

# CBP and Trade Automated Interface Requirements

ACE CATAIR Error Dictionary -  
Process

Statement

**DRAFT**

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U.S. Customs and  
Border Protection



Statement Error Dictionary

Condition Code	Narrative Text	Explanation	Date Updated
<b>Condition Codes - Specific to ACE AE - Entry Summary Statement Data</b>			
<b>FUTURE DEPLOYMENT WITH ACE STATEMENTS</b>			
197	PAYMENT TYPE CODE UNKNOWN	Correct payment type codes are 1, 2, 3, 5, 6, 7 or 8. Other codes will cause rejection.	
200	PERIODIC STMT MONTH NOT ALLOWED	Payment type codes other than 6, 7, or 8 must have the periodic stmt month field blank.	
201	STMT CLIENT BRANCH NOT ALLOWED	Client branch code submitted for an entry summary not assigned with a client branch.	
202	PRELIM STMT DATE MISSING	For payment type codes other than 1, a preliminary stmt date must be submitted.	
203	PRELIM STMT DATE NOT A KNOWN DATE	The preliminary stmt date must be a valid date or is space filled when the payment type code equals 1.	
204	PRELIM STMT DATE IS SAT, SUN, OR HOL	The preliminary stmt date cannot be a Saturday, Sunday, or designated local or federal holiday.	
205	PRELIM STMT DATE MUST BE IN FUTURE	The preliminary stmt date must be at least one business day in the future. If the date is in the past or equal to the transmission date of the SU, a rejection will occur.	
206	PRELIM STMT DATE>90 DAYS IN FUTURE	The preliminary stmt date cannot be more than 90 days in the future as compared to the system transmission date of the SU.	
207	STATEMENT PROCESSING NOT AUTHORIZED	The filer code of the filer submitting the SU transmission is not authorized for statement processing within the ACE Trade Profiles.	
208	PERIODIC STMT REQUIRES CONTINUOUS BOND	A continuous bond must be on file for the importer of record and bond type "8" has been transmitted in the AE record of the entry summary number in the SU transmission.	
210	PERIODIC STMT REQUIRES ACE IMPORTER	For payment type codes of 6, 7, or 8, the importer of record for the entry summary number must be designated as an ACE-PMS participant.	
211	PERIODIC STMT MONTH MISSING	There must be a periodic stmt month indicated when using PMS payment type codes of 6, 7, or 8.	
212	PERIODIC STMT MONTH UNKNOWN	The periodic stmt month must indicate "01" through "12". Any other code will generate this error.	
213	PERIODIC STMT MONTH TOO FAR INTO FUTURE	The periodic stmt month submitted in the SU cannot be no further into the future than two months following the month of release.	
215	PRELIM STMT DAY>PERIODIC STMT DAY	The SU shows a preliminary stmt date that is after the date that the periodic monthly statement will be generated for the statement month requested.	
216	PRELIM STMT FOR DTE ALREADY PRODUCED	The preliminary stmt date is equal to or earlier than the date of the transmission of the SU. Also, check that the preliminary stmt date is not earlier than the estimated entry date of the entry summary.	
218	ESTIMATED ENTRY DT CANNOT BE >STMT DT	The estimated entry date cannot be later than the preliminary stmt date submitted in the SU.	
219	STMT CLIENT BRANCH MISSING	A client branch indicator must be submitted for all SU transactions by a filer designated as a client branch filer.	
222	ELEC INVOICE REQUIRES SUMMARY ON STMT	When the entry number in the SU is designated as an electronic entry (EIP-RLF), the payment type code must be a statement pay type of 2, 3, 5, 6, 7 or 8.	
718	IR TAX NOT ALLOWD ON MONTHLY PERDC STMT	Entries reporting cargo subject to IRS excise tax cannot be designated for a periodic monthly statement, payment type codes of 6, 7, or 8.	

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B30	ENTRY SUMMARY HAS BEEN LIQUIDATED	If the ACE entry summary number in the SU has been scheduled for liquidation. The SU action is not permitted.	
B31	ENTRY SUMMARY HAS BEEN CANCELLED	If the ACE entry summary number in the SU is in "cancelled" status, the SU action is not permitted.	
X22	REMOTE PREPARER/REMOTE IND CONFLICT	The "B" record of the SU contains remote preparer information in pos. 47-53, but the ACE Trade Profiles for the preparer is not operational for RLF.	
		<b>Condition Codes - Specific to SU- Statement Update</b>	
		<b>FUTURE DEPLOYMENT WITH ACE STATEMENTS</b>	
ZZZ	ACE SYSTEM FAILURE	A processing failure has occurred in the ACE system for the SU transaction. Contact a Client Representative.	
P01	ENTRY NOT FOUND	The entry filer code and entry number in the SU is not in the ACE system.	
P02	STATEMENT ALREADY PAID	The entry number in the SU has already been paid.	
P03	ENTRY IS NOT A STATEMENT ENTRY	The SU is showing a payment type code of "1" for an entry number that is not a statement entry.	
P04	STATEMENT NOT YET CREATED	The SU is showing a payment type code of "1" for an entry number whereby the statement has not been generated. Deletion of an entry cannot occur until the statement has been generated but not paid.	
P05	PAYMENT TYPE/DUE DATE CONFLICT	A payment type code (2, 3, 5, 6, 7, or 8) was sent without a preliminary stmt date shown.	
P06	REMOTE ENTRY - CANNOT DEL FROM STMT	The entry number in the SU is an RLF entry and cannot be deleted from a statement. Payment type code "1" is not allowed.	
P09	STMT LOCKED FOR PAYMENT	Payment for entry number in SU has been sent to pay.gov. No changes are allowed.	
P10	REMOTE/SUMMARY PREPARER DDPP MISMATCH	The SU "B" record remote preparer dist/port code must match the remote preparer dist/port code for the entry summary number in the SU. The remote preparer information can be blank. If populated, they must match.	
P11	DDPP DOES NOT MATCH SUMMARY B-RECORD PORT	The SU "B" record processing dist/port code must match the processing dist/port code for the entry summary number in the SU.	
P12	DATA ACCEPTED AS REQUESTED	Replaced data submitted in the SU "H" record has been accepted.	
P13	RM MUST BE DELETED BEFORE SU ACTION	The "RM" transaction has been accepted but not yet sent to pay.gov. Filer must send a "RM" negation action OR contact their Client Representative to delete the "RM" action within time constraints (prior to end of day process). Once the "RM" is negated, the filer can resend the SU to delete the entry summary from the statement.	9/2/2016
P14	REMOTE/SUMMARY PREPARER FILER MISMATCH	The SU "B" record remote preparer filer code must match the remote preparer filer code for the entry summary number in the SU. The remote preparer information can be blank. If populated, they must match.	
P15	REMOTE/SUMMARY PREPARER FILER OFC CD MISMATCH	The SU "B" record remote preparer office code must match the remote preparer office code for the entry summary number in the SU. The remote preparer information can be blank. If populated, they must match.	

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P16	DATE MUST BE<OR=WTHDR SCH PAY DT	The SU preliminary stmt date for a warehouse entry (entry types 21/22) must be less than or equal to the scheduled pay date of all related warehouse withdrawals (entry types 31/32/34/38).	
P17	DATE MUST BE>OR=WHS SCH PAY DATE	The SU preliminary stmt date for a warehouse withdrawal (entry types 31/32/34/38) must be greater than or equal to the scheduled pay date of the related warehouse entry (entry types 21/22). Also, the preliminary stmt date for a re-warehouse entry (entry type 22) must be greater than or equal to the scheduled pay date of the related warehouse/re-warehouse entry (entry types 21/22).	
P18	SINGLE PAY NOT ALLOWED FOR QUOTA	Quota processing for the entry summary number in the SU has occurred. Single payment is not allowed.	
P19	SINGLE PAY REJ FOR WHSE WTHDR	Warehouse Withdrawals (entry types 31/32/34/38) must be designated for a statement payment. Warehouse withdrawals cannot be a single payment type code of "1".	
P20	SCH PAY DATE INVALID FOR QUOTA	The scheduled statement payment date cannot exceed 10 working days from the Quota Presentation date.	
P21	MUST BE SINGLE PAY AFTER RM FOR PMS ENTY	Once the RM has been accepted and a final PDS has been issued, a PMS entry cannot be rescheduled for another statement date. The SU must be submitted with a single payment type code of "1" and NO statement payment date. The entry must be submitted with a check for payment.	9/2/2016
P22	ENTRY TYPES 08 AND 09 NOT ALLWD FOR PMS	Entry types 08 and 09 cannot be designated for a Periodic Monthly Statement, statement payment type codes, 6, 7 or 8. Must use statement payment type codes of 1, 2, 3 or 5.	
P23	SU COND CODE MISSING - CALL CLIENT REP	The SU has been processed but has rejected due to a missing condition code. Contact a Client Representative for reporting and resolution.	
<b>Condition Codes - Specific to MO- Statement Reroutes</b>			
<b>FUTURE DEPLOYMENT WITH ACE STATEMENTS</b>			
MS0	MULTIPLE REQSTS NOT ALWD IN A BLOCK	Cannot have more than one "QR" record within a single B/Y block.	
MS1	INPUT REROUTE REQUEST MISSING	No "QR" record is found within the B/Y block.	
MS2	TRANS DATE OF STMT BEYOND 60 DAYS	The transmission date of the Statement or ACH payment in the MO request cannot be greater than 60 days in the past.	
MS3	TRANS DATE OF STMT MISSING OR INVALID	A transmission date must be present when using the IR Number, Client Branch Designation or Scope Indicator options. The transmission date when present must be in the correct format (MMDDYY). Transmission date is not required when using a Statement Number within the "QR" record.	
MS4	IMPORTER OF RECORD UNKNOWN	The IR Number within the "QR" record is in an invalid format or is not found in the ACE database.	
MS5	STATEMENT NUMBER UNKNOWN	The Statement Number within the "QR" record is not found in the ACE database.	

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Condition Code	Narrative Text	Explanation	Date Updated
MS6	SCOPE INDICATOR INVALID	The Scope indicator within the "QR" record must be either an "A" or blank. Any other value will reject. The "A" indicator is used to request all statements for all ports within the DP-Site port/filer in the "A" record of the MO request. The "blank" indicator is used to request all statements for the processing port/filer in the "B" record of the MO request.	
MS7	STMT REQUEST (PREL OR FINAL) INVALID	The Preliminary and/or Final statement request indicator must show either a "Y" or "N". A reject will occur when the field is left blank.	
MS8	OPTIONAL REQUEST FIELD(S) USE INVALID	The IR Number, Client Branch ID, Statement Number and Scope Indicator fields in the "QR" record (positions 9-33) are the optional request fields. When using a statement number or scope indicator, do not input data within the other optional fields. When submitting an IR number, you can submit the client branch ID. Any other combination of data elements within the optional fields will cause this error. (See Note 1 of the "QR" input record in the MO ACE Catair Chapter).	
MS9	NO STATEMENT FOUND TO REROUTE	ACE database did not find a statement matching the data submitted in the "QR" record.	
MSA	TOTAL NUMBER OF REROUTES	The "QX" output record positions 52-57 will provide a value representing the total number of successful reroutes requested within the "QR" input record.	
MSB	STATEMENT NUMBER INVALID	The Statement Number within the "QR" record is a 10 AN field and the statement number is in an invalid format.	
MSC	STMT REQUEST (PREL OR FINAL) REQUIRED	The "QR" record, positions 34, 35, 36, 37 and 38 must EACH show a value of either "Y" or "N". One or more of these fields is missing an indicator.	
MSD	FILER NOT AUTHORIZED FOR STMT REQUESTED	The filer code located in the "B" record of the MO transaction is not the filer assigned to the requested statement number in the "QR" record. Only the filer assigned to the statement number can request the statement to be rerouted.	
MSE	MO COND CODE MISSING - CALL CLIENT REP	The MO has been processed but has rejected due to a missing condition code. Contact a Client Representative for reporting and resolution.	
X04	TRANSACTION DETAIL MISSING	This is a generic CATAIR validation, not only for the MO transaction. A "QR" transaction record is missing within the A/B/Y/Z batch/block.	
X17	FILER NOT AUTHORIZED	In the MO transaction, access to statements is prohibited unless the participant is authorized for statement processing. The filer code within the "B" record is verified for access to statements.	
X34	UNKNOWN RECORD ID FOUND IN GROUPING	A record ID other than "QR" was sent within the MO transaction.	
X41	MULTIPLE QUERIES IN BATCH NOT ALLOWED	This is generated if there are multiple B/Y blocks within an A/Z batch. Only One B/Y block is allowed for the Statement Reroute Request MO transaction.	
<b>Condition Codes - Specific to RM-Payment Authorization</b>			
<b>FUTURE DEPLOYMENT WITH ACE STATEMENTS</b>			
Q01	INPUT RECORD TYPE MUST BE "PT"	The input record type within the RM application must be "PT". Other values will reject.	9/2/2016
Q02	PT' PAYORS UNIT # INVALID	PT input record's payor unit number must be all numeric for ACH debit filer or spaces for ACH credit filer. Zeros and non-numeric data in this field will reject.	

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Condition Code	Narrative Text	Explanation	Date Updated
Q03	PT' FILER INVALID	PT input record has spaces or all zeros for filer code.	
Q04	PT' STATEMENT NUMBER INVALID	The statement number within the PT input record has spaces, all zeros or other non-alpha numeric characters. The statement number must be an alpha numeric number.	
Q05	PT' AMOUNT PAID INVALID	The PT input record has spaces, or other non-numeric data in the payment amount field. Zero dollars is a valid amount that may be paid via ACH. The transmitting of zero dollars is an indicator by the filer that the statement is correct in that all entries have no money due. The transmission is necessary to process/pay the statement and allow the generation of the final statement.	
Q06	STATEMENT NOT ON FILE	Either the statement number given in the PT record cannot be found in the ACE database or the statement number was not right justified with a zero in position 14. If the statement number rejected contains an alpha character, it must be transmitted in upper case.	
Q07	STATEMENT FILER NOT = 'PT' FILER	The filer code issued on the statement is not equal to the filer code given in the PT input record. The filer code on the statement and the PT record must match, otherwise the PT record will reject.	
Q08	PT' AMT PAID NOT = STATEMENT AMT	The "PAYMENT AMOUNT" submitted in the PT input record does not equal the "AMT DUE" column for the statement number reported in the PT input record. This will occur when the filer attempts to pay a statement with an amount that is lower or greater than the actual amount. The filer assumed that an SU (statement update) action to remove one or more entries from the statement was accepted. If the RM payment rejects, the filer should retransmit the SU action for the entries to be removed and when the SU is accepted, the RM can be retransmitted for the ACH payment.	9/2/2016
Q09	STATEMENT NOT ELIGIBLE FOR ACH	If the filer code reported in the RM is not set to ACH participation within the ACE trade profile record, this message will result. The filer should contact a client representative to correct the ACE profile. When the filer's ACE trade profile is updated, the current date must be used as the effective date. The ACE system will not recognize the filer as an ACH filer until the next day, after end of day processing is complete. This message will result when an ACH payment is sent on the same date noted in the ACE trade profile. Entry summaries designated for statements prior to the ACH date in the ACE trade profile will not be able to be paid via ACH. The filer can begin designating summaries for ACH statements after the ACH effective date shown in the ACE trade profile.	9/2/2016
Q10	STATEMENT ALREADY PAID IN FULL	The statement number in the PT input record was previously paid by an earlier accepted RM application or check payment processed by CBP.	9/2/2016
Q11	PAYMENT ACCEPTED	The PT input record has been accepted error free. The statement will be marked as "PAID". Postings to the individual entries will occur, a final statement will be generated, and an electronic ACH debit will occur to the payor's bank account denoted by the PT transaction payor's unit number. If the filer's client (Importer) uses ACH credit to pay statements, the PT's record reply from ACE will be the same as it is for ACH debit transactions.	
Q12	SYS-PROB CALL CLIENT REP	A processing failure has occurred in the ACE system for the RM transaction. Contact a client representative for research and reporting for resolution.	9/2/2016

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Condition Code	Narrative Text	Explanation	Date Updated
Q16	PT' UNIT # NOT FOR 'PT' FILER	When the statement number in the PT input record is a broker statement, the payor's unit number (PUN) is not identified in the ACE PUN file as being assigned to the filer code cited in the PT input record.	
Q17	DSI FILE MISSING STTMENT IMPORTER	The statement number in the PT input record is identified as an importer statement with a specific importer number. The payor unit number provided in the PT input record is not assigned to that importer in the ACE PUN file. This error will also occur if the importer's payor unit number is provided in the PT input record for a filer's statement (payment types 2 and 6).	
Q18	PAYOR UNIT # NOT ON FILE	The payor unit number reported in the PT input record is not found in the ACE PUN file.	
Q19	PT' PAYMENT TYPE INVALID	The payment type must be "1" when sending payment for a CBP Periodic Daily Statement. The payment type must be "2" when sending payment for a CBP Daily Statement. Other values will be rejected.	
Q20	PT' REC PREVIOUSLY ACCEPTED	If a previous PT input record was successful in sending an ACH authorization for the statement number in the instant transaction, this message will result. The previous RM transmission did not have to occur on the same day as the transmission being rejected.	9/2/2016
Q23	DUPLICATE 'PT' PAYMENT RECORD	The statement number reported in the PT input record has been previously transmitted and accepted. Statements may not be paid twice.	
Q51	INVALID NEGATION CODE	The negation code reported in the PT input record must be "Y" for sending a negation request or a "space" for not sending a negation request. Other values in this field will reject.	
Q52	INVALID NEGATION DATE	The negation date must be the same date as the original RM authorization was accepted. The date must be in MMDDYY format.	9/2/2016
Q53	NEGATION EXCEEDS TIME LIMIT	Filer can only request a negation on the SAME DATE as the original RM authorization was accepted and BEFORE the RM authorization is sent to pay.gov during end of day processing. The negation request falls after this time period and will reject.	9/2/2016
Q54	UNABLE TO NEGATE	The statement number in the PT input record has not been previously authorized with an accepted RM transmission. This error can also occur when the payment for the statement number in the PT input record has been sent to pay.gov. Negation is not allowed.	9/2/2016
Q55	PAYMENT NEGATED	The negation request reported in the PT input record has been accepted. The filer can proceed with the SU (statement update) action to delete entries from the statement. Filer must resend the RM authorization for the remaining entries on the statement.	9/2/2016