNTRY OF EXPORT REQUIRED	F267	MANUF RULING NOT ALLOWED
F062	VOIDED 4811 NBR	F267	EXPORT/DESTROY INDICATOR NOT ALLOWED
F063	INACTIVE 4811 NBR	F267	EXPORT/DESTROY INDICATOR NOT ALLOWED
F064	VOIDED CLAIMANT ID	F268	<fieldName> DATE UNKNOWN
F065	INACTIVE CLAIMANT ID	F269	<fieldName> CONTAINS NON-NUMERICS
F066	FROZEN CLAIMANT ID	F270	<fieldName> PATTERN UNKNOWN
F070	DESTRUCTION NOT AUTHORIZED	F271	<fieldName> UNKNOWN
F071	ALLOWED QTY REQUIRED	F272	*** ACE SYSTEM FAILURE ***
F074	DUTY MISMATCH CALCULATION #{entryNbr} #{lneNbr}	F276	BOND DETAILS RECORD MISSING
F075	NOI FILED UNTIMELY	F277	NAFTA DETAILS RECORD MISSING
F076	NOI FILED UNTIMELY	F278	MANUFACTURING GROUP MISSING
F078	INVALID UOM	F279	EXAMINATION RECORD MISSING
F079	OTW NOT AUTHORIZED	F280	MANUFACTURING GROUP NOT ALLOWED
F080	IMPORT SUMMARY NOT ALLOWED	F281	8 DIGITS HTS NBR REQUIRED FOR PETROLEUM
F082	INVALID BOND NUMBER	F282	6 DIGITS HTS NBR REQUIRED
F083	HMF CLAIMED EXCEEDS PD AMT #{entryNbr}	F283	DUPLICATE MANUFACTURING RULING NBR
F084	NOI REQUIRED	I003	INVALID OR INACTIVE SURETY CODE
F085	DWBK CLAIMANT NOT IMPORTER	I004	INACTIVE OR TERMINATED BOND
F086	INVALID VALUE PER UNIT	I005	UPLOAD SINGLE ENTRY BOND (SEB)
F087	EXPORTS MUST BE CA FOR PROVISION	I008	NOT AUTHORIZED ACCELERATED PMT (AP)
F089	CL - invalid Export County	I009	BOND REQUIRED
F090	INVALID DUTY CLASS CODE	I018	MANUFACTURING RULING NBR(S) REQUIRED
F091	INVALID DUTY CLASS CODE	I032	MUST UPLOAD CID SUPPORTING DOCS
F092	MISTMATCH ON IMPORT HTS AND EXPORT HTS	I033	MUST UPLOAD CID APPROVAL LETTER
F093	MISTMATCH ON IMPORT HTS AND EXPORT HTS	I034	MUST HAVE CID APPLICATION ON FILE
F104	MISMATCH ACCT CLASS CODE ON SUBTOTALS	I036	HTS NBR MISMATCH



F105	INVALID IMPORT ENTRY NBR	I059	CBP FORM 7553 REQUIRED
F106	IMPORT ENTRY CANCELLED	I060	NAFATA Coding Sheet required
F107	INVALID IMPORT ENTRY LINE NBR	I067	CBP FORM 214 REQUIRED
F108	ENTRY NUMBER CHECK DIGIT MISMATCH	I068	UPLOAD CBPF7514 OR MASTER DECLARATION
F109	INVALID PORT CODE	I069	5062(c) CERTIFICATION REQUIRED
F110	INVALID MANUFACTURING RULING NBR	I072	OTW APPROVAL LETTER REQUIRED
F111	MANUFACTURING RULING NBRTERMINATED	I073	PRODUCTION EXHIBIT REQUIRED
F112	HTS NBR MISMATCH	I077	NOI REQUIRED
F113	DUTY CALCULATION MISMATCH	I102	UOM MISMATCH
F114	EXPORTS AND DESTROY ON CLAIM	I103	QTY CLAIMED EXCEEDS IMPORT ENTRY QTY
F115	DRAWBACK HEADER DATA MISMTACH	I124	BOND DETAIL NOT ALLOWED _ BOND WAVIED
F116	PETROLEUM CERTIFICATION REQUIRED	I125	NOT ELIGIBLE TO WAIVE BOND
F117	MANUF PETROLEUM CERTIFICATION REQUIRED	I082	INVALID BOND
F118	OIL SPILL CERTIFICATION REQUIRED	I269	INVALID BOND ACTIVITY CODE
F119	TAX CLAIMED EXCEEDS PD AMT #{entryNbr} #	I270	INVALID BOND STATUS
F120	TOTAL TAX AMTS NOT=SUM OF IMPTS CLIAMED	I271	INVALID BOND DESIGNATION
F121	MANUFACTURING RULING NBR(S) REQUIRED	I272	BOND INSUFFICIENT
F122	TOTAL FEE AMTS NOT=SUM OF IMPTS CLIAMED	I273	UNABLE TO DECREMENT BOND
F123	DUPLICATE CLASS CODE FOUND		
F126	HTS NUMBER UNKNOWN #{htsNbr}		

Appendix F – User Fee Accounting Class Codes

User Fee Accounting Class Code	Description
053	Beef Fee
054	Pork Fee
055	Honey Fee
056	Cotton Fee
057	Raspberry Fee
079	Sugar Fee
090	Potato Fee
102	Lime Fee
103	Mushroom Fee
104	Watermelon Fee
105	Sheep Fee
106	Blueberry Fee
107	Avocado Fee
108	Mango Fee



User Fee Accounting Class Code	Description
109	Sorghum Fee
110	Dairy Product Fee
039	Other – Fees not listed above

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