

# Entry Summary Query

*This chapter provides input and output record layouts, data elements, and processing information for ABI filers to query entry summaries in ACE.*

***Please note:*** *ABI filers may continue to use the ACS entry summary query for ACE filed entry summaries. This ACE entry summary query is provided for ACE ABI filer convenience and will not be a mandatory requirement for ACE entry summary certification.*

***The reader should be advised that this technical document is considered final. However, the document retains the DRAFT designation in the footer until such time that an official OPA (Office of Public Affairs) publication number has been assigned to the new “ACE ABI CATAIR” publication. For your information, subsequent revisions to this document will be controlled through the official CBP document amendment process.***

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## Table of Changes

| Revision Number | Date of Change   | Section(s) Affected                     | Brief Description of Change   |
|-----------------|------------------|---|---|
| 14              | June 28, 2016    | Output JC – Record                      | Protest Status Codes being returned in the query have been updated.<br><br>Removed Status Code 4 from Entry Summary Status Code Data Elements |
| 13              | June 20, 2016    | ES Query Introduction                   | Added notes to highlight ES entry types that are supported in the ES Query  |
| 12              | February 5, 2016 | Output JC-Record                        | Removed Quota Status Codes 2, 3, and 4, which will no longer be produced.   |
| 11              | March 16, 2015   | Output JZ-Record                        | Removed Note 3 which referred to ACE System Failure which is not supported by this transaction.   |
| 10              | Sept. 7, 2014    | Introduction                            | Information added to describe functionality provided for NILS filers.   |
| 9               | July 28, 2013    | Output JD-Record<br><br>Entire Document | New output JD-record added to accommodate additional data elements.<br><br>Revised text to eliminate ownership restrictions.                  |
| 8               | March 19, 2013   | Output JC-Record                        | Revised the NAFTA designation to indicate Trade Agreement.  |
| 7               | March 20, 2012   | Output JZ-Record                        | Revised Note 2 to describe conditions when the Entry Summary Query output limit is exceeded.  |



| Revision Number | Date of Change | Section(s) Affected | Brief Description of Change   |
|-----------------|----------------|---------------------|---|
| 6               | June 4, 2011   | Entire document.    | <p>Major changes include:</p> <ul style="list-style-type: none"> <li>(A) No longer automatically return the 10-, through 90-Record output grouping in the response. Introduce an optional J0-Record to 'request' that thr10-90 output be returned.</li> <li>(B) Eliminate the need to send the input J1-Record with the input J2-Record.</li> <li>(C) Introduce three new query 'criteria' types: PSC (for Post Summary Corrections), LIQ (for liquidated summaries), NLQ (for summaries not liquidated).</li> <li>(D) Split the output records into 'semi-private' information and 'private / ownership' information. Return BOTH types of output if the requester is the current owner of an entry summary (whether the originator or the owner as the result of a PSC filing). Return 'semi-private' information only, however, if the requester is a previous owner of the summary.</li> <li>(E) If the 10-, through 90-Record output grouping is requested, preface each output 40-Record (i.e., line item) with a 4A-Record which will include the CBP line number (rather than the current method of populating a field in the output 40-Record itself).</li> <li>(F) Eliminate the actual record descriptions of the 10-, through 90-Record output grouping.</li> <li>(G) More clearly articulated the definition of JB-Record Liquidation Date.</li> </ul> |



| Revision Number | Date of Change   | Section(s) Affected   | Brief Description of Change   |
|-----------------|------------------|---|---|
| 5               | October 31, 2010 | <p>(A) Input J1- and J2-Records</p> <p>(B) Output 10-Record, Output 35-Record, Output 63-Record</p> <p>(C) Output 21-Record, Output 22-Record, Output 23-Record, Output 30-Record, Output 32-Record, Output 33-Record, Output 41-Record, Output 44-Record, Output OA-Record, Output OI-Record, Output FC02-Record, Output FC02-Record</p> <p>(D) Output JX-Record</p> | <p>(A) Split the J# record into two input records, J1 and J2. Provide three new 'criteria' queries: DOC, PSC &amp; EES. Add time to the date criteria.</p> <p>(B) Return an indication of a Post Summary Correction (PSC) in the output.</p> <p>(C) Include additional output records:<br/> Identifying Trip Information (21-Record)<br/> Cargo Manifest Detail (22-Record)<br/> Bill of Lading/In-bond Detail (23-Record)<br/> Warehouse Withdrawal Information (30-Record)<br/> Release Detail (32-Record)<br/> Missing Document Information (33-Record)<br/> FTZ Status Information (41-Record)<br/> Commercial Description (44-Record)<br/> PGA Disclaimer (OA-Record)<br/> PGA Invoice Description (OI-Record)<br/> FCC Grouping (FC01-, FC02-Records)</p> <p>(D) Added the entry summary create date/timestamp to the output JX-Record.</p> |
| 4               | June 18, 2010    | Output Record Descriptions  | 47-Record revised the party definition to include the Delivered To Party at the line level.   |
| 3               | Feb. 14, 2010    | All   | Production deployment – no changes made to the chapter  |
| 2               | June 18, 2009    | All – revisions made to include data associated with AD/CVD entry types.  | <p>(A) 10-Record revised to include bond waiver reason code</p> <p>(B) 11-Record revised the definition of the consignee and moved the surety code to the 31-Record</p> <p>(C) 31-Record included in query output to provide AD/CVD bond information and surety code</p> <p>(D) 40-Record revised to include the AD/CVD non-reimbursement statement, first sale indicator, and CBP line number</p> <p>(E) 47-Record revised to include additional article parties</p> <p>(F) 53-Record revised AD/CVD case details</p> <p>(G) 88-Record included to provide AD/CVD duty subtotals</p>   |
| 1               | April 8, 2009    | Initial Release   |   |

## Entry Summary Query - Introduction

An Automated Commercial Environment (ACE) Automated Broker Interface (ABI) filer has the capability to query entry summaries they have filed in ACE. The specifications contained in this chapter are for query and response records that will be processed in ACE and will return data from the entry summary as stored in ACE.

Entry summaries filed in ACE will also be stored in ACS for processes not as yet provided in ACE. Therefore, during the transition period when ACE and ACS entry summary processing coexists, filers may also use the ACS JI query application identifier to query entry summaries which were originally filed in ACE. Data returned in application identifier JR from ACS for ACE-filed entry summaries will only provide the limited data as specified in the JR transaction and not the additional data offered in the ACE entry summary query response JD. See the ACS ABI CATAIR chapter titled “*Entry Summary Query*” for information on filing the JI query and the content of the JR output records.

Please note: safeguards exist which prohibit access to entry summary data unless the requester is:

- a) The original filer of the entry summary, or
- b) A filer of a Post Summary Correction (PSC) of the entry summary, or
- c) A National Import Liquidations System (NILS) filer as authorized by the importer of record for the requested entry summary.

**Input:** Record Identifier J0 is an optional input record that serves as a request that the latest entry summary ‘content’ on file in ACE, in the form of AE submission data records (i.e., the 10- through 90-Records), are to be returned for each entry summary in the output. A NILS filer will not be provided the entry summary details in the 10- through 90-Records. If requested by a NILS filer, the J0-Record will be ignored.

Record Identifier J1 is the input record used to list the specific entry summary or entry summaries for which the filer is requesting information. A NILS filer may use the J1-Record to request entry summary status information for one or more ACE entry summaries.

Record Identifier J2 is the input record used to specify that the query is to return all information about a particular grouping of entry summaries (e.g., a query based on a particular outstanding action). A NILS filer may not use the J2-Record to request ACE entry summary status information. If a J2-Record is submitted by a NILS filer, the Entry Summary details will not be returned.

The application identifier on Record Identifier B is JC. For additional information on Record Identifier B, refer to the “*ABI Batch & Block Control*” chapter of the ACE ABI CATAIR.



**Output:** Record Identifier JA is returned in the response when the Record Identifier J2 was used in the input.

Record Identifiers JB, JC, and JD are returned to convey status, date, data, and other information about an ACE entry summary.

Conditionally, Record Identifier JZ is returned to convey a condition or error in the query processing.

Conditionally, when requested by the input J0 Identifier Record, Record Identifiers 10, 11, 20, 21, 22, 23, 30, 31, 32, 33, 34, 35, 36, 4A, 40, 41, 42, 43, 44, 47, 50, OA, OI, FC01, FC02, 51, 52, 53, 54, 60, 62, 63, CW02, 88, 89, and 90 are returned. These output records convey the current header, line item, and revenue totals as related to an ACE entry summary and, with the exception of the 4A-Record, are identical to the respective 10- through 90-Records submitted by the filer in the “*Entry Summary Create/Update*” AE transaction. Refer to the “*Entry Summary Create/Update*” ACE ABI CATAIR chapter (record descriptions, usage notes, or AE Tables) for a description or explanation of the codes returned in this query.

The application identifier on Record Identifier B is JD. For additional information on Record Identifier B, refer to the “*ABI Batch & Block Control*” chapter of the ACE ABI CATAIR.

If the filer is querying using the Record Identifier J2, the number of returned entry summaries is limited to 5000.

**Record Layout Key:** A description of the contents of each column of the record layouts has been developed for ACE. Please refer to ACE ABI CATAIR Appendix Q for a description of this record layout key.

**Note:** The ACE Entry Summary Query will support entry types 01, 02, 03, 06, 07, 11, 12, 21, 22, 23, 31, 32, 34, 38, 51, and 52. All remaining entry summary types will remain in ACS until fully migrated to ACE.



# Input Record Descriptions

## Input Record Structure Map

Input Record Structure Map Submission Notes:

- Spaces must be transmitted in all data elements marked 'filler'.
- Transmit ONLY uppercase alphabetic data.
- Transmit ONLY displayable characters found on a standard keyboard. Do not transmit low-values, carriage return characters, or other non-standard characters.

Only a **SINGLE** Entry Summary Query Block Control envelope (B-, Y-Record) can be submitted in the Batch Control envelope (A-, Z-Record). This is designed to limit the amount of output information generated in the response.

Furthermore, only a **SINGLE** type of Entry Summary query request can be made at a time in a single Block Control envelope (B-, Y-Record): either an Entry Number Query Request (one or more J1-Records) or an Entry Summary Criteria Query Request (a single J2-Record). This is designed to limit the amount of output information generated in the response.

The following table illustrates how the automated interface allows repeating groups to be structured in an Entry Summary Query.

| Control ID         | Name                                 | Designation | Loop Repeat  |
|--------------------|--------------------------------------|-------------|--------------|
|                    | <b>Entry Summary Query Grouping</b>  | <b>M</b>    |              |
| <a href="#">J0</a> | Detail Return Request                | <b>O</b>    | <b>1</b>     |
|                    | <b>Entry Number Query Grouping</b>   | <b>C</b>    | <b>&gt;1</b> |
| <a href="#">J1</a> | Entry Number Query Request           | <b>M</b>    | <b>1</b>     |
| <a href="#">J2</a> | Entry Summary Criteria Query Request | <b>C</b>    | <b>1</b>     |

## Detail Return Request (Input – J0 Record)

The J0-Record is an optional input record which provides a means for the requester to indicate that complete ‘content’ information should be returned for each entry summary.

| <i>J0-Record</i><br>Data Element   | Length/<br>Class | Position | Desig | Description  | Note |
|------------------------------------|------------------|----------|-------|--|------|
| Control Identifier                 | 2AN              | 1-2      | M     | Always <b>J0</b>   |      |
| Return Detail<br>Request Indicator | 1AN              | 3-3      | M     | An indication that the latest entry summary information on file in ACE, in the form of AE submission data records (i.e., the 10- through 90-Records), are to be returned in the output.<br><br>Y = Include detail in output for all entry summaries found. | 1    |
| Filler                             | 77S              | 4-80     | M     | Space fill.  |      |

### **Note 1**

If the detailed entry summary ‘content’ is not desired, omit the J0 record.



## Entry Number Query Request (Input – J1 Record)

The J1-Record is a conditional input record that identifies a specific entry number (up to five allowed for each J1-Record). Repeat the J1-Record as needed. When the J1-Record is used, a J2-Record is not allowed in the Block Control envelope (B-, Y-Records).

| <i>J1-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>J1</b>   |      |
| Filler                           | 3S               | 3-5      |       | Space fill.  |      |
| Entry Filer Code<br>(1)          | 3AN              | 6-8      | M     | Filer's identification code of the Entry.                          | 1    |
| Filler                           | 2S               | 9-10     | M     | Space fill.  |      |
| Entry Number (1)                 | 8AN              | 11-18    | M     | Unique identifying number assigned to the Entry.                   | 1    |
| Entry Filer Code<br>(2)          | 3AN              | 19-21    | C     | An additional Entry (Filer's identification code + unique number). | 1    |
| Filler                           | 2S               | 22-23    | M     | Space fill if not reported.  |      |
| Entry Number (2)                 | 8AN              | 24-31    | C     | An additional Entry (Filer's identification code + unique number). | 1    |
| Filler                           | 2S               | 35-36    | M     | Space fill if not reported.  |      |
| Entry Number (3)                 | 8AN              | 37-44    | C     | An additional Entry (Filer's identification code + unique number). | 1    |
| Filler                           | 2S               | 48-49    | M     | Space fill if not reported.  |      |
| Entry Number (4)                 | 8AN              | 50-57    | C     | An additional Entry (Filer's identification code + unique number). | 1    |
| Filler                           | 2S               | 61-62    | M     | Space fill if not reported.  |      |
| Entry Number (5)                 | 8AN              | 63-70    | C     | An additional Entry (Filer's identification code + unique number). | 1    |
| Filler                           | 2S               | 71-80    | M     | Space fill.  |      |

### Note 1

If one and only one entry number is to be queried, then it must appear in the data field titled Entry Number (1). If two entry numbers are to be queried, then they must appear in the data fields Entry Number (1) and Entry Number (2). This pattern continues for all entry number fields used in this record.

## Entry Summary Criteria Query Request (Input – J2 Record)

The J2-Record is a conditional input record which provides the query criteria for a specific population of entry summaries. An Entry Summary Criteria Query Request must always be specified with a date range. When used, a **SINGLE** J2-Record only is allowed in the Block Control envelope (B-, Y-Records).

| <i>J2-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description   | Note |
|----------------------------------|------------------|----------|-------|---|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>J2</b>  |      |
| Filler                           | 1S               | 3-3      | M     | Space fill.   |      |
| Criteria Query<br>Type Code      | 3AN              | 4-6      | M     | <p>Identification of the entry summary status query criteria. Valid query criteria are:</p> <p><b>AII</b> – Entry summaries for which the Automated Invoice Interface (AII) invoice has been requested and has not been received.</p> <p><b>DOC</b> – Entry summaries for which documents have been requested by CBP, yet no document has been received by CBP.</p> <p><b>RCN</b> – Entry summaries flagged for reconciliation for which the reconciliation entry has not been received.</p> <p><b>PSC</b> – Entry summaries for which a post summary correction (PSC) has been filed.</p> <p><b>LIQ</b> – Entry summaries which have liquidated.</p> <p><b>NLQ</b> – Entry summaries which have not yet liquidated.</p> <p><b>EES</b> – Every entry summary (within the date range).</p> |      |
| Filler                           | 1S               | 7-7      | M     | Space fill.   |      |
| Requested From<br>Date/Time      | 14AN             | 8-21     | M     | <p>The starting ‘acceptance’ date/time of Entry Summaries to be returned.</p> <p>Date/time format is MMDDYYHHMMSSXX (where ‘XX’ = AM or PM).</p>  | 1, 2 |



| <i>J2-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Requested To<br>Date/Time        | 14AN             | 22-35    | M     | The ending 'acceptance' date/time of<br>Entry Summaries to be returned.<br><br>Date/time format is<br>MMDDYYHHMMSSXX (where 'XX' =<br>AM or PM). | 1, 2 |
| Filler                           | 45S              | 36-80    | M     | Space fill.  |      |

**Note 1**

The date and time used for this query criterion is the date and time that ACE accepted the last entry summary filing.

The Requested From Date/Time must be prior to the Requested To Date/Time.

The number of days specified in the From Date – To Date range cannot exceed 31.

**Note 2**

Specify the time portion (HHMMSSXX) of the Requested From Date as '000000AM' and the Requested To Date as '115959PM' to request the return of **all** applicable entry summaries 'accepted' during the date range specified. Specify a more exact time range if needed (see *Note 1* of Output JZ-Record for an example when the **OUTPUT LIMIT REACHED ; ADDTNL ES FOUND** condition arises.)

# Output Record Descriptions

## Output Record Structure Map

The following table illustrates how repeating groups are structured and returned in a proprietary format Entry Summary Query response by ACE.

| Control ID                 | Name   | Designation | Loop Repeat  |
|----------------------------|--|-------------|--------------|
|                            | <b>Entry Summary Query Response Grouping</b> | <b>M</b>    |              |
| <a href="#"><u>JA</u></a>  | Criteria Query Response Header               | <b>C</b>    | <b>1</b>     |
|                            | <b>Entry Summary Grouping</b>                | <b>C</b>    | <b>&gt;1</b> |
| <a href="#"><u>JB</u></a>  | Entry Summary Status Information             | <b>M</b>    | <b>1</b>     |
| <a href="#"><u>JC</u></a>  | Entry Summary Status Information - Continued | <b>M</b>    | <b>1</b>     |
| <a href="#"><u>JD</u></a>  | Entry Summary Status Information - Continued | <b>M</b>    | <b>1</b>     |
|                            | <b>Entry Summary Detail Grouping</b>         | <b>C</b>    | <b>&gt;1</b> |
| <a href="#"><u>10</u></a>  | Entry Summary Header                         | <b>M</b>    | <b>1</b>     |
| <a href="#"><u>...</u></a> | <i>...other records not cited here...</i>    |             |              |
| <a href="#"><u>90</u></a>  | Entry Summary Grand Totals                   | <b>M</b>    | <b>1</b>     |
| <a href="#"><u>JZ</u></a>  | Returned Condition                           | <b>C</b>    | <b>&gt;1</b> |

Designation: **M** = Reporting Mandatory; **C** = Reporting Conditional; **O** = Reporting Optional

## Criteria Query Response Header (Output JA-Record)

The output JA-Record conveys the type of criteria query request that was made in the input J2-Record. The JA-Record shall conditionally be returned when the J2-Record Criteria Query type has been requested.

| <i>JA-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>JA</b>   |      |
| Filler                           | 1S               | 3-3      | M     | Space fill.  |      |
| Criteria Query<br>Type Code      | 3AN              | 4-6      | M     | J2-Record Criteria Query Type Code.                                |      |
| Filler                           | 1S               | 7-7      | M     | Space fill   |      |
| Requested From<br>Date/Time      | 14AN             | 8-21     | M     | The starting 'acceptance' date/time as specified in the J2-Record. |      |
| Requested To<br>Date/Time        | 14AN             | 22-35    | M     | The ending 'acceptance' date/time as specified in the J2-Record.   |      |
| Filler                           | 45S              | 36-80    | M     | Space fill.  |      |

## Entry Summary Status Information (Output JB-Record)

The output JB-Record identifies a single entry summary and conveys limited state and status information.

| <i>JB-Record</i><br>Data Element  | Length/<br>Class | Position | Desig | Description   | Note |
|-----------------------------------|------------------|----------|-------|---|------|
| Control Identifier                | 2AN              | 1-2      | M     | Always <b>JB</b>  | 1    |
| Entry Filer Code                  | 3AN              | 3-5      | M     | Entry Filer's identification code.  | 2    |
| Filler                            | 2S               | 6-7      | M     | Space fill.   |      |
| Entry Number                      | 8AN              | 8-15     | M     | Unique identifying number assigned to the Entry by the Filer.   | 2    |
| Version Number                    | 5AN              | 16-20    | M     | A code indicating the version number of the entry summary.<br><br>The first 3 characters indicate the "major" revision number; the last 2 characters indicate the "minor" revision number.                  | 3    |
| Accept Date Time                  | 14AN             | 21-34    | M     | The date and time that ACE last accepted the entry summary filing.<br><br>Format MMDDYYHHMMSSXX (where 'XX' = AM or PM).  |      |
| PSC Indicator                     | 1AN              | 35-35    | M     | An indication that the ACE entry summary on file has had a Post Summary Correction (PSC).<br><br>Y = Post Summary Correction.<br><br>Space fill if the entry summary has not had a Post Summary Correction. |      |
| PSC Accept Date                   | 6D or<br>6S      | 36-41    | C     | The date that the first PSC, if any, was accepted for the entry summary.  |      |
| Ownership Data Returned Indicator | 1AN              | 42-42    | M     | An indication that the requester is an entry summary owner.<br><br>Y = The requester is an entry summary owner.   |      |





| <i>JB-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Liquidation Status Code          | 1AN              | 43-43    | M     | A code indicating the liquidation status of the entry summary. Valid codes are:<br><br>1 = Entry summary liquidated.<br>2 = Entry summary not liquidated.<br>3 = Entry summary re-liquidated.  |      |
| Liquidation Date                 | 6D or<br>6S      | 44-49    | C     | The date relevant to liquidation of the entry summary.<br><br>When Liquidation Status Code = 1 (liquidated) or 3 (re-liquidated) - the last date on which the entry summary was liquidated.<br><br>When Liquidation Status Code = 2 (not liquidated) – the future date that the entry summary is scheduled to liquidate or space filled if no date has yet been set. |      |
| Filler                           | 31S              | 50-80    | M     | Space fill.  |      |

**Note 1**

If an Entry Number Query Request (J1-Record) has been made, the referenced Entry Summaries in the output will be in the same order as the Entry Numbers in the J1-Record(s).

If an Entry Summary Criteria Query Request (J2-Record) has been made, the referenced Entry Summaries will be returned in the order that ACE accepted the last entry summary filing (in ascending date sequence).

**Note 2**

The Entry Filer Code/Entry Number assigned by the originating filer will remain the same for the entire life of an entry summary. At the behest of the importer, a filer, other than the originator, may submit an ACE Post Summary Correction (PSC) and become an owner of another filer’s entry summary.

**Note 3**

The 5 character entry summary version number is comprised of two parts; the major revision number (characters 1, 2, and 3) and the minor revision number (characters 4 and 5).



The entry summary version number will be 00100 when the entry summary is initially filed and accepted in ACE. The revision number will remain 00100 if the filer submits add or replace entry summary filing action requests until the time the entry summary is put on a statement.

The major revision portion of the version number will only change when the entry summary has been rejected by CBP or taken off statement and subsequently replaced by the filer. For example, when the entry summary is replaced after one of these actions, the entry summary version number of 00200 indicates such a situation.

The minor revision portion of the version number will only change when the entry summary has been modified by an authorized CBP user. For example, an entry summary revision number of 00201 indicates that the entry summary was replaced by the filer after CBP rejection (or taken off statement) and subsequently modified once by an authorized CBP user.

## Entry Summary Status Information - Continued (Output JC-Record)

The output JC-Record conveys various status information and data regarding the entry summary identified in the preceding output JB-Record.

| <i>JC-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>JC</b>   |      |
| Entry Summary<br>Control Status  | 1AN              | 3-3      | M     | A code indicating the basic control status of the entry summary in ACE. Valid codes are:<br><br>1 = Entry summary under trade control.<br>2 = Entry summary under CBP control.<br>3 = Entry summary inactive in ACE.   |      |
| Entry Summary<br>Status Code     | 1AN              | 4-4      | M     | A code indicating the current status of the entry summary in ACE. Valid codes are:<br><br>1 = Entry summary accepted.<br>2 = Entry summary rejected.<br>3 = Entry summary canceled.  |      |
| Entry Summary<br>Status Date     | 6D               | 5-10     | M     | The latest date the entry summary was either accepted in ACE or the entry summary status code was changed.   |      |
| Late Filing Status<br>Code       | 1AN              | 11-11    | M     | A code indicating the late filing status of the entry summary. Valid codes are:<br><br>0 = Not late.<br>1 = Over 10 days late.<br>2 = Over 30 days late.<br>3 = Over 60 days late.   |      |
| Release Status<br>Code           | 1AN              | 12-12    | M     | A code indicating the release status of the shipment. Valid codes are:<br><br>0 = Not released.<br>1 = Released.<br>2 = Information not permitted.<br><br>Release Status Code 2 will be returned if the query date is less than five days from the CBP release/examination date, the entry is for a border port location, and the mode of transportation code is 12, 20, 21, 30, 31, 32, 33 or 34. |      |

| <i>JC-Record</i><br>Data Element         | Length/<br>Class | Position | Desig | Description  | Note |
|--|------------------|----------|-------|--|------|
| Release Date                             | 6D or<br>6S      | 13-18    | C     | If released, contains the date on which the entry was released by ACS cargo processing.  |      |
| Liquidation Hold Indicator               | 1AN              | 19-19    | C     | A code which indicates whether liquidation can occur. Valid codes are:<br><br>0 = Allow liquidation.<br>1 = Stop liquidation.  |      |
| Collection Status Code                   | 1AN              | 20-20    | M     | A code indicating the payment/collection status of the entry summary. Valid codes are:<br><br>0 = Not paid.<br>1 = Partially paid.<br>2 = Fully paid.<br>3 = Duty free.<br>5 = Drawback.   |      |
| Collection Date                          | 6D or<br>6S      | 21-26    | C     | The last date on which a payment was made for the entry summary.   |      |
| Extension /<br>Suspension Status<br>Code | 1AN              | 27-27    | M     | A code which indicates the reason for the extension or suspension of the entry summary liquidation. Valid codes are:<br><br>0 = Not extended or suspended.<br>1 = Customs extended.<br>2 = Importer extended.<br>3 = Other extension.<br>4 = CVD suspended.<br>5 = ADD suspended.<br>6 = Court ordered suspended.<br>7 = Actual use suspended.<br>8 = Other suspension 1.<br>9 = Other suspension 2. |      |
| Extension /<br>Suspension Date           | 6D or<br>6S      | 28-33    | C     | The date of the last extension or suspension of liquidation of the entry summary.  |      |
| Extension /<br>Suspension Notice<br>Date | 6D or<br>6S      | 34-39    | C     | The date of the last extension or suspension of liquidation as provided to the filer.  |      |



| <i>JC-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description   | Note |
|----------------------------------|------------------|----------|-------|---|------|
| Census Header Status Code        | 1AN              | 40-40    | M     | A code indicating the status of Census warnings for the entry summary based upon line level information. Valid codes are:<br><br>0 = No Census warnings exist.<br>1 = An unresolved Census warning exists for at least one line item.<br>6 = All Census warnings have been resolved.  |      |
| Invoice Status Code              | 1AN              | 41-41    | M     | A code indicating the invoice status of the entry summary. Valid codes are:<br><br>Space = Non-EIP, electronic invoice not available.<br>1 = Open – default status when the entry summary is received and stored in ACE.<br>2 = Received – invoices have been received and stored in ACS.<br>3 = Deleted – invoice(s) stored in ACS have been disassociated from this entry summary.<br>4 = Invoice requested – this status is set when an automated request for invoices is sent to the filer. |      |
| Protest Status Code              | 2AN              | 42-43    | M     | A code which indicates if a protest has been filed Valid codes are:<br><br>YS = Yes<br>NO = No  |      |
| Quota Status Code                | 1AN              | 44-44    | M     | A code which indicates the status of quota processing. Valid codes are:<br><br>Space = Quota not processed.<br>1 = Quota processed.   |      |



| <b>JC-Record Data Element</b>               | <b>Length/Class</b> | <b>Position</b> | <b>Desig</b> | <b>Description</b>  | <b>Note</b> |
|---|---------------------|-----------------|--------------|---|-------------|
| Trade Agreement Reconciliation Filer Code   | 3AN                 | 45-47           | C            | Entry filer's identification code for the Trade Agreement reconciliation entry.   |             |
| Filler                                      | 2S                  | 48-49           | M            | Space fill.   |             |
| Trade Agreement Reconciliation Entry Number | 8AN                 | 50-57           | C            | Trade agreement reconciliation entry number for the reconciliation entry summary. |             |
| Other Reconciliation Filer Code             | 3AN                 | 58-60           | C            | Entry filer's identification code for the Other reconciliation entry.             |             |
| Filler                                      | 2S                  | 61-62           | M            | Space fill.   |             |
| Other Reconciliation Entry Number           | 8AN                 | 63-70           | C            | Other reconciliation entry number for the reconciliation entry summary.           |             |
| Filler                                      | 10S                 | 71-80           | M            | Space fill.   |             |

**Entry Summary Status Information - Continued (Output JD-Record)**

The output JD-Record conveys various status information and data regarding the entry summary identified in the preceding output JB-Record.

| <i>JD-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description  | Note |
|----------------------------------|------------------|----------|-------|--|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>JD</b>   |      |
| CBP Review Indicator             | 1AN              | 3-3      | M     | A code indicating if the entry summary in ACE is under CBP review. Valid codes are:<br><br>1 = Entry summary under CBP review.<br>2 = Entry summary <u>not</u> under CBP review. |      |
| Entry Date                       | 6D or<br>6S      | 4-9      | C     | The date of entry into the United States.  |      |
| Liquidated Duty                  | 12N              | 10-21    | C     | A value representing the liquidated duty for the entry summary. Two decimal places are implied.  |      |
| Liquidated Tax                   | 12N              | 22-33    | C     | A value representing the liquidated tax for the entry summary. Two decimal places are implied.   |      |
| Liquidated Fee(s)                | 12N              | 34-45    | C     | A value representing the total liquidated fee(s) for the entry summary. Two decimal places are implied.  |      |
| Filler                           | 35S              | 46-80    | M     | Space fill.  |      |

## Returned Condition (Output JZ-Record)

The output JZ-Record conveys a single, discreet condition regarding the query. No output JZ-Record output will be returned if ALL entry summary queries are successful (i.e., only JB-Records or JB-, JC-Record pairs/10-, 90-Record groupings are returned).

| <i>JZ-Record</i><br>Data Element | Length/<br>Class | Position | Desig | Description   | Note |
|----------------------------------|------------------|----------|-------|---|------|
| Control Identifier               | 2AN              | 1-2      | M     | Always <b>JZ</b>  |      |
| Condition Code                   | 3AN              | 3-5      | M     | Code that identifies the condition regarding the entry summary query. | 1    |
| Reason Code                      | 3AN              | 6-8      | C     | A further identification of the condition for CBP internal use ONLY.  |      |
| Narrative Text                   | 40AN             | 9-48     | M     | Text description that corresponds to the Condition Code.              | 1, 2 |
| Filler                           | 1S               | 49-49    | M     | Space fill.   |      |
| Entry Filer Code                 | 3AN              | 50-52    | C     | Filer's identification code of the Entry.                             |      |
| Filler                           | 2S               | 53-54    | C     | Space fill.   |      |
| Entry Number                     | 8AN              | 55-62    | C     | Unique identifying number assigned to the Entry.                      |      |
| Filler                           | 18S              | 63-80    | M     | Space fill.   |      |

### Note 1

The following conditions may arise.

| Condition Code | Narrative Text                                  |
|----------------|---|
|                | <b>123456789-123456789-123456789-123456789-</b> |
| X34            | UNKNOWN RECORD ID FOUND IN GROUPING             |
| X35            | OUT OF SEQUENCE RECORD FOUND IN GROUPING        |
| X37            | MISSING DATA RECORD FOUND IN GROUPING           |
| X38            | NON-CONTIGUOUS ITEM FOUND IN GROUPING           |
| X39            | DATA FOUND IN FILLER                            |
| X41            | MULTIPLE QUERIES IN BATCH NOT ALLOWED           |
| 001            | RETURN DETAIL REQUEST IND MUST BE Y             |
| 002            | QUERY REQUEST MISSING                           |
| 003            | ENTRY FILER CODE MISSING                        |
| 004            | ENTRY NUMBER MISSING                            |
| 005            | CRITERIA QUERY TYPE CODE MISSING                |
| 006            | CRITERIA QUERY TYPE CODE UNKNOWN                |
| 007            | REQUESTED FROM DATE TIME MISSING                |
| 008            | REQUESTED FROM DATE TIME UNKNOWN                |
| 009            | REQUESTED TO DATE TIME MISSING                  |
| 010            | REQUESTED TO DATE TIME UNKNOWN                  |



| Condition Code | Narrative Text                           |
|----------------|--|
|                | 123456789-123456789-123456789-123456789- |
| 011            | REQUESTED TO DATE < REQUESTED FROM DATE  |
| 012            | DATE RANGE DAY LIMIT EXCEEDED            |
| 013            | ENTRY SUMMARY NOT FOUND FOR QUERY        |
| 014            | QUERY NOT PERMITTED FOR ENTRY NUMBER     |
| 015            | QUERY COMPLETE - NO SUMMARIES FOUND      |
| 016            | OUTPUT LIMIT REACHED; ADDTNL ES FOUND    |
| 017            | FUTURE REQUESTED TO DATE NOT ALLOWED     |

## Note 2

The condition '**OUTPUT LIMIT REACHED; ADDTNL ES FOUND**' will be returned when either the volume of output records exceeds 8 megabytes or more than 5,000 entry summaries are to be returned.

In the event that condition '**OUTPUT LIMIT REACHED; ADDTNL ES FOUND**' is received:

- If an Entry Number Query Request (J1-Record) has been made, the referenced Entry Summaries in the output will be in the same order as the Entry Numbers in the J1-Record(s). Determine the last Entry Summary returned in the last JB-Record that preceded the condition, and resubmit another J1-Record query with the remaining Entry Summary numbers.
- If an Entry Summary Criteria Query Request (J2-Record) has been made, the referenced Entry Summaries will be returned in the order that ACE accepted the last entry summary filing (in ascending date sequence). Use the 'Accept Date/Time' of the last returned JB-Record as the 'Requested From Date/Time' in the J2-Record of another query of the same type.

## Entry Summary Details Grouping (Output 10- through 90-Records)

The output 10- through 90-Records provides the latest detail (i.e., the content) of the entry summary in the form of input AE 10- through 90-Records. This detail output is only returned when the Detail Return Request (J0-Record) has been included in the query.

These output records convey the current header, line item, and revenue totals as related to an ACE entry summary. With the exception of the CBP Line Number (output 4A-Record; *see below*) the 10- through 90-Records and are identical to the respective 10- through 90-Records submitted by the filer in the “*Entry Summary Create/Update*” AE transaction.

### Note 1

The 10- through 90-Records are not re-described here. Instead, refer to the “*Entry Summary Create/Update*” ACE ABI CATAIR chapter (record descriptions, usage notes, or AE Tables) for a description or explanation of the complete records and codes returned in this query.

### Note 2

Data fields specified as numeric (such as a Duty Amount, Value of Goods Amount, and Quantity) shall be returned in the query right justified and padded to the left with spaces.



## CBP Line Number (Output 4A-Record)

A CBP Line Number (4A-Record) shall precede each output Line Item Header (output 40-Record). The record contains the CBP Line number (i.e., the ordinal line number assigned by ACE to the line).

| 4A-Record Data Element | Length/Class | Position | Desig | Description   | Note |
|------------------------|--------------|----------|-------|---|------|
| Control Identifier     | 2AN          | 1-2      | M     | Always <b>4A</b>  | 1    |
| CBP Line Number        | 5N           | 3-7      | M     | The ordinal line number assigned by ACE to this entry summary line<br><br>Right justified, zero fill. |      |
| Filler                 | 73S          | 8-80     | M     | Space fill.   |      |

### Note 1

For example, if the last accepted line items in an AE submission were:

```

123456789-123456789-123456789-123456789-123456789-123456789-123456789-123456789-
... <Header records suppressed; see AE/AX chapter for details>
40 010 HKHK031409
506210509060 0000049104 0000007920 000000000055DOZ000000006982KG
... <Other line records suppressed; see AE/AX chapter for details>
40 020 HKHK031409
506210509070 0000000000 0000004524 000000000029DOZ000000008003KG
... <Other line records suppressed; see AE/AX chapter for details>
... <Trailer records suppressed; see AE/AX chapter for details>
123456789-123456789-123456789-123456789-123456789-123456789-123456789-123456789-
    
```

Then the line items returned in this query (when the J0-Record is included in the input) shall be:

```

123456789-123456789-123456789-123456789-123456789-123456789-123456789-123456789-
... <Header records suppressed; see AE/AX chapter for details>
4A00001
40 010 HKHK031409
506210509060      49104      7920      55DOZ      6982KG
... <Other line records suppressed; see AE/AX chapter for details>
4A00002
40 020 HKHK031409
506210509070      000      4524      29DOZ      8003KG
... <Other line records suppressed; see AE/AX chapter for details>
... <Trailer records suppressed; see AE/AX chapter for details>
123456789-123456789-123456789-123456789-123456789-123456789-123456789-123456789-
    
```

Note that an output 4A-Record precedes each 40-Record.